



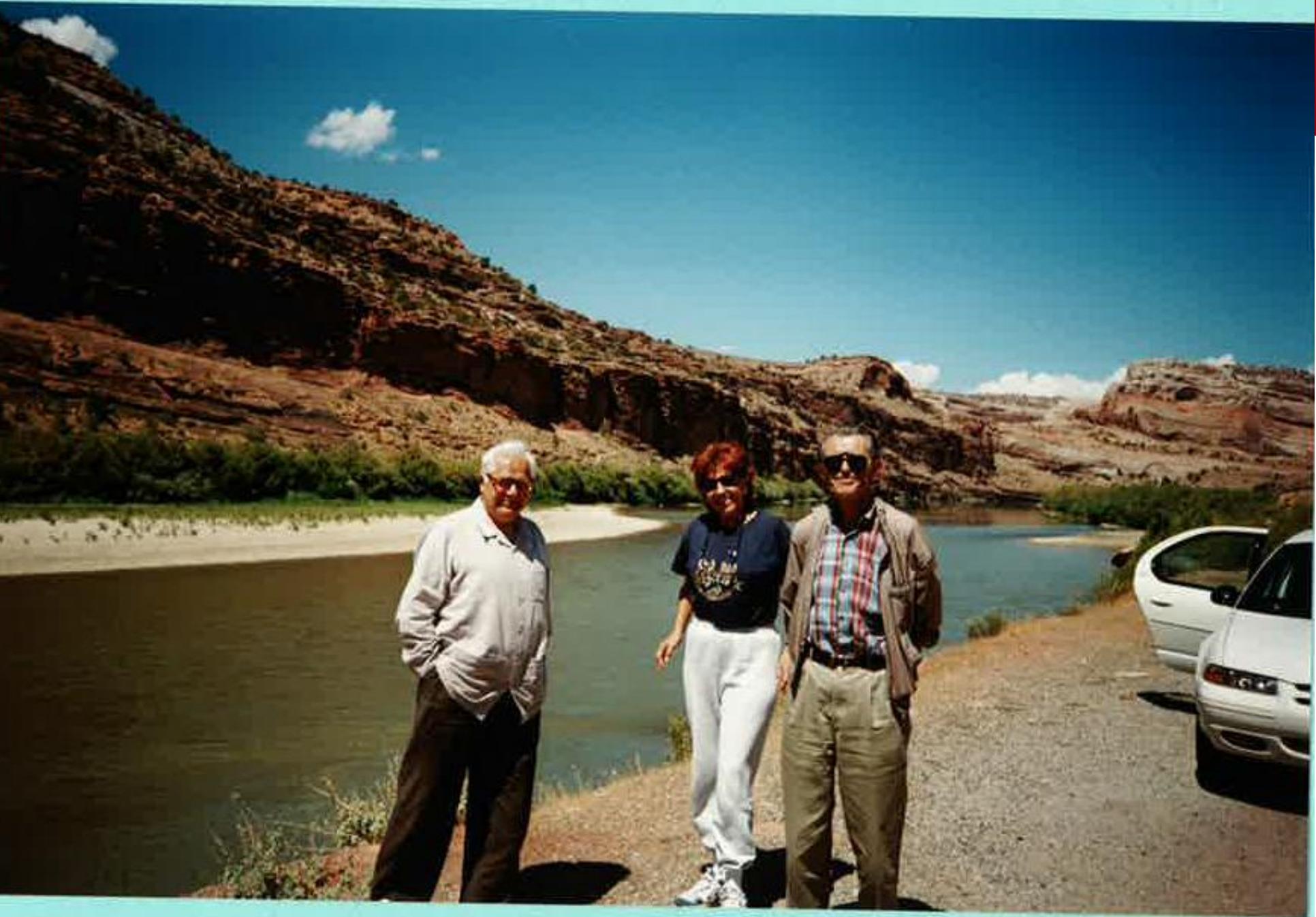
Les Houches

1994









Between Aspen and Utah near the Colorado river



Near Trento (Lago di Garda)



Copenhagen (after Nobel symposium on Quantum Chos)





Sailing at Concarneau

DE LA RECHERCHE À L'INDUSTRIE



## Edge Thermopower Distributions

### in Chaotic Quantum Dots and Disordered Nanowires

#### Low temperature elastic coherent regime

JEAN-LOUIS PICHARD

DSM/IRAMIS/SPEC

Edge = Spectrum edge of a chaotic scatterer  
Edge of a nanowire impurity band

# OUTLINE

## 1/ Delay-Time and Thermopower Distributions at the Spectrum Edges of a Chaotic Scatterer

A scattering approach :

Energy independent distribution of the scattering matrix  $S$

**Adel Abbout**, Geneviève Fleury, and Jean-Louis Pichard

DSM/IRAMIS, Service de Physique de l'Etat Condensé, CEA Saclay

**Khandker Muttalib**

Department of Physics, University of Florida, Gainesville

Phys. Rev. B. 87, 115147 (2013)

## 2/ Gate-modulated thermopower in disordered nanowires: I. Low temperature coherent regime

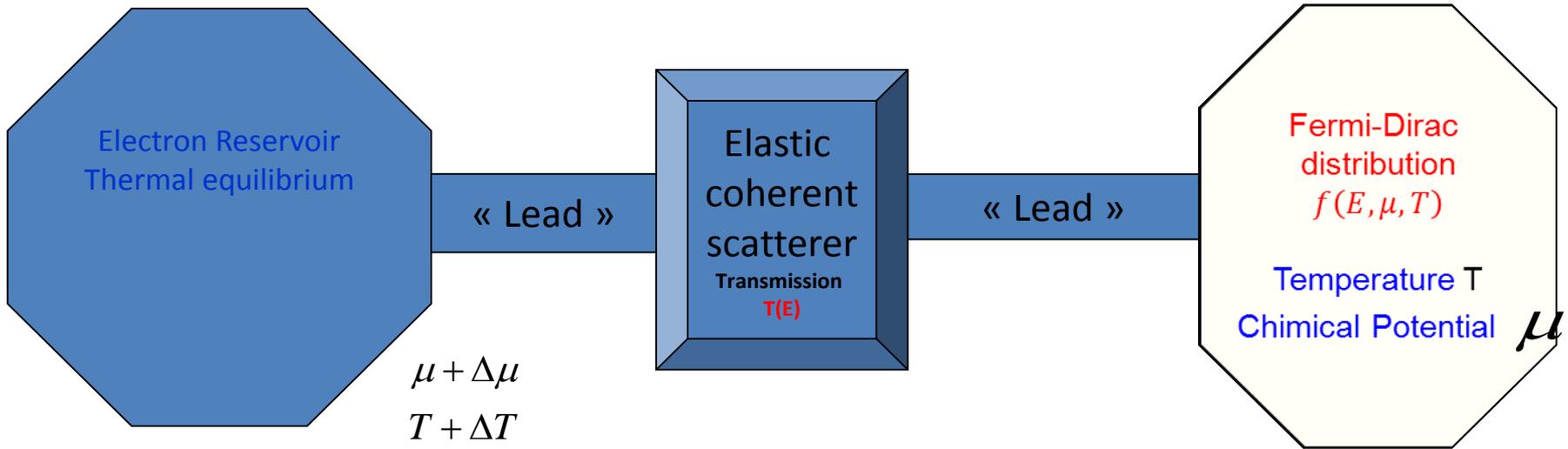
An Hamiltonian approach:

Energy independent distribution of the Hamiltonian  $H$

**Riccardo Bosisio**, Geneviève Fleury and Jean-Louis Pichard

# Linear Response (mesoscopic regime) Imry and Sivan

## Charge and heat currents induced by generalized forces



$$\begin{pmatrix} J_e \\ J_Q \end{pmatrix} = \begin{bmatrix} L_{11} & L_{12} \\ L_{21} & L_{22} \end{bmatrix} \begin{pmatrix} \Delta\mu/eT \\ \Delta T/T^2 \end{pmatrix}$$

$$L_{ij} = \frac{e^2 T}{h} \int dE \begin{bmatrix} 1 & E - \mu/e \\ E - \mu/e & (E - \mu/e)^2 \end{bmatrix} T(E) \left( \frac{\partial f}{\partial E} \right)$$

### Conductances

(electrical and thermal)

$$G_e = \frac{L_{11}}{T}$$

$$G_Q = \frac{\det L}{T^2 L_{11}}$$

### Thermo-electric coefficients

Seebeck and Peltier

$$S = \frac{L_{12}}{T L_{11}}$$

$$P = ST$$

(Kelvin-Onsager)

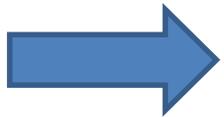
Importance to break particle-hole symmetry

# Cutler-Mott Formula (à la Landauer)

(Sommerfeld Expansion)

- **Thermopower** in terms of the **energy derivative of the system transmission  $\tau(E)$**

$$\sigma_k = - \left. \frac{(\pi k_B)^2 T}{3e} \frac{\partial \log(\tau(E))}{\partial E} \right]_{E=E_F}$$



Importance of the Wigner-Smith time-delay matrix

$$Q(E) = -i \hbar S(E)^{-1} \cdot \frac{\partial S(E)}{\partial E}$$

- Validity of Wiedemann-Franz law in the mesoscopic regime? Vavilov – Stone 2005

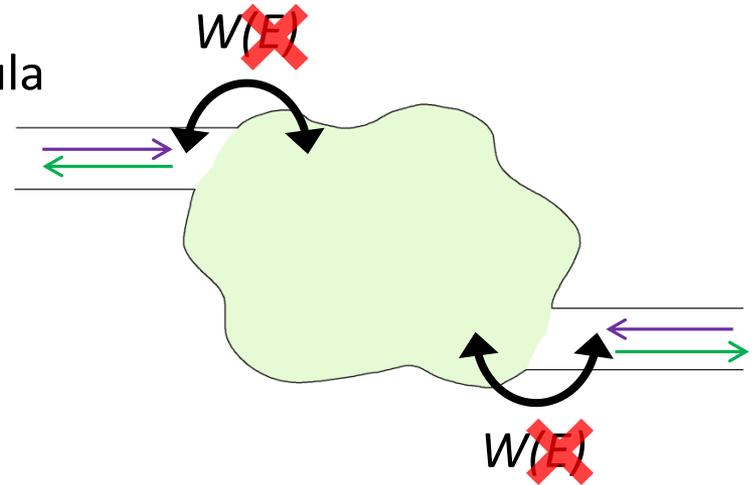
# THE WIDE-BAND LIMIT

Two leads with  $N$  modes

Weidenmuller Formula

$$S(E) = I - 2i\pi W^\dagger \frac{1}{E - H_M + i\pi W W^\dagger} W$$

Hypothesis :  $W$  is energy-independent  
Correct in the wide-band limit (ie in the bulk)



Scattering approach  
 $S(E_F)$  in the circular ensemble

↔

~~$W(E)$~~   
 $M \rightarrow \infty$

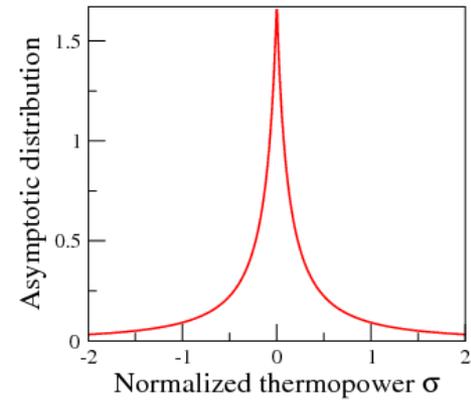
Hamiltonian approach  
 $H_M$  in the gaussian ensemble

$N$  modes

$N = 1$

Derivation of delay-time distrib.  $P(\tau_1, \tau_2, \dots)$   
where  $\tau_i =$  eigenvalues of  $Q = -i\hbar S^\dagger \frac{dS}{dE}$   
 $\Rightarrow$  Laguerre ensemble for  $1/\tau_i$

Thermopower distribution



Time-delay distribution : Brouwer, Frahm and Beenakker, *PRL* **78** 4737 (1997)

Seebeck distrib. for  $N=1$  : Van Langen, Silvestrov & Beenakker, *Superlatt. MicroStr.* **23** 691 (1998)

Thermopower of a **chaotic** conductor connected to 2 single channel leads

S. A. van Langen, P. G. Silvestrov and C. W. J. Beenakker (**S is a 2x2 COE matrix**)

$$\sigma_k = \left. \frac{\Delta}{2\pi} \frac{\partial \log \tau(E)}{\partial E} \right]_{E=E_F} \quad (\text{where } \Delta \text{ is the level spacing})$$

$$P(\sigma_k) = \int dc P(c) \iint d\tau_1 d\tau_2 P(\tau_1, \tau_2) \int dT p(T) (\tau_1 + \tau_2) \delta(\sigma_k - a(c, \tau_1, \tau_2, T)),$$

$$a(c, \tau_1, \tau_2, T) = \frac{\Delta}{2\pi\hbar} c(\tau_1 - \tau_2) \sqrt{1/T - 1}$$

- $p(T) = 1/(2\sqrt{T})$  and  $P(c) \propto \frac{1}{\sqrt{1-c^2}}$

- $P(\tau_1, \tau_2) \propto |\tau_1 - \tau_2| \frac{1}{\tau_1 \tau_2} e^{-\left(\frac{1}{\tau_1} + \frac{1}{\tau_2}\right) \frac{\pi\hbar}{\Delta}}$

**RMT Laguerre ensemble**

*Hartree Correction* Factor  $\tau_1 + \tau_2$

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**Quantum Mechanical Time-Delay Matrix in Chaotic Scattering**

P. W. Brouwer, K. M. Frahm,\* and C. W. J. Beenakker

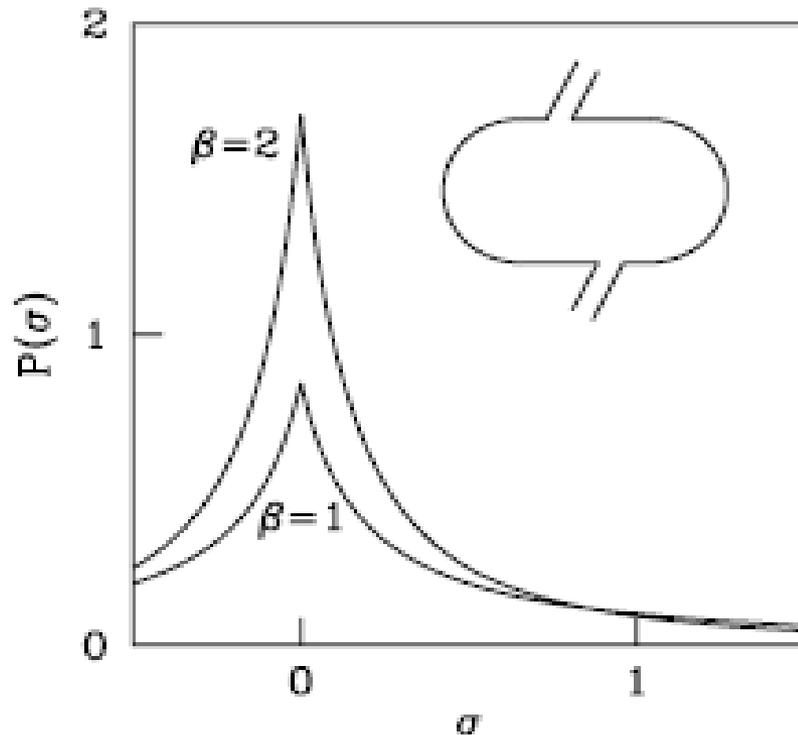
Instituut-Lorentz, Leiden University, P.O. Box 9506, 2300 RA Leiden, The Netherlands

(Received 21 March 1997)

We calculate the probability distribution of the matrix  $Q = 2i^{-1} \hbar S^{-1} S E$  for a chaotic system with scattering matrix  $S$  at energy  $E$ . The eigenvalues  $t_j$  of  $Q$  are the so-called proper delay times, introduced by Wigner and Smith to describe the time dependence of a scattering process. The distribution of the inverse delay times turns out to be given by the Laguerre ensemble from random matrix theory.

$P(\sigma_k)$  has a cusp at the origin

and asymptotes as  $\frac{\log \sigma_k}{|\sigma_k^2|}$



Chaotic dot coupled to leads  
via 2 quantum point contacts  
having 1 opened channel

# Chaotic quantum dot (Experiment)

## Thermopower of a Chaotic Quantum Dot

S. F. Godijn, S. Möller, H. Buhmann, and L. W. Molenkamp

*II. Physikalisches Institut, RWTH-Aachen, Templergraben 55, D-52056 Aachen, Germany*

S. A. van Langen\*

*Instituut-Lorentz, Leiden University, P.O. Box 9506, 2300 RA Leiden, The Netherlands*

(Received 10 November 1998)

The thermovoltage of a chaotic quantum dot is measured using a current heating technique. The fluctuations in the thermopower as a function of magnetic field and dot shape display a non-Gaussian distribution, in agreement with simulations using random matrix theory. We observe no contributions from weak localization or short trajectories in the thermopower. [S0031-9007(99)08804-3]

PACS numbers: 73.20.Dx, 05.45.-a, 72.20.Pa

trical conductance of small—characteristic size  
ler than the electron mean free path—confined  
stems (usually denoted as quantum dots) shows  
ctuations. These fluctuations display correla-  
tion of an external parameter such as shape or  
ield, which can be described in a statistical man-  
electrons can, in fact, be viewed as billiard balls  
a classically chaotic system where many random  
at the system walls occur. Because of the wave-  
of the electrons, quantum mechanics is needed  
these systems fully. Chaos in quantum dots has  
tigated [1–3] in conductance measurements but  
s turns out to be difficult. So-called short tra-  
] and weak localization effects [1,5] add up to  
re of chaotic motion. Moreover, current heat-  
electrons in the dot appears to be unavoidable in  
e measurements. Electron heating effects in the

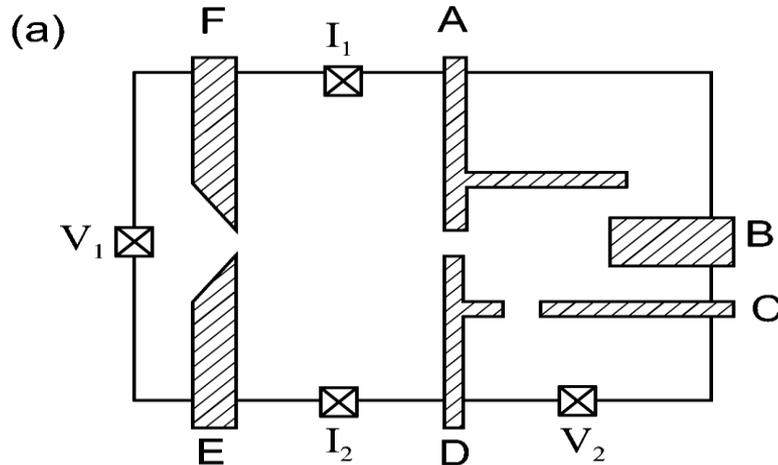
tion of parametric derivatives ( $X = E, B, \text{shape}, \dots$ )  
conductance of a QD is the subject of recent F  
vestigations [12,13]. The probability distribution  
thermopower is again expected to be non-Gaus-  
chaotic conductors, exhibiting cusps at zero ampli-  
nonexponential tails [13,14].

In this paper, we present magnetothermopow-  
surements of a statistical ensemble of chaotic QD  
observed thermopower fluctuations show a non-G-  
distribution. We present a numerical fit based on  
which describes the experimental data. We dem-  
that effects such as short trajectories, weak loca-  
and dephasing are absent in thermopower measur-

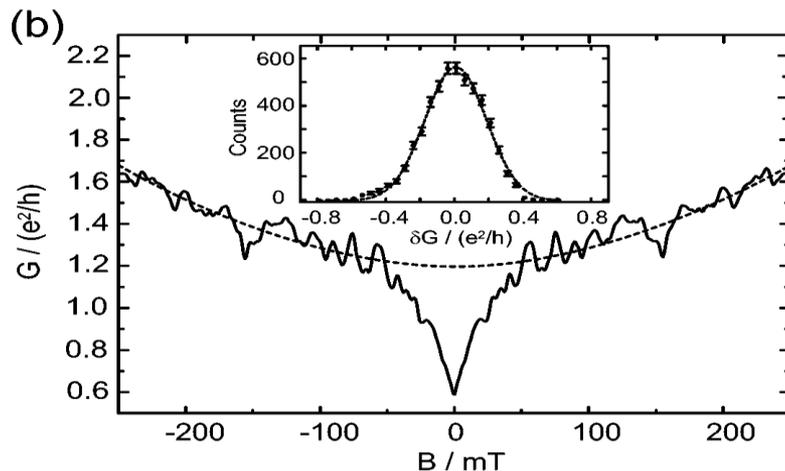
In Fig. 1a the measured device is shown schem-  
A QD (lithographic size  $800 \text{ nm} \times 700 \text{ nm}$ ) is  
statically defined (gates A, B, C, and D) in a s  
high-mobility 2-dimensional electron gas (2DE

# Quantum dot with 2 opened channels for each QPCs (S is a 4x4 matrix)

Measure at 50 mK with a temperature difference of the same order  
typical size 800 nm

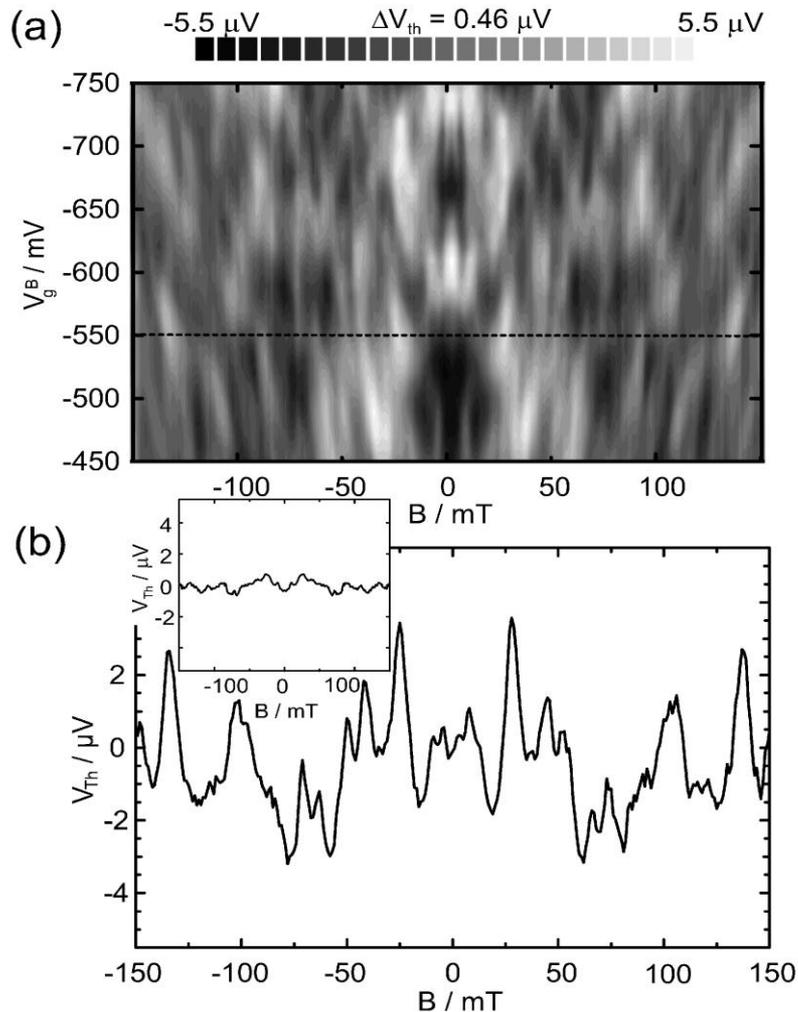


- (a) Schematic top view of the measured sample. The crosses denote the Ohmic contacts to the 2DEG; the hatched areas denote the gates. The heating current is applied between I1 and I2. The thermovoltage is measured between V1 and V2,
- The QD is defined by applying a negative voltage to gates A, B, C, and D.



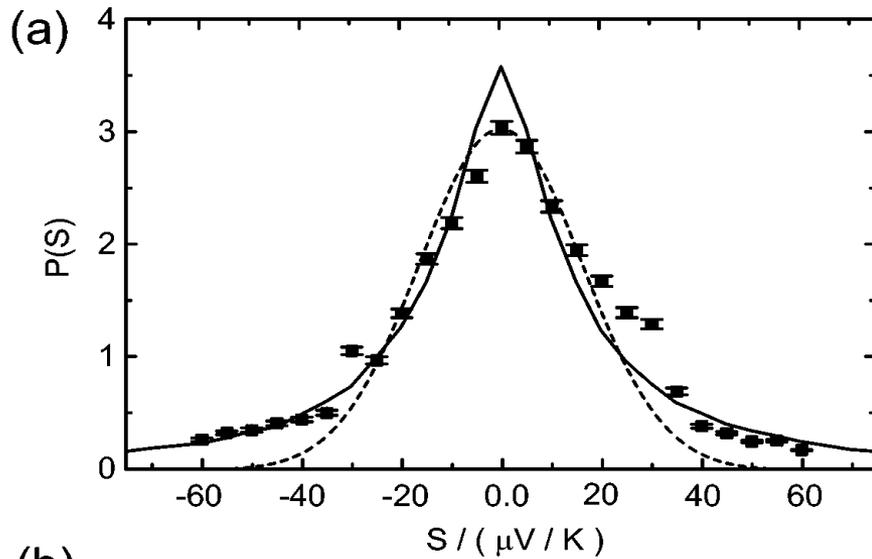
- (b) Magnetoconductance of the QD, averaged over a large number of different QD configurations. The influence
- of short trajectories is characterized by the dashed line. Inset:
- Conductance distribution for  $|B| = 50$  mT

# Thermovoltage



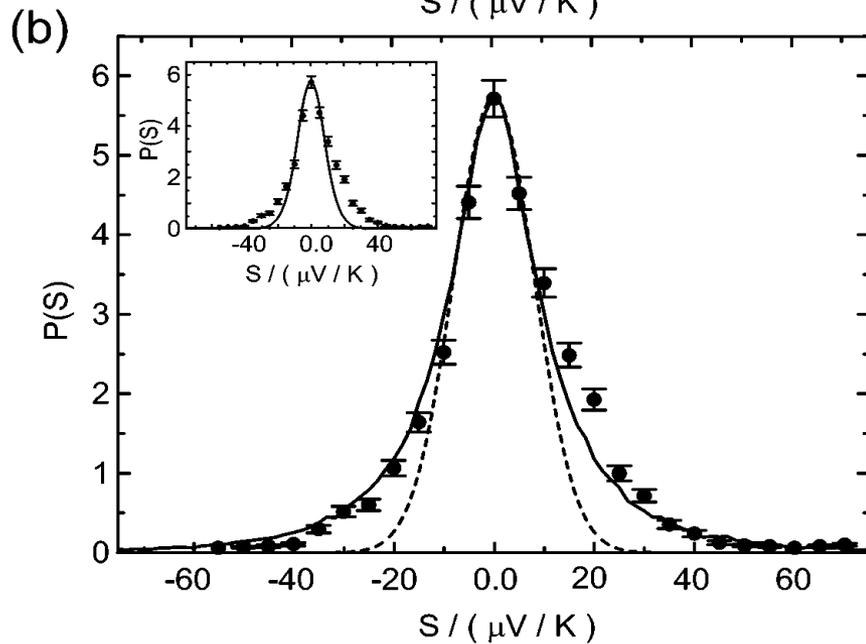
(a) The gate voltage is changed by a constant small amount of  $dV_B$  10 mV for each magnetic field sweep. The light areas denote a large (maximum 5.5 mV) positive thermovoltage, and the dark areas a large (maximum 25.5 mV) negative thermovoltage.

(b) Individual thermovoltage trace



- (a) Thermopower distribution in the presence of TRS ( $B < 40$  mT).

Experimental results (dots),  
simulation results (solid line),  
Gaussian fit (dashed line).



- (b) Thermopower distribution for broken TRS ( $B > 50$  mT).

Experimental results (dots),  
simulation results (solid line),  
and Gaussian fit (dashed line).

# BULK STATISTICS vs EDGE STATISTICS

Closed  
chaotic cavity



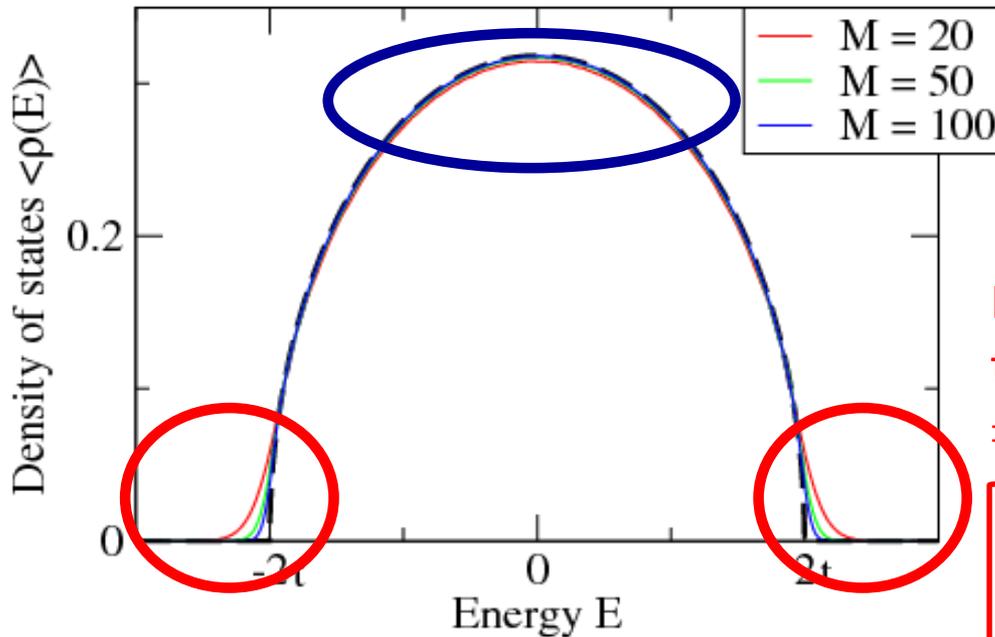
Hamiltonian  $H_M$  in Gaussian ensemble :

$$P(H_M) \propto \exp\left(-\text{tr} \frac{MH_M^2}{4t^2}\right)$$

Oriol Bohigas et al, 1983

Universal statistics of  
eigenvalues in the **bulk**

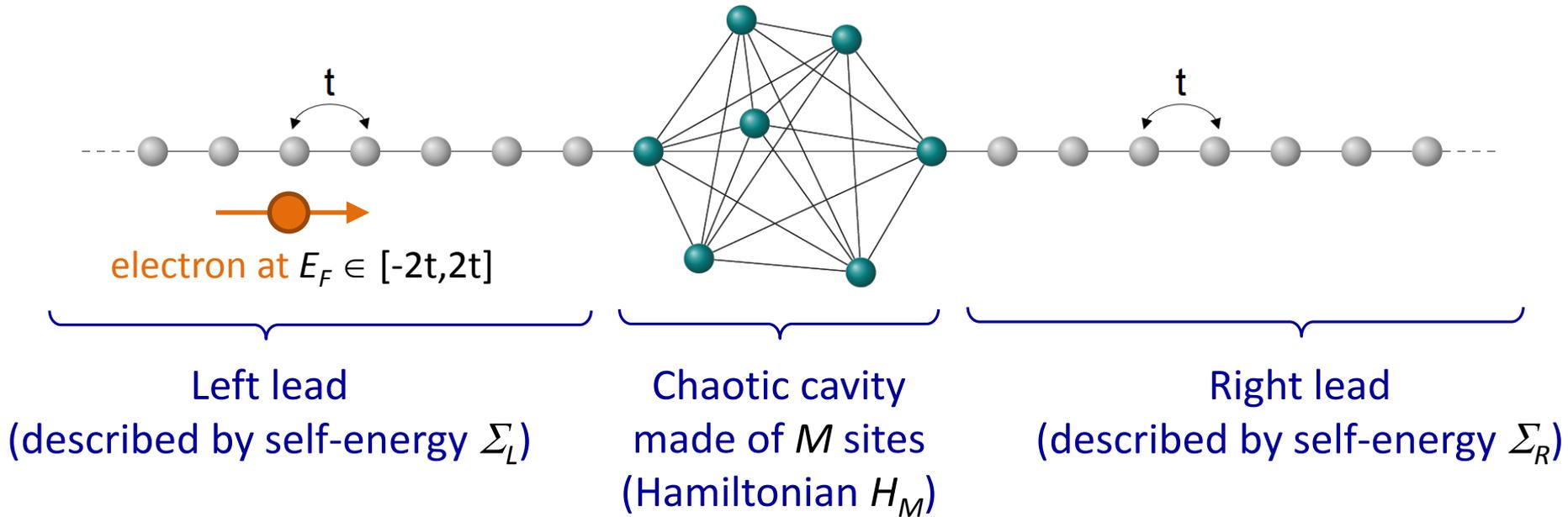
- Wigner nearest-neighbor spacing distrib.
- Sine-Kernel for the 2-points correlation fct



Different universality class at  
the **edges** of the spectrum  
 $\Rightarrow$  Tracy-Widom

- TW level spacing distribution
- Airy-Kernel for the 2-points correlation fct

# MODEL FOR CHAOTIC SCATTERING BEYOND THE WIDE-BAND LIMIT



Relation between scattering matrix  $S$  and Hamiltonian  $H_M$  (see Datta) :

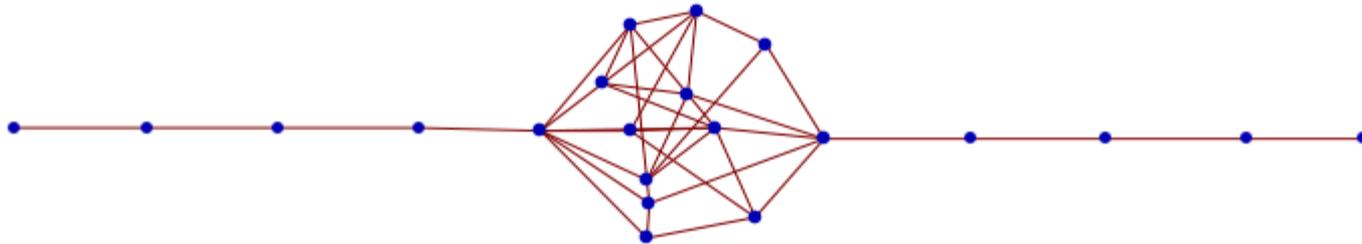
$$S(E) = -\mathbb{I}_2 + i\tau^\dagger \sqrt{\Gamma} \frac{1}{E - H_M - \Sigma_L - \Sigma_R} \sqrt{\Gamma} \tau \quad \text{with} \quad \Gamma = \text{Im}(\Sigma_L) = \text{Im}(\Sigma_R)$$

With energy-dependent self-energies  $\Sigma_L(E)$  and  $\Sigma_R(E)$

Chaotic scattering at  $E_F \Leftrightarrow$  Hamiltonian  $H_M$  sampled from  
the **Cauchy** ensemble  $\mathcal{C}(E_F)$   
 $P(S) = \text{constant}$

Beenakker and co-workers (PRL 1997) neglected this energy dependency

# 1d-Lattice model embedding a chaotic scatterer with $M$ sites



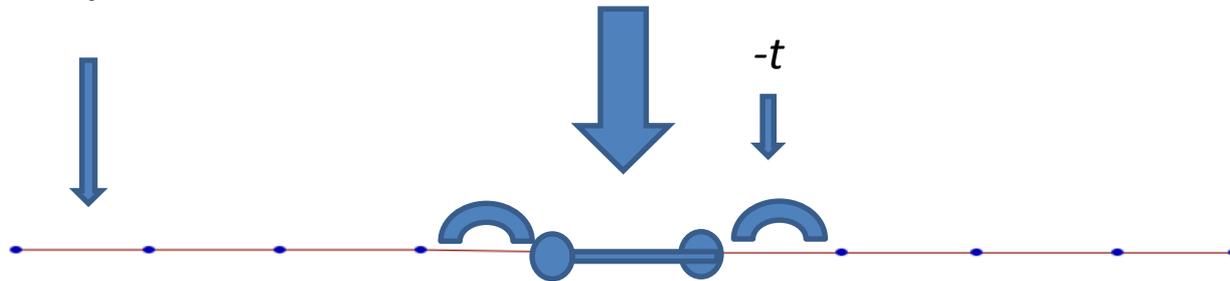
**$M=2$**

$$\begin{pmatrix} H_{11} & H_{12} \\ H_{12} & H_{22} \end{pmatrix}$$

$-t$

$-t$

(b)



# S-Matrix $t = 1$

- 2x2 Green's function  $\mathbf{G} = \frac{1}{E - H - \Sigma}$   $\mathbf{E} = \begin{pmatrix} E & 0 \\ 0 & E \end{pmatrix}$

- Self Energy of the leads

$$E = -2 \cos k; \quad \Sigma = \begin{pmatrix} -e^{ik} & 0 \\ 0 & -e^{ik} \end{pmatrix}$$

- 2x2 Scattering Matrix

$$\mathbf{S} = -\mathbf{1} + 2i \sin k \mathbf{G} \quad \mathbf{1} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

**H** and **S** can be diagonalized by the **same energy independent** orthogonal transformation  $R_\theta$   
(Big simplification!)

## Distribution for H which yields COE scattering Cauchy Ensemble with adjusted center and width

- $\begin{pmatrix} e^{i\theta_1} & 0 \\ 0 & e^{i\theta_2} \end{pmatrix} = \begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix} + 2isink \begin{pmatrix} \frac{1}{E-E_1+e^{ik}} & 0 \\ 0 & \frac{1}{E-E_2+e^{ik}} \end{pmatrix}$
- $P(E_1, E_2)dE_1dE_2 \propto |e^{i\theta_1} - e^{i\theta_2}|d\theta_1d\theta_2$
- $P(E_1, E_2) \propto |E_1 - E_2| \prod_{i=1}^2 \left( \frac{1}{1 + \left(\frac{E' - E_i}{\Gamma}\right)^2} \right)^{3/2}$
- $E' = E - \mathcal{R}(\Sigma) = E + \cos k \quad \Gamma = |\Im(\Sigma)| = \sin k$
- $E = E_F \quad ; \quad k = k_F \quad (\text{scattering at the Fermi energy})$

# Gaussian versus Cauchy ensemble:

## Coulomb Gas Analogy

- $p(E_1, E_2) = \exp(-\beta H(E_1, E_2))$
- $\beta = 1$
- $H(E_1, E_2) = -\log|E_1 - E_2| + \sum_{i=1}^2 V(E_i)$
- **Gaussian**  $V(E_i) = \frac{3}{2} \left( \frac{E' - E_i}{\Gamma} \right)^2$   $H_{ij}$   
Independent matrix elements
- **Cauchy**  $V(E_i) = \frac{3}{2} \log \left( 1 + \left( \frac{E' - E_i}{\Gamma} \right)^2 \right)$  **Correlated** matrix elements

*Previous works by P. A. Mello (Poisson Kernel) and P. Brouwer (Ph-D thesis)*

# Cauchy Ensemble

**Notation**  $H_M \in C(M, \varepsilon, \Gamma)$

- $P(H_M) \propto \det ([H_M - \varepsilon 1_M]^2 - [\Gamma^2 1_M])^{-\frac{M+1}{2}}$   
Center  $\varepsilon$  and Width  $\Gamma$

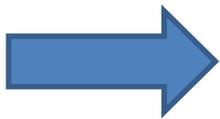
- For  $M = 2$

$$S \in COE \leftrightarrow H_2 \in C(2, \frac{E_F}{2}, \Gamma_F)$$

# More Theorems

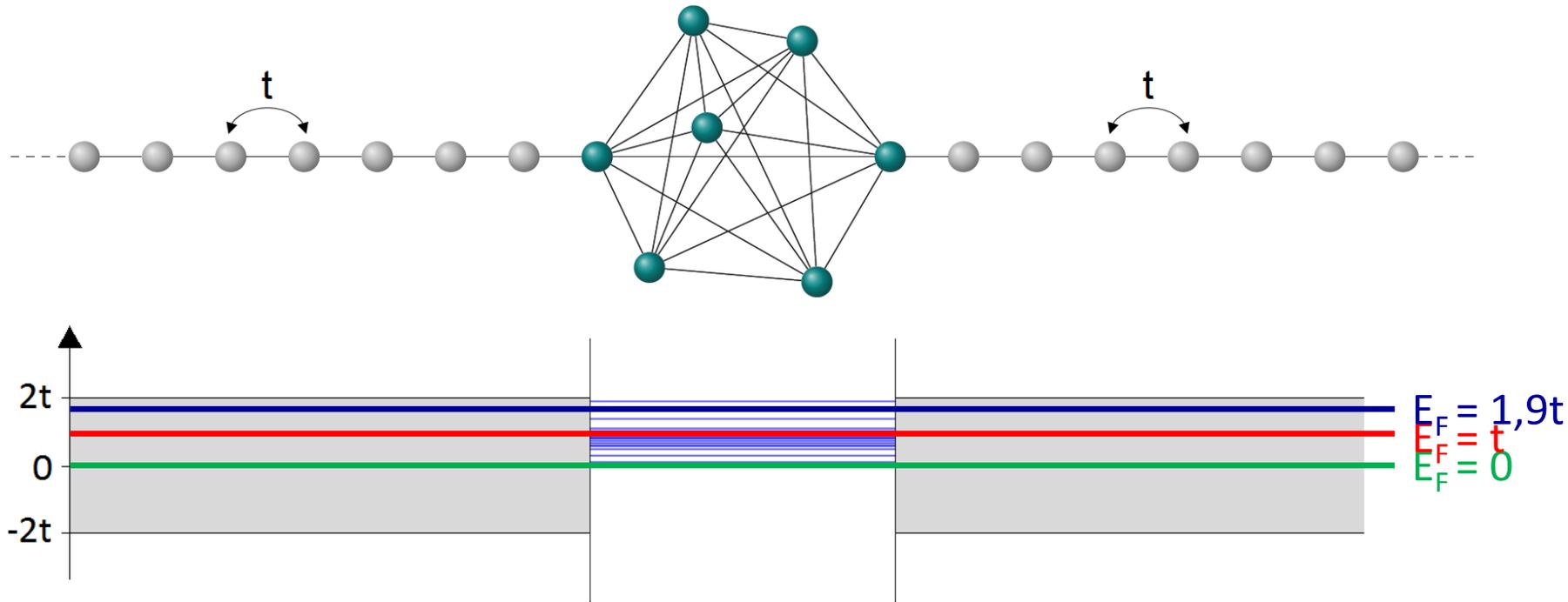
- $H_M \in C(M, \frac{E_F}{2}, \Gamma_F) \rightarrow S(E_F) \in \text{COE}$

- $\frac{\partial S(E)}{\partial E} = 2i \frac{\partial(\Gamma(E)A_2(E))}{\partial E}$

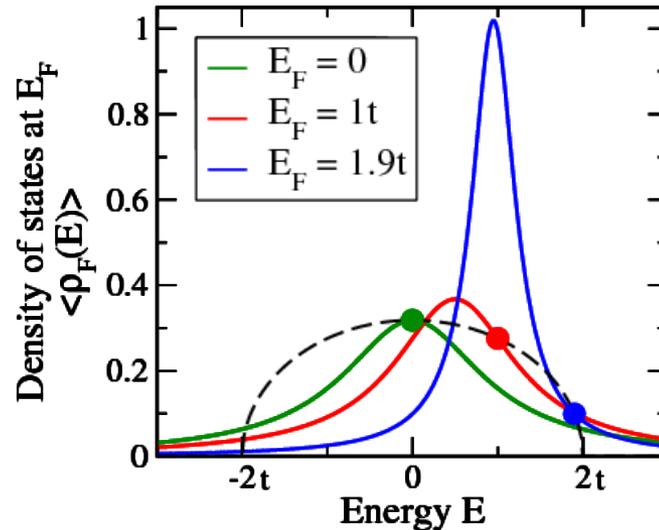


The time delay matrix has the **M=2** distribution if  $\Gamma(E) \rightarrow 0$ . This occurs at the **edges of the conduction band of the leads.**

# PROBING THE SPECTRUM TAILS



When  $E_F$  goes from the band center to the band edge ( $\pm 2t$ ), the center of the **density of states per site** is shifted and its width is reduced



When  $E_F$  goes to  $\pm 2t$ , we explore the spectrum tails

# *Relevant dimensionless scale*

$$\alpha(E_F, M) = \frac{\Gamma_F^2}{\Delta_F t} ?$$

Analytical results obtained when  $M=2$

## 1. Thermopower Distribution

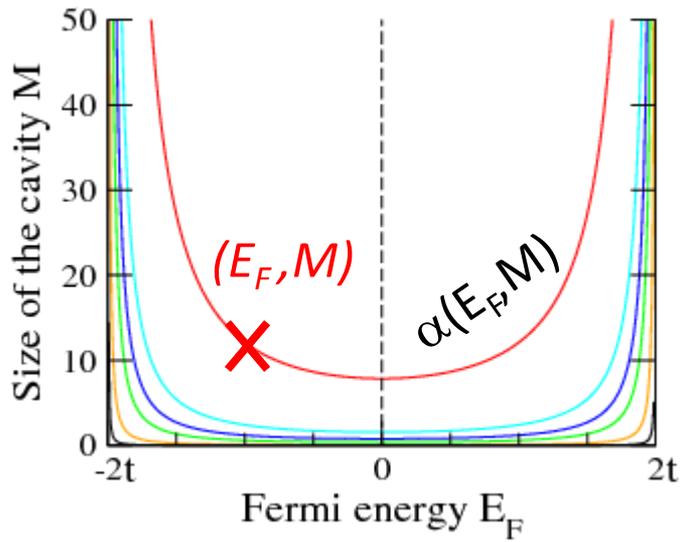
$$P(\sigma) = \frac{\alpha}{2} \ln \frac{1 + \sqrt{1 - (\pi\alpha\sigma/2)}}{\pi\alpha|\sigma|/2}$$

## 2. Eigenvalue Density of the Time-Delay matrix Q

$$P(\tau') = \frac{4\alpha}{\sqrt{1 - (4\pi\alpha\tau')^2}}$$

$$\tau' = \frac{\tau - \frac{\hbar}{\Gamma_F}}{\tau_H}$$

# USING THE PARAMETER $\alpha$ FOR EXPLORING THE ( $E_F$ - $M$ ) DIAGRAM

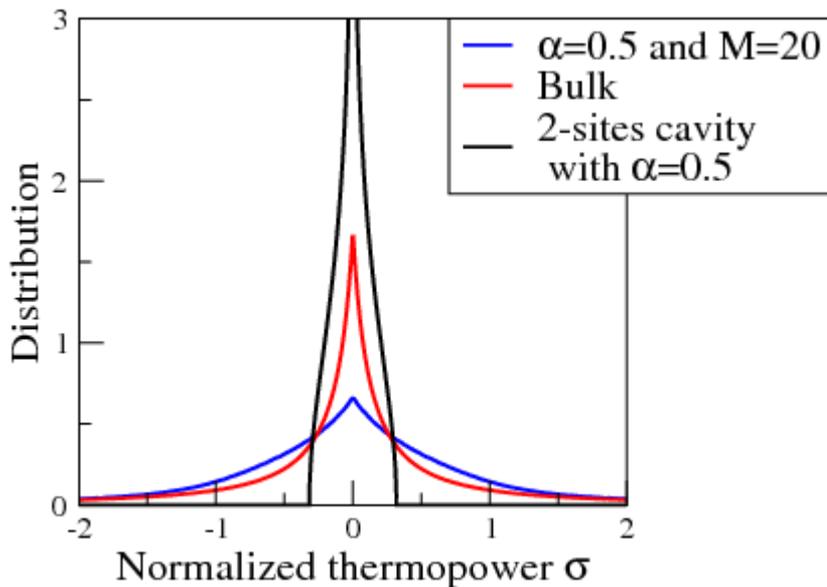


$$\alpha(E_F, M) = \frac{\Gamma_F^2}{\Delta_F t} = \frac{1}{8\pi} \left| \frac{E_F - 2t}{tM^{-1/3}} \right|^{2/3} \left| \frac{E_F + 2t}{tM^{-1/3}} \right|^{2/3}$$

Measures the energy distance to the band edge in unit of  $tM^{-1/3}$



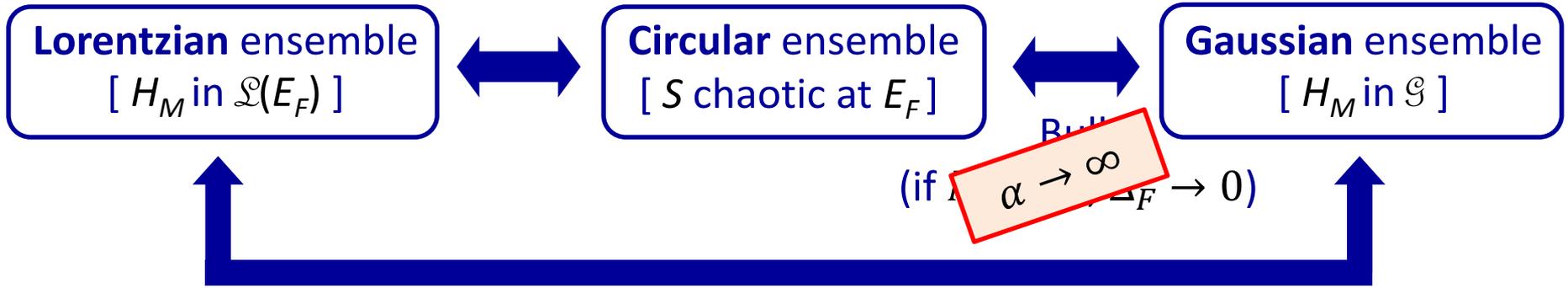
**TRACY-WIDOM SCALING ?**



$$\eta = \frac{\int d\sigma |P(\sigma) - P_{bulk}(\sigma)|}{\int d\sigma |P_{M=2}(\sigma, \alpha) - P_{bulk}(\sigma)|}$$

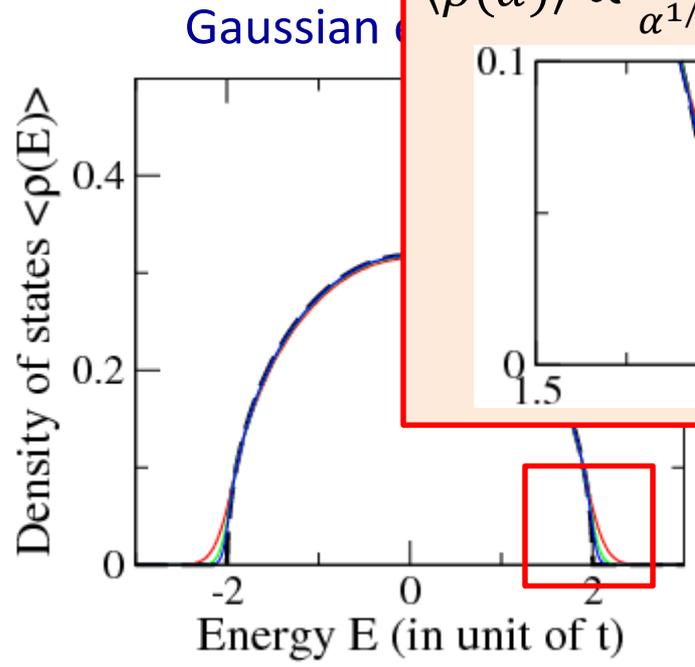
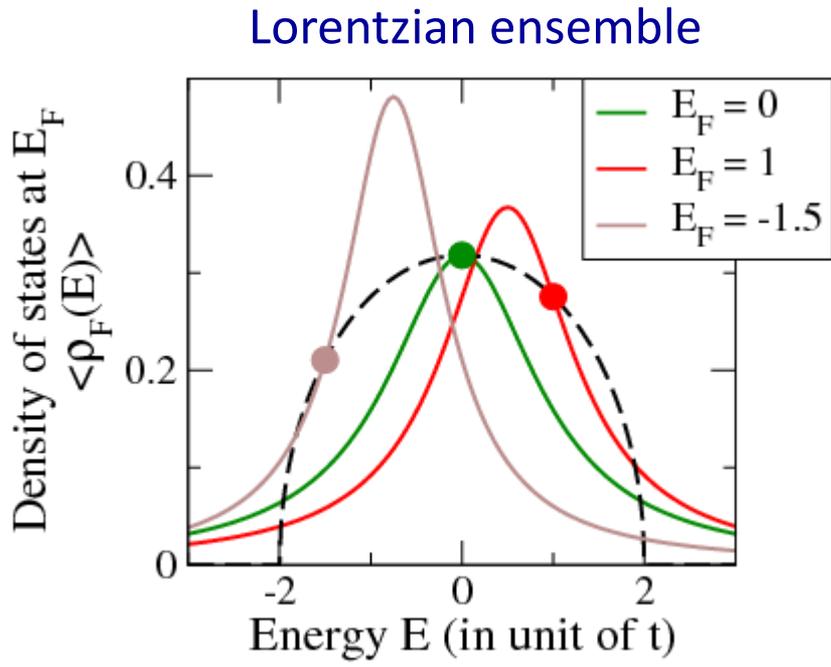
# WHY $\alpha$ ?

# CONNEXION TO TRACY-WIDOM

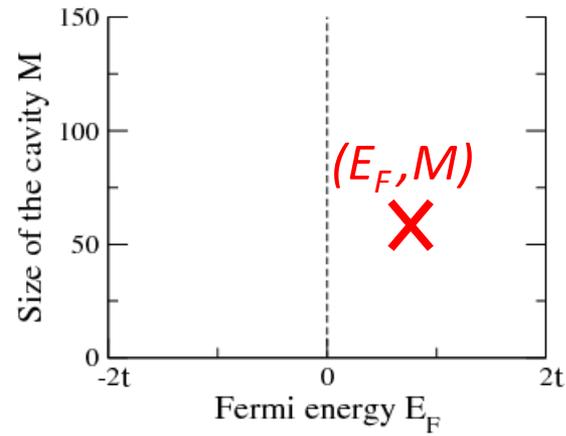


If  $M \rightarrow \infty$  with the same  $\Delta_F$  for the ensembles  $\alpha \rightarrow \infty$

Tracy-Widom rescaling with the parameter  $\alpha$   
 $\langle \rho(\alpha) \rangle \propto \frac{1}{\alpha^{1/6}} \exp(-K\alpha)$



# DO THE CALCULATION ...



Hamiltonian  $H_M$  in Cauchy ensemble  $\mathcal{C}(E_F)$



Scattering matrix  $S$  (chaotic at  $E_F$ )



Time-delay matrix  $Q = -i\hbar S^\dagger \frac{dS}{dE}$



Distribution of delay times  $\tau_i$



Distribution of thermopower  $\mathcal{I}_i$

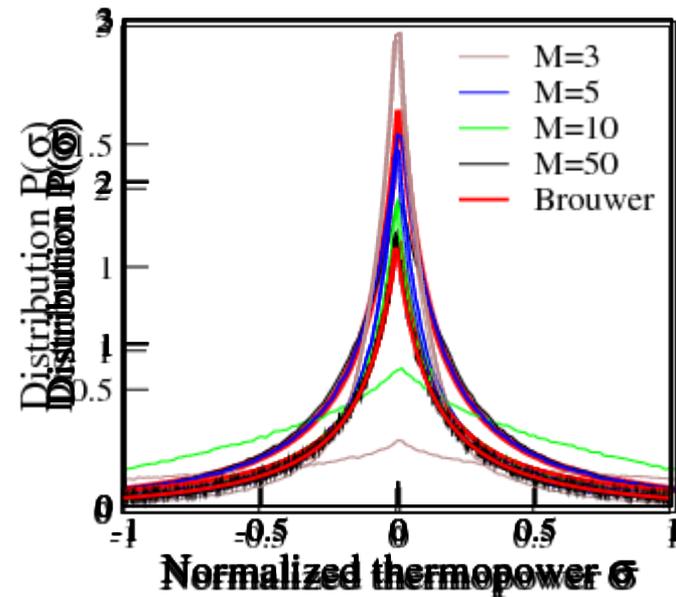
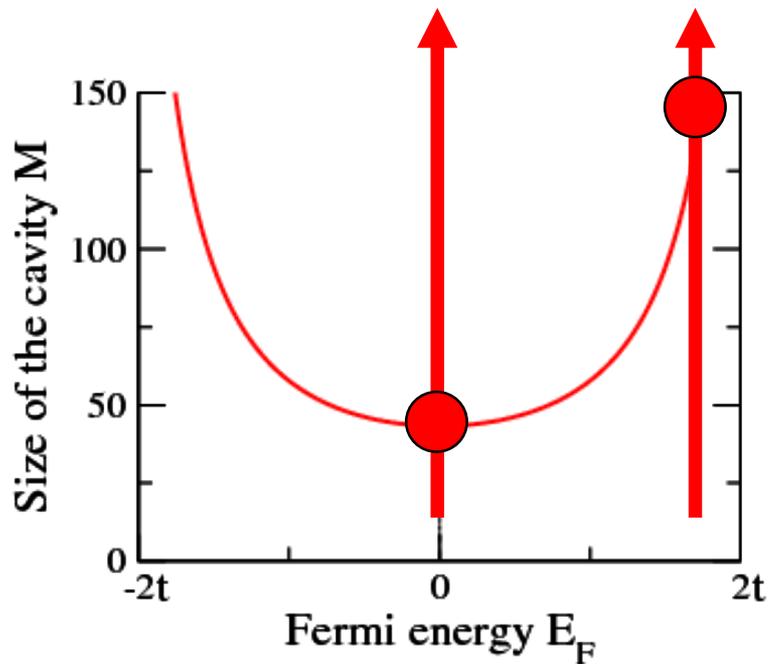


Distribution of rescaled thermopower

$$\sigma_i = \frac{\Delta_F}{2\pi} \mathcal{I}_i$$

# RECOVERING THE BULK THERMOPOWER DISTRIBUTION

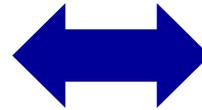
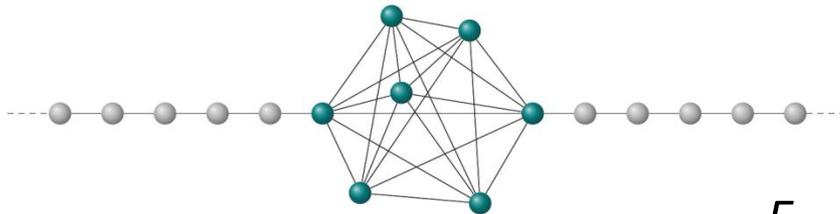
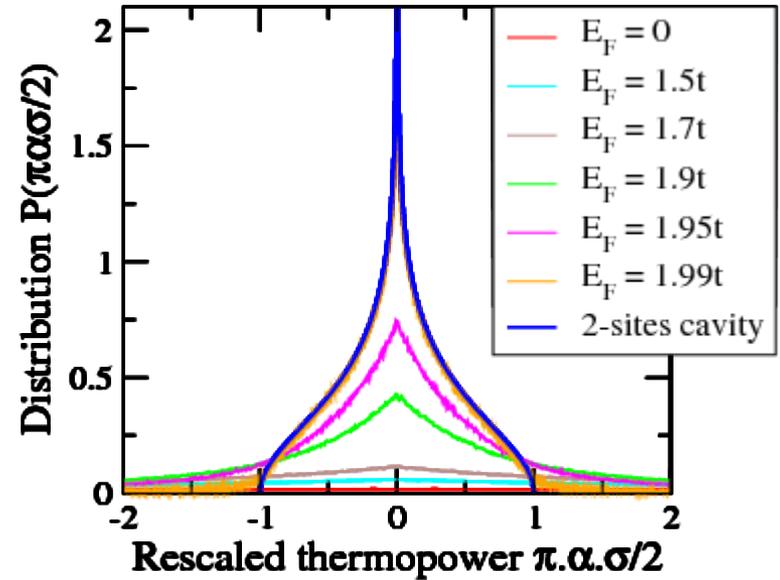
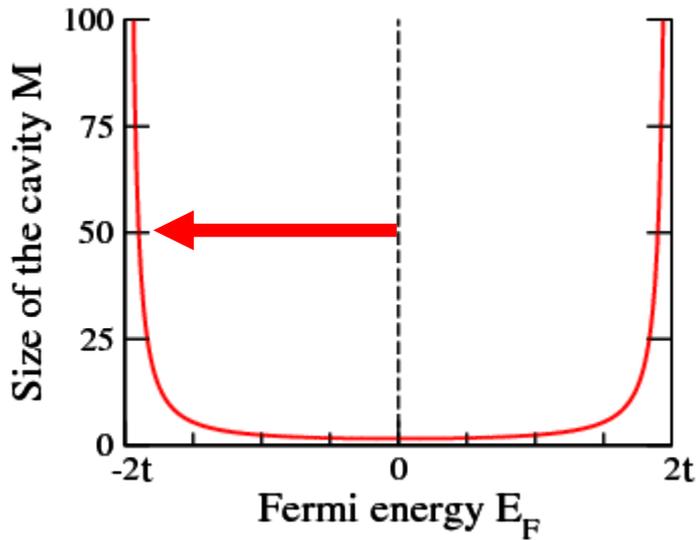
Limit  $\alpha \rightarrow \infty$



At fixed energy  $E_F$  and large size  $M$ , we recover previous result valid in the bulk

# AND CLOSE TO THE BAND EDGE ?

Lim  $\alpha \rightarrow 0$

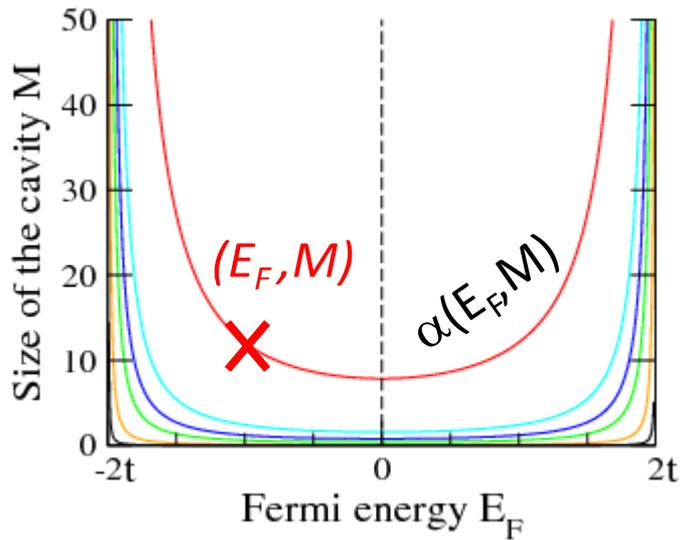


$E_F \rightarrow \pm 2t$  (band edge)  
at fixed  $M$

$$P(\sigma) = \frac{\alpha}{2} \ln \frac{1 + \sqrt{1 - (\pi\alpha\sigma/2)^2}}{\pi\alpha|\sigma|/2}$$

Close to the band edge, the thermopower distribution is **very different** from the one in the bulk. It is given by the analytical result derived for a 2-sites cavity

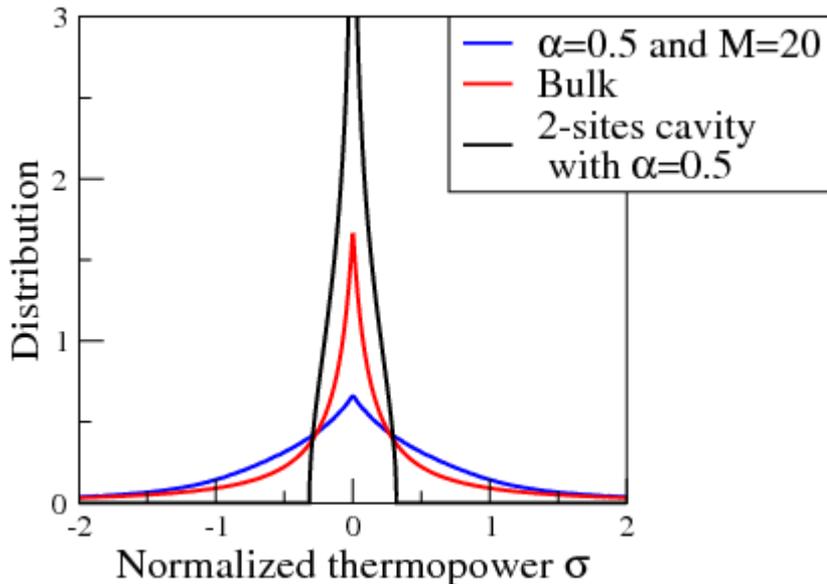
# USING THE PARAMETER $\alpha$ FOR EXPLORING THE ( $E_F$ -M) DIAGRAM



$$\alpha(E_F, M) = \frac{\Gamma_F^2}{\Delta_F t} = \frac{1}{8\pi} \left| \frac{E_F - 2t}{tM^{-1/3}} \right|^{2/3} \left| \frac{E_F + 2t}{tM^{-1/3}} \right|^{2/3}$$

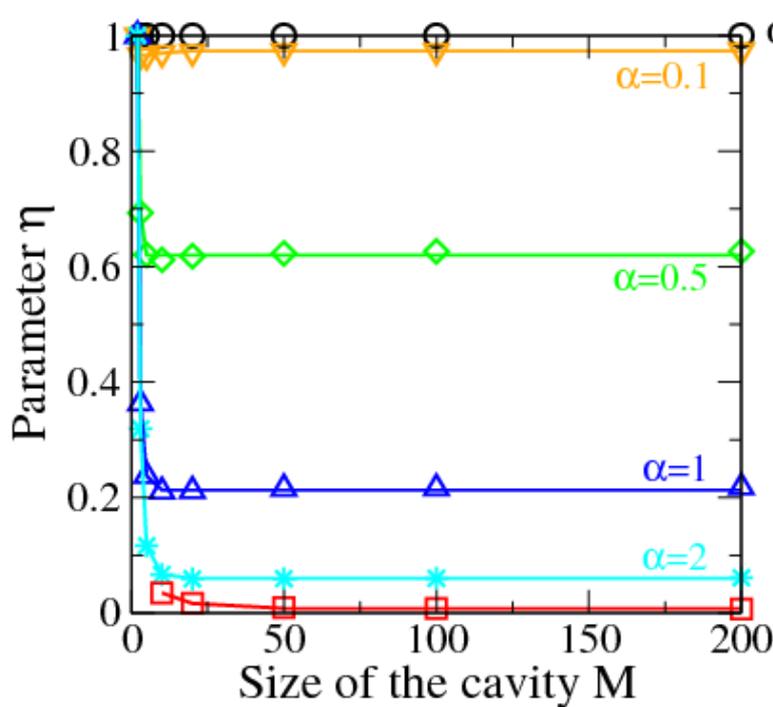
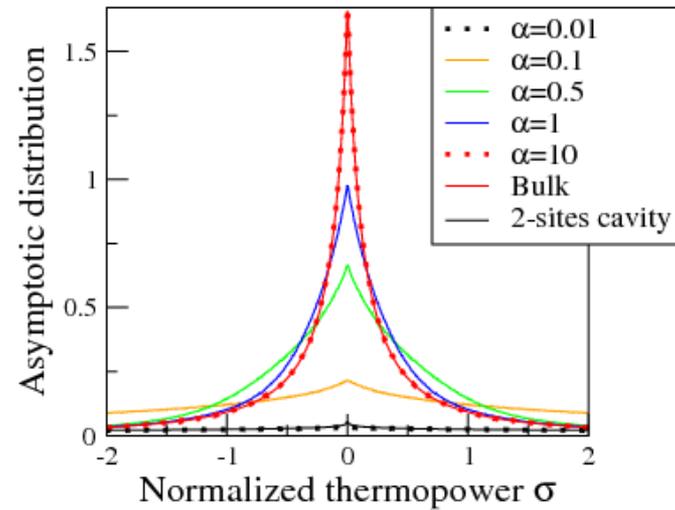
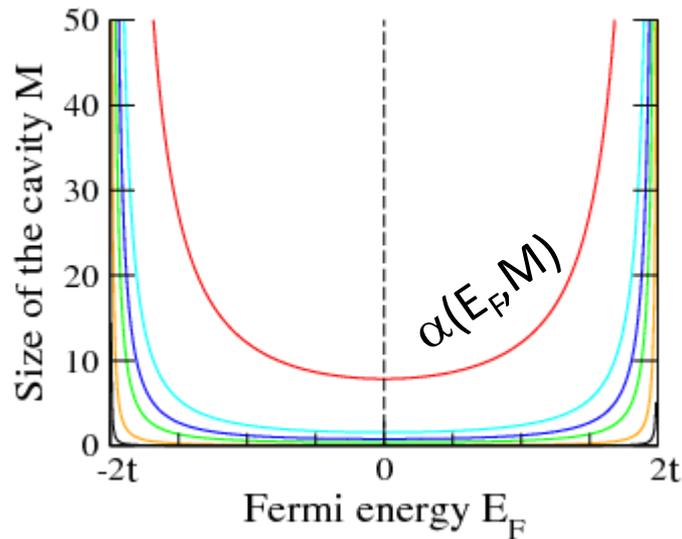
Measures the energy distance to the band edge in unit of  $tM^{-1/3}$

## TRACY-WIDOM SCALING



$$\eta = \frac{\int d\sigma |P(\sigma) - P_{bulk}(\sigma)|}{\int d\sigma |P_{M=2}(\sigma, \alpha) - P_{bulk}(\sigma)|}$$

# NEW ASYMPTOTIC THERMOPOWER DISTRIBUTIONS

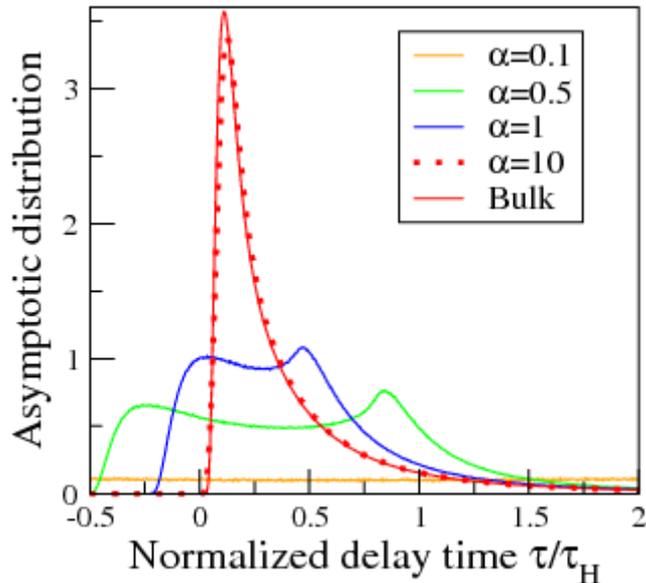
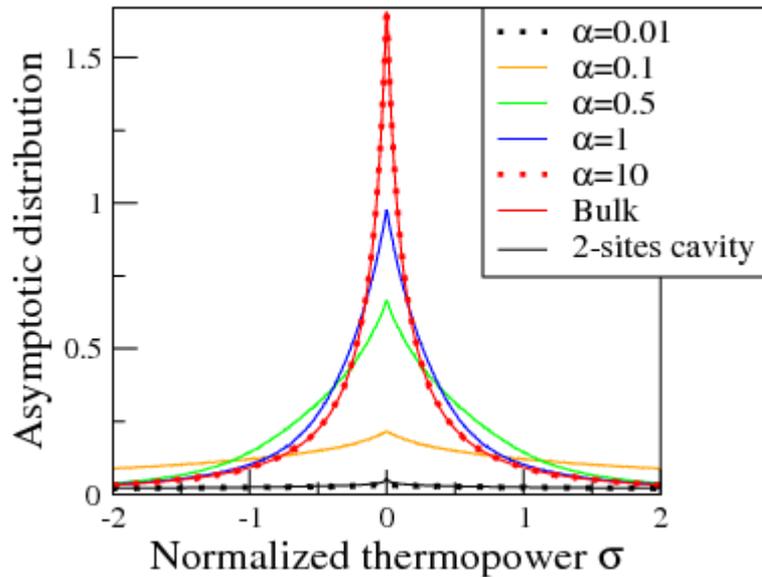


Edge distribution ←  $\alpha=0.01$

Infinite number of asymptotic thermopower distributions in-between

←  $\alpha=10$  Bulk distribution

# DESCRIPTION OF THE BULK-EDGE CROSSOVER



- Infinity of asymptotic thermopower distributions, indexed by  $\alpha \in [0, \infty]$
- The two extreme limits are analytically understood :  $\alpha \rightarrow \infty$  (bulk)  
 $\alpha \rightarrow 0$  (2-sites cavity)
- The parameter  $\alpha$  appears to be the Tracy-Widom parameter
- Same conclusions for the delay-time distribution

# Thermopower distribution of a disordered nanowire in the field effect transistor device configuration:

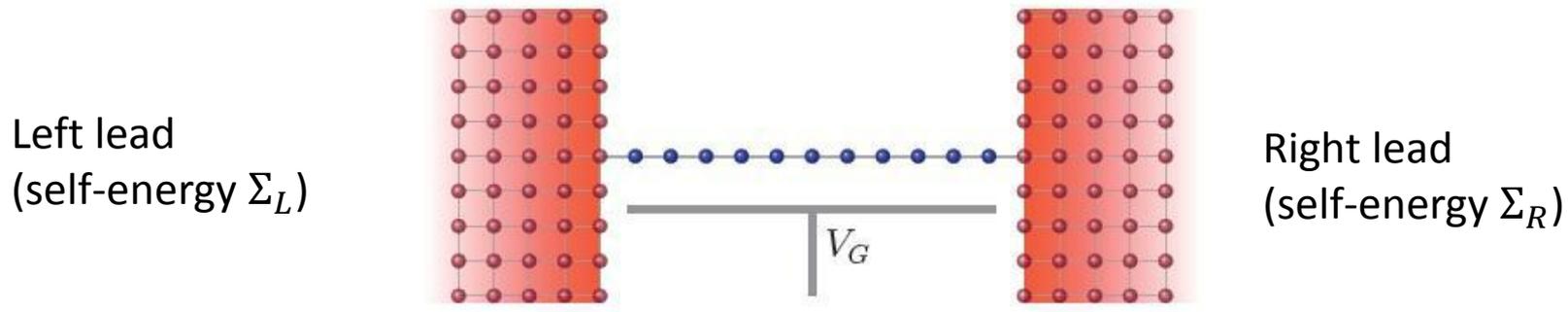
R. Bosisio, G. Fleury and JLP

[New Journal of Physics, arXiv:1310.4923v2](#) [cond-mat.mes-hall]

Related work for the delay time by C. Texier and A. Comtet, Phys. Rev. Lett. 82, 4220 (1999)

1d lattice of length  $L$  ( $N$  sites) with nearest hopping terms  $t$ , random on-site potentials and gate potential  $V_G$

Anderson Localization with **localization length  $\xi(E)$**



$$H_{1d} = -t \cdot \sum_{ij} \left( c_j^\dagger c_i + H.C \right) + \sum_i \varepsilon_i n_i$$

$$H_{gate} = \sum_i V_G n_i$$

$\varepsilon_i$  Box distribution of **width  $W$**  and **center  $0$**

# Study of the localized limit $N > \xi$

Elastic coherent transport,

Linear Response,

Sommerfeld expansions



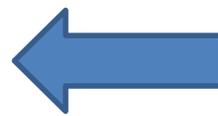
“Mott Formula”

$$S = t \left. \frac{d \ln \mathcal{T}}{dE} \right|_{E_F}$$

*Typical* thermopower

$$[\ln \mathcal{T}]_0(E) = -\frac{2N}{\xi(E)}$$

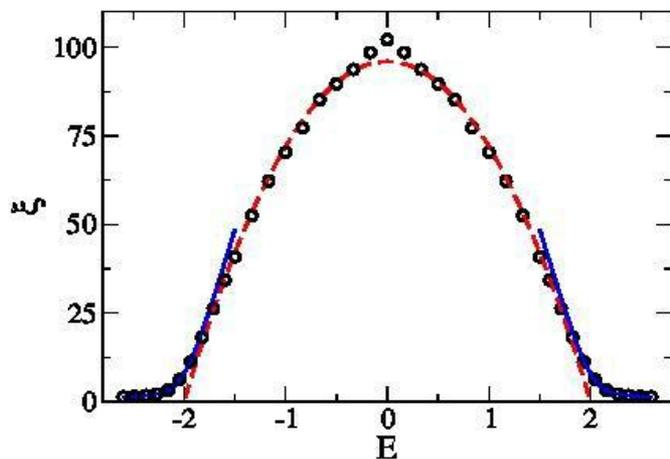
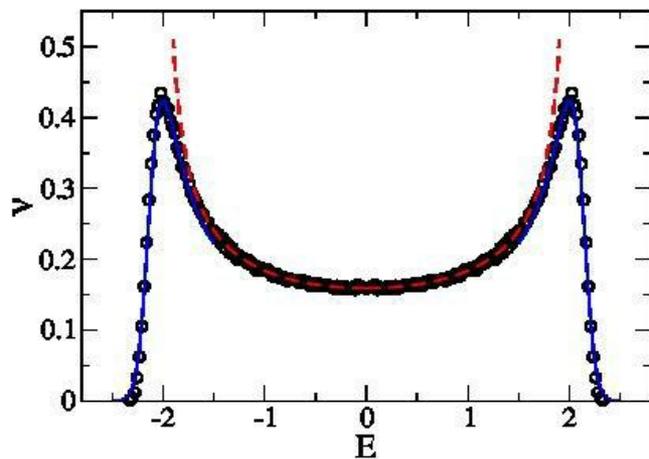
$$S = \frac{\pi^2}{3} \left( \frac{k_B}{e} \right) \left( \frac{k_B T}{t} \right) S$$



In physical units

To predict the typical behavior of  $S$ , one just need to know how the localization length  $\xi$  depends on the energy  $E$ .

# Weak Disorder expansions of the 1d density of states $\nu = \rho/N$ and of the localization length $\xi$ (assuming $V_G = 0$ )



Numerical check with  $W = 1$

$$\rho_b(E)/N = \frac{1}{2\pi t \sqrt{1 - (E/2t)^2}}$$

**BULK**

$$\xi_b(E) = \frac{24}{W^2} (4t^2 - E^2)$$

-----  
B. Derrida & E. Gardner, J. Physique 45, 1283 (1984)

$$\rho_e(E)/N = \sqrt{\frac{2}{\pi}} \left( \frac{12}{tW^2} \right)^{1/3} \frac{\mathcal{I}_1(X)}{[\mathcal{I}_{-1}(X)]^2}$$

**EDGE**

$$\xi_e(E) = 2 \left( \frac{12t^2}{W^2} \right)^{1/3} \frac{\mathcal{I}_{-1}(X)}{\mathcal{I}_1(X)}$$

$$X = (|E| - 2t)t^{1/3}(12/W^2)^{2/3}$$

$$\mathcal{I}_n(X) = \int_0^\infty y^{n/2} e^{-\frac{1}{6}y^3 + 2Xy} dy$$

# TYPICAL THERMOPOWER AT LOW T: WEAK DISORDER THEORY & NUMERICAL CHECK WITH W=1

Using Sommerfeld expansions for having Mott formula

Bulk:

$$S_0^b = -N \frac{(E_F - V_g) W^2}{96t^3 [1 - ((E_F - V_g)/2t)^2]^2}$$

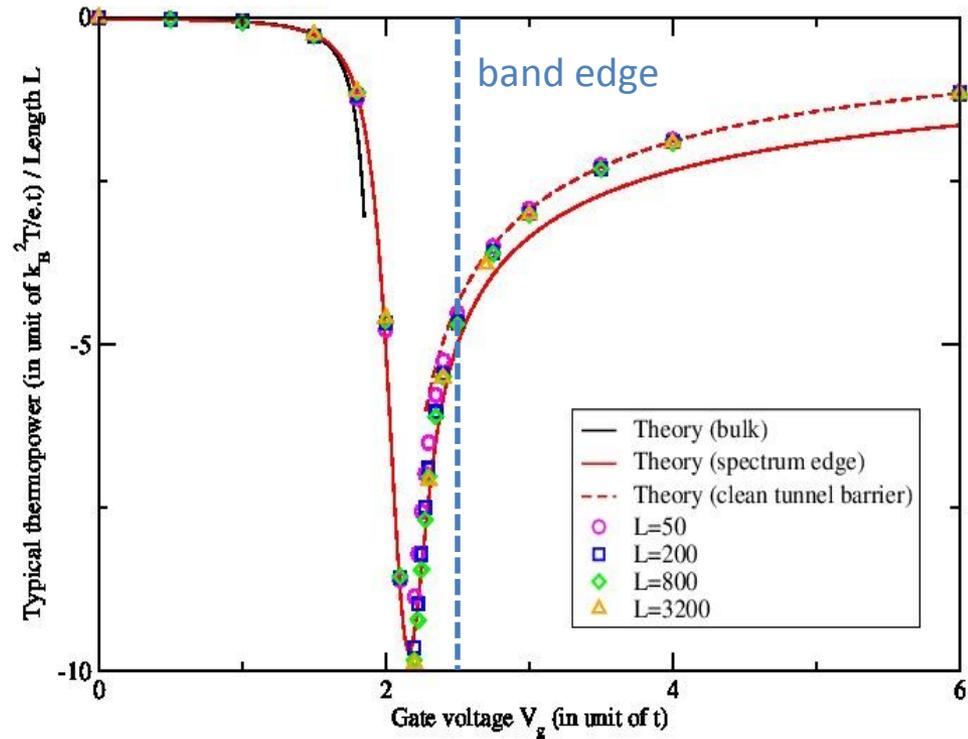
Edge:

$$S_0^e = -2N \left( \frac{12t^2}{W^2} \right)^{1/3} \left\{ \frac{I_3(X)}{I_{-1}(X)} - \left[ \frac{I_1(X)}{I_{-1}(X)} \right]^2 \right\}$$

$$X = (|E_F - V_g| - 2t)t^{1/3} (12/W^2)^{2/3}$$

Tunnel Barrier:

$$\frac{S_0^{TB}}{N} \underset{N \rightarrow \infty}{\approx} \frac{1}{N} \frac{2t}{\Gamma(E_F)} \left. \frac{d\Gamma}{dE} \right|_{E_F} \pm \frac{1}{\sqrt{\left( \frac{E_F - V_g}{2t} \right)^2 - 1}}$$



**Large Enhancement of the Thermopower  
near the band edge of the nanowire**

# MESOSCOPIC FLUCTUATIONS: THERMOPOWER DISTRIBUTIONS

In the Bulk



Cauchy distribution (demonstrated in [1] for the case  $S_0=0$ )

$$P(S) = \frac{1}{\pi} \frac{\Lambda}{\Lambda^2 + (S - S_0)^2}$$

$$\Lambda = \frac{2\pi t}{\Delta_F}$$

$S_0$  = typical thermopower

$\Delta_F$  = mean level spacing near  $E_F$

Near the Edge



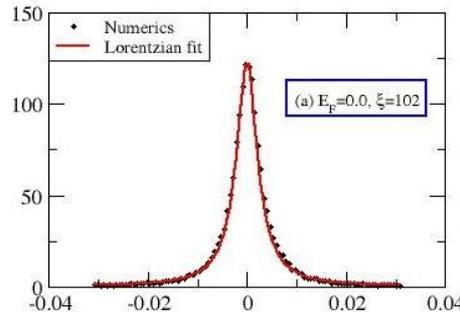
Gauss distribution (characterized numerically)

$$P(S) = \frac{1}{\sqrt{2\pi}\lambda} \exp\left[-\frac{(S - S_0)^2}{2\lambda^2}\right]$$

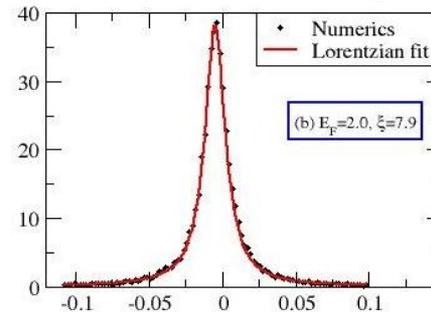
$$\lambda \approx 0.6 \frac{Wt\sqrt{N}}{(E_F - V_g)^2 - (2t + W/4)^2}$$

1D nanowire with disorder  $W=1 \rightarrow$  Spectrum edge at  $E=2.5t$

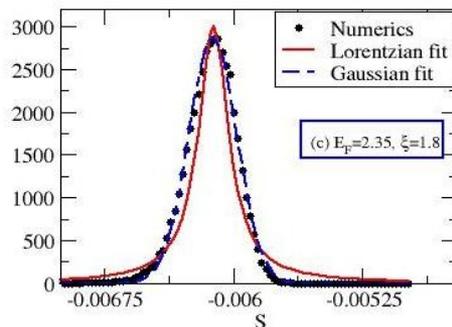
$V_g = 0.0$



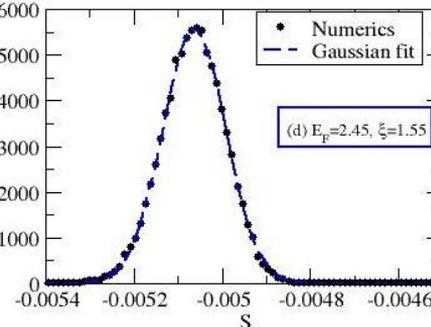
$V_g = 2.0$



$V_g = 2.35$



$V_g = 2.45$



[1] S. A. van Langen, P. G. Silvestrov, and C.W. J. Beenakker, Superlattices Microstruct. 23, 691 (1998).

[2] R. Bosisio, G. Fleury and J-L. Pichard, (2013)

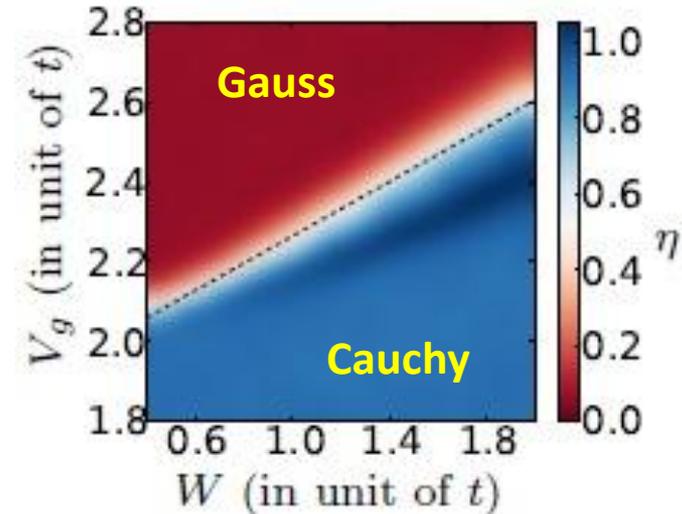
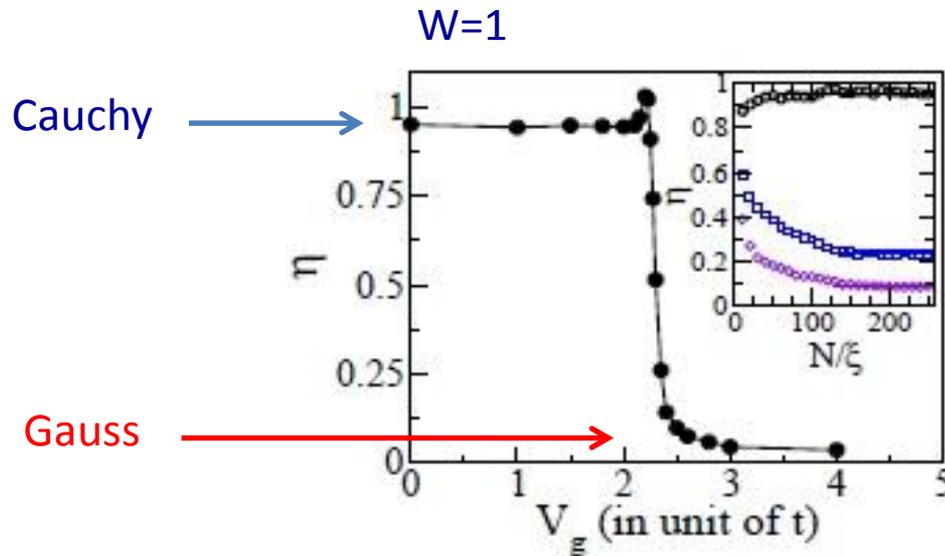
# MESOSCOPIC FLUCTUATIONS: CHARACTERIZING THE TRANSITION

$$\eta = \frac{\int dS |P(S) - P_G(S)|}{\int dS |P_L(S) - P_G(S)|}$$

Parameter which measures the "distance" between the observed numerical distribution and the best Lorentzian ( $P_L$ ) and Gaussian ( $P_G$ ) fits

- $\eta = 1$  if Cauchy distribution
- $\eta = 0$  if Gauss distribution

Edge:  $V_G = 2,5$



The thermopower (delay time) distribution of a disordered chain has a universal Cauchy distribution in the bulk of its spectrum which becomes Gaussian as the spectrum edges (edge of the impurity band) are approached.

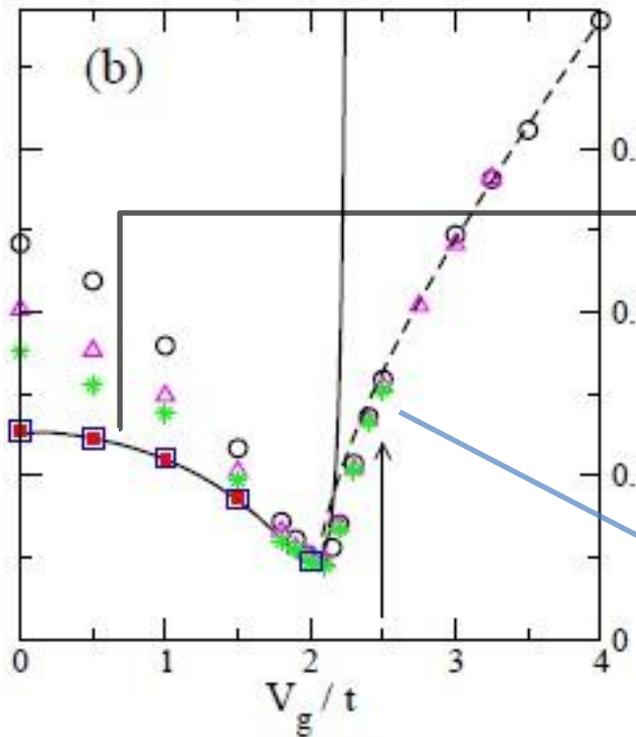
Both for the chaotic cavity and the disordered chain, the fluctuations at the edges differ from those in the bulk of the spectrum,

# “SOMMERFELD” TEMPERATURE

## Validity of the Sommerfeld expansion leading to Mott formula for S

Validity of Sommerfeld Expansion  $\longrightarrow$  Wiedemann-Franz (WF) law, Mott formula

Range of validity of W-F law for  $W = 1$  and  $E_F = 0$  as a function of  $V_G$



Sommerfeld temperature is proportional to the mean energy level spacing in the system:

$$k_B T_c \propto \Delta_F$$

Proportionality constant depends on required precision

Result for the tunnel barrier:

$$Nk_B T_c \propto t \sqrt{[(E_F - V_g)/(2t)]^2 - 1}$$

Estimation for Si nanowire:  $\sim 100$  mK

# ENHANCED TEP NEAR THE BAND EDGE OF SEMICONDUCTING NWS AT ROOM TEMPERATURE

<http://arxiv.org/pdf/1307.0249v1.pdf>

## Electric Field Effect Thermoelectric Transport in Individual Silicon and Germanium/Silicon Nanowires

Yuri M. Brovman<sup>1</sup>, Joshua P. Small<sup>1</sup>, Yongjie Hu<sup>2</sup>, Ying Fang<sup>2</sup>, Charles M. Lieber<sup>2</sup>, and Philip Kim<sup>1</sup>

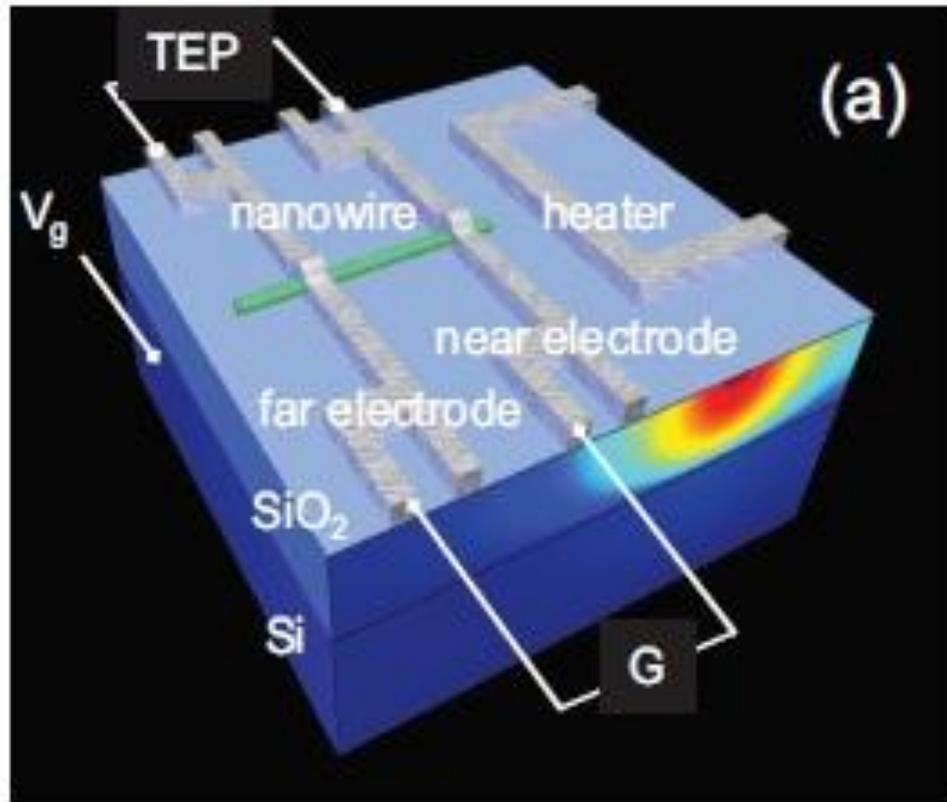
<sup>1</sup> Department of Applied Physics and Applied Mathematics and Department of Physics,  
Columbia University, New York, New York, 10027, USA and

<sup>2</sup> Department of Chemistry and Chemical Biology,  
Harvard University, Cambridge, MA 02139, USA

We have simultaneously measured conductance and thermoelectric power (TEP) of individual silicon and germanium/silicon core/shell nanowires in the field effect transistor device configuration. As the applied gate voltage changes, the TEP shows distinctly different behaviors while the electrical conductance exhibits the turn-off, subthreshold, and saturation regimes respectively. At room temperature, peak TEP value of  $\sim 300\mu$  V/K is observed in the subthreshold regime of the Si devices.

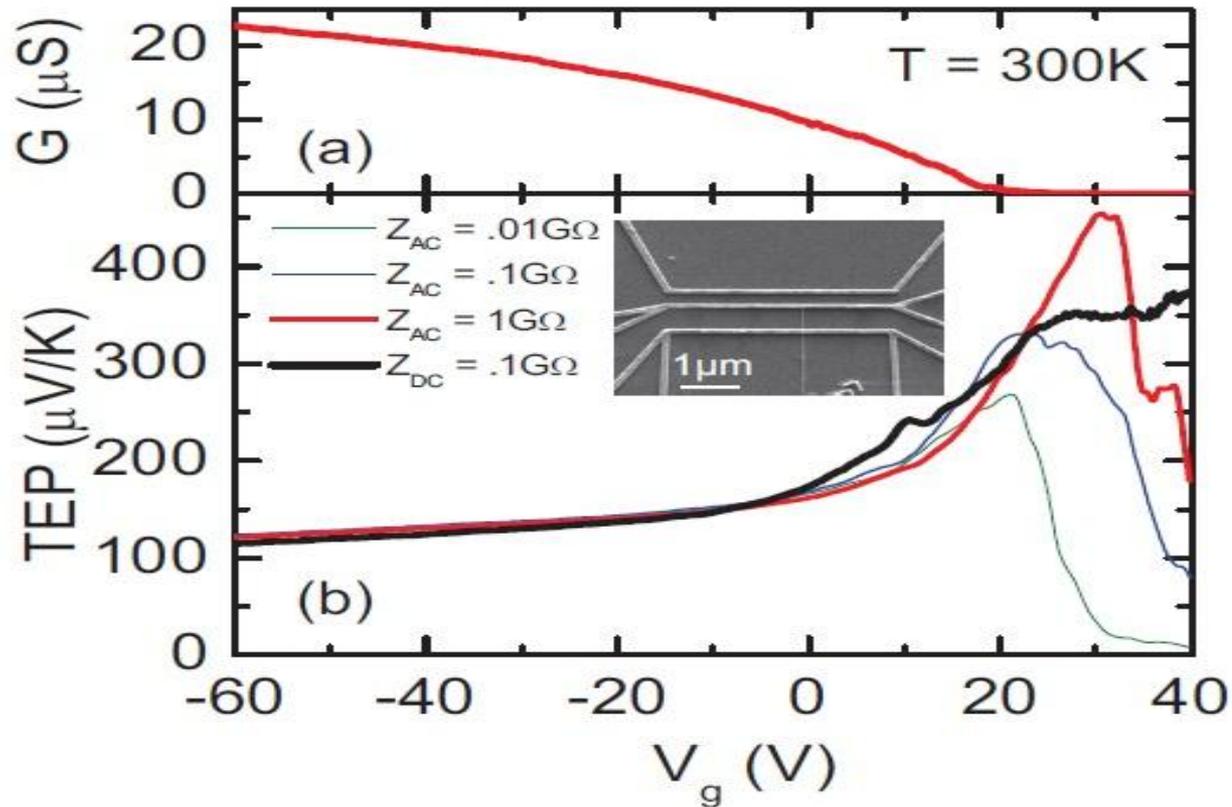
Substantially large peak TEP values are observed in the subthreshold regime of the Si and Ge/Si devices, **indicating largely enhanced TEP near the band edge of semiconducting NWS.**

# FIELD EFFECT TRANSISTOR DEVICE CONFIGURATION



Schematic diagram of the simultaneous measurement technique of conductance and thermopower on individual nanowires. The finite element simulation shows a temperature profile, with red being the hottest and blue being the bath temperature, of the cross section of the substrate.

# GE/SI NANOWIRE AT ROOM TEMPERATURE



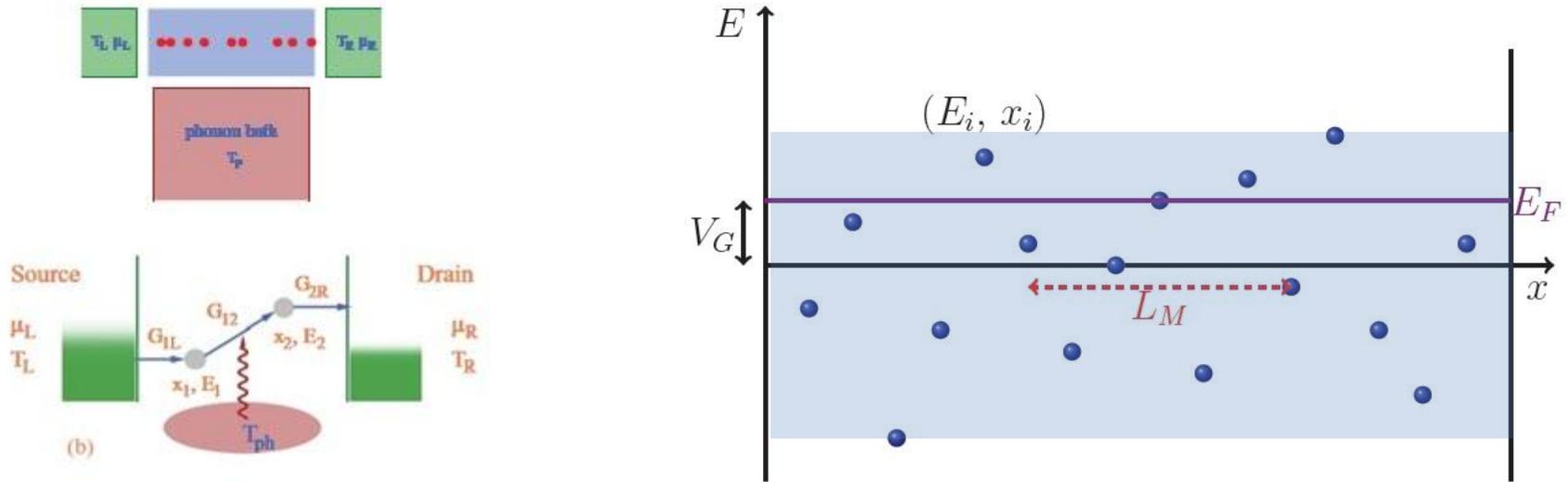
Conductance (a) and thermopower (b) of a Ge/Si nanowire as a function of gate voltage taken at  $T = 300\text{K}$ . The inset in (b) shows a typical SEM image of a 12 nm Ge/Si device. Large input impedance becomes important when measuring TEP near the band edge of a semiconductor, as the FET device turns off.

# Thank You

# Variable Range Hopping (VRH) Transport in gated disordered NWS

Hopping between pairs of localized states mediated by phonons  
 Conductance: competition between **tunneling** and **activated** processes

$$G_{ij} \sim e^{-2|x_i - x_j|/\xi} e^{-(|E_i - \mu| + |E_j - \mu| + |E_i - E_j|)/2k_B T}$$



Maximization of the conductance yields the scale of typical hop:

$$L_M \simeq \left( \frac{\xi}{2\nu T} \right)^{1/2}$$

Mott's Hopping length

$\xi$  = localization length  
 $\nu$  = density of states / volume

[1] J-H. Jiang, O. Entin-Wohlman and Y. Imry, Phys. Rev. B 87, 205420 (2013).

[2] R.Bosisio, G. Fleury and J-L. Pichard, (2013)

# TEMPERATURE SCALES

$$L_M \simeq \left( \frac{\xi}{2\nu T} \right)^{1/2}$$

$\mathbf{T} \downarrow$

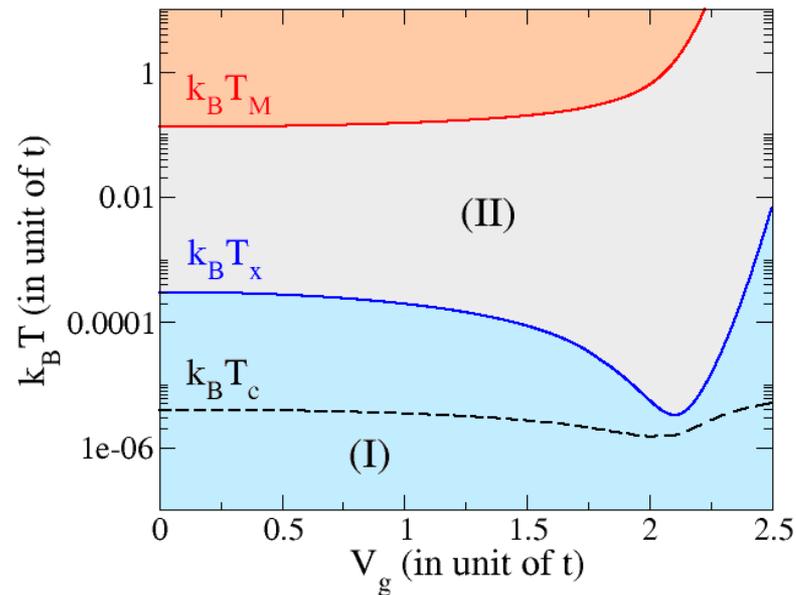
Low T:  $L_M \gg L \rightarrow$  elastic transport

Increasing T:  $L_M \sim L \rightarrow$  onset of inelastic processes

Increasing T:  $L_M \sim \xi \rightarrow$  simple activated transport

$$T_x \sim \frac{\xi}{2\nu L^2}$$

$$T_M \simeq (\xi^d \nu)^{-1}$$



VRH Typical Conductance : (Mott's picture)  $G(T) \sim \exp \left\{ - \left( \frac{T_M}{T} \right)^{1/(d+1)} \right\}$   $d=1$  (dimensionality)

What about the thermopower?

## 1. Transition rates Between localized states

**[Inelastic transition rates (Fermi Golden Rule)]**

$$\Gamma_{ij} = \gamma_{ij} f_i (1 - f_j) [N_{BE}(\epsilon_i - \epsilon_j) + \theta(\epsilon_i - \epsilon_j)]$$

$$\gamma_{ij} = \alpha_{e-ph} \cdot e^{-|x_i - x_j|/\xi}$$

Between lead and localized states

**[Elastic tunneling rates]**

$$\Gamma_{Li} = \gamma_{Li} f_i (1 - f_j) \quad \gamma_{ij} = e^{-|x_i - x_j|/\xi}$$

## 2. Conductances

$$G_{ij} = \frac{e^2}{k_B T} \Gamma_{ij}$$

## 3. Local chemical potential (out of equilibrium transport)

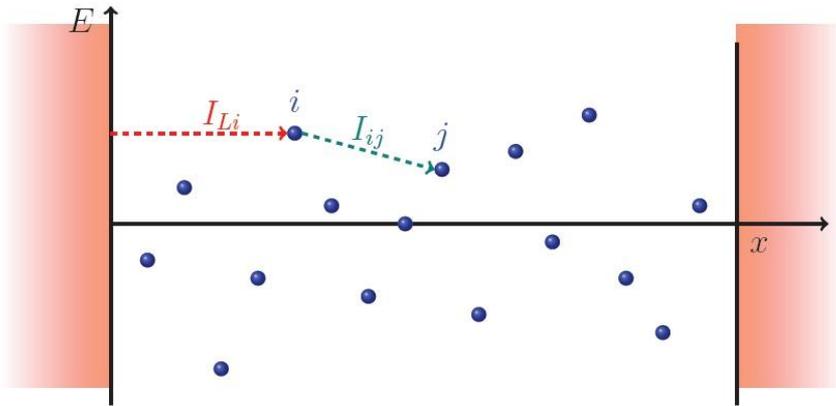
$$f_i(\mu) \rightarrow f_i(\mu + \delta\mu_i)$$

## 4. Current

$$I_{ij} = G_{ij} \frac{\delta\mu_i - \delta\mu_j}{e}$$

# RANDOM RESISTOR NETWORK [1,2]

energy levels localized at (random) positions  $\mathbf{x}_i$



$I_{ij}$ : hopping current between sites  $i$  and  $j$

$I_{iL(R)}$ : tunneling current between site  $i$  and leads

$$f_i = f_i^0 + \delta f_i \quad \text{"local" FD distribution}$$

Current conservation at node  $i$ :

$$\left( \sum_{j \neq i} I_{ij} \right) + I_{iL} + I_{iR} = 0$$

Electric current:

$$I_L^e = \sum_i I_{iL} = - \sum_i I_{iR}$$

Heat current:

$$I_{L(R)}^Q = \sum_i \left( \frac{E_i - \mu_{L(R)}}{e} \right) I_{iL(R)}$$

$$\text{Peltier: } \Pi = \frac{I_L^Q}{I_L^e}$$

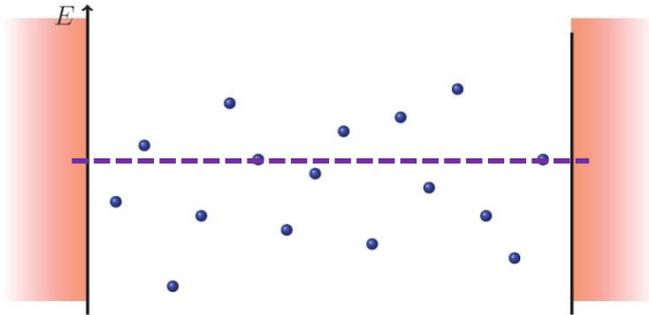
Thermopower:  
(from Onsager relations)  $S = \frac{\Pi}{T}$

[1] A. Miller and E. Abrahams, Phys. Rev. 120, 745 (1960)

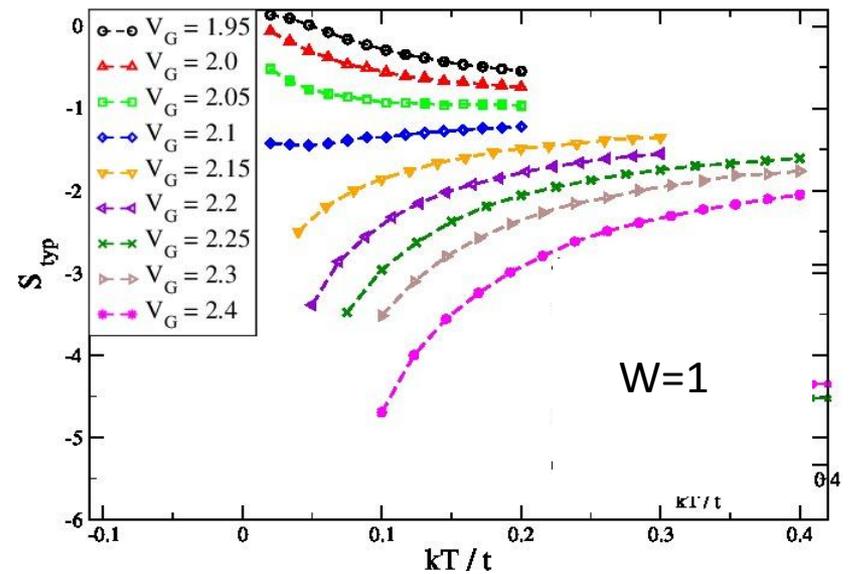
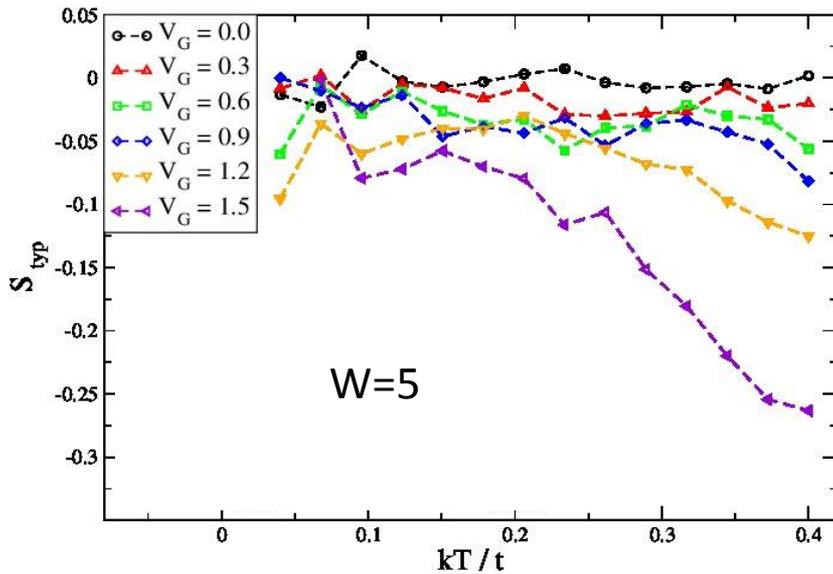
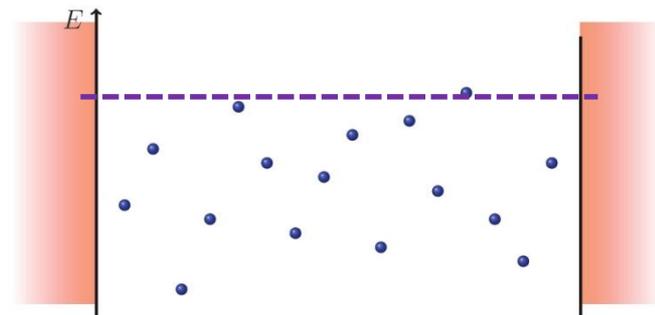
[2] J-H. Jiang, O. Entin-Wohlman and Y. Imry, Phys. Rev. B 87, 205420 (2013).

# EFFECT OF $V_g$ ON TYPICAL THERMOPOWER IN VRH

Bulk



Edge



THANK YOU

VOLUME 81, NUMBER 16 PHYSICAL REVIEW LETTERS 19 OCTOBER 1998

## Thermometer for the 2D Electron Gas using 1D Thermopower

N. J. Appleyard, J. T. Nicholls, M. Y. Simmons, W. R. Tribe, and M. Pepper

*Cavendish Laboratory, Madingley Road, Cambridge CB3 0HE, United Kingdom*

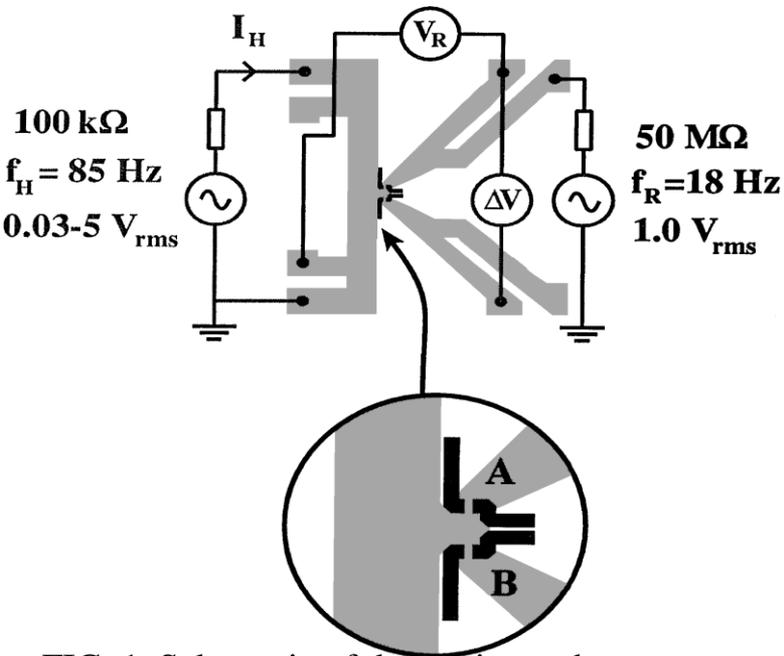


FIG. 1. Schematic of the device and measurement circuit. The etched mesa, shown in grey, consists of a heating channel and two voltage probes, where the two 1D constrictions are defined. The four-terminal resistance  $R$  is measured simultaneously with the thermopower  $S$ , but at a different frequency. Magnified view: The two pairs of split gates defining the constrictions  $A$  and  $B$  are shown in solid black.

$$S = \left. \frac{\Delta V}{T_e - T_l} \right|_{I=0} = \frac{(\pi k_B)^2}{3e} (T_e + T_l) \frac{\partial(\ln G)}{\partial \mu}$$

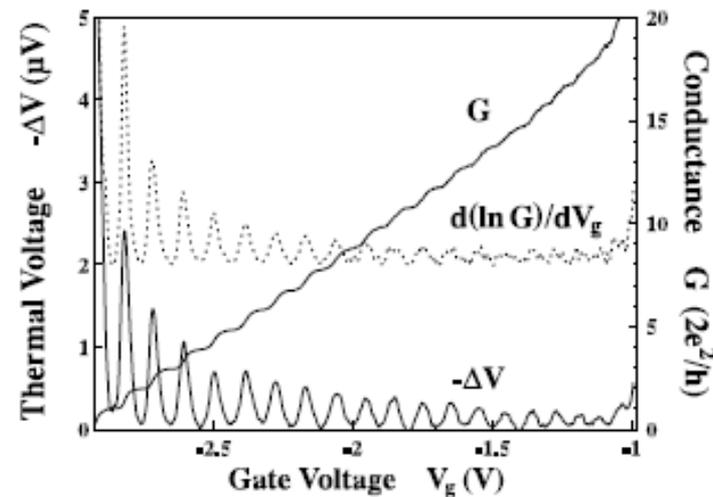


FIG. 2. Experimental traces of the conductance  $G$  and the thermopower voltage from constriction  $A$ , using a heating current of **1.5 mA** at a lattice temperature of **305 mK**, so that  $T_e \sim 600$  mK. The dashed line shows the predicted thermopower signal from the Mott relation [Eq. (1)].

## ❑ I . Elastic Coherent Regime (Low temperatures)

### A - 1d localized systems:

- **Measure of the conductance** of disordered nanowires in the field effect transistor device configuration (**Sanquer et al**) and the Mott formula for the thermopower.
- **Theory using an Anderson model for a 1d disordered chain** Thermoelectric transport (i) the bulk; (ii) the edge and (iii) eventually the outside of the impurity band - Typical behavior and fluctuations of the thermopower

### B - 0d chaotic systems

- **Measure of the thermopower** of a chaotic cavity (Molenkamp et al).
- **Random matrix theory of the thermopower of a chaotic cavity:**  
Universal thermopower distribution in the bulk (Wigner-Dyson) and at the edge (Tracy-Widom) of the spectrum.

## ❑ II. Inelastic Activated Regime (Intermediate temperatures) in 1d.

Mott variable range hopping and Miller-Abrahams resistor network, Seebeck and Peltier coefficients in the bulk and near the edges of the impurity band.

## ❑ III. Thermoelectric transport at room temperature (Kim et al).

PHYSICAL REVIEW B VOLUME 59, NUMBER 16 15 APRIL 1999-II

**W. Poirier**

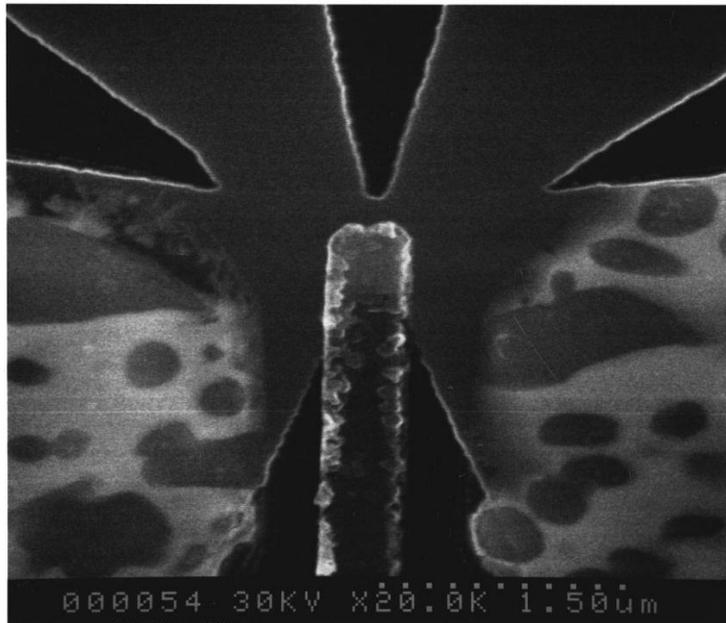
*CEA-DSM-DRECAM-SPEC, C.E. Saclay, 91191 Gif sur Yvette Cedex, France*

**D. Mailly**

*CNRS-LMM, 196 Avenue Henri Ravera, 92220 Bagneux, France*

**M. Sanquer**

*CEA-DSM-DRFMC-SPSMS, CEA-Grenoble, 17 Rue des Martyrs, 38054 Grenoble, France*



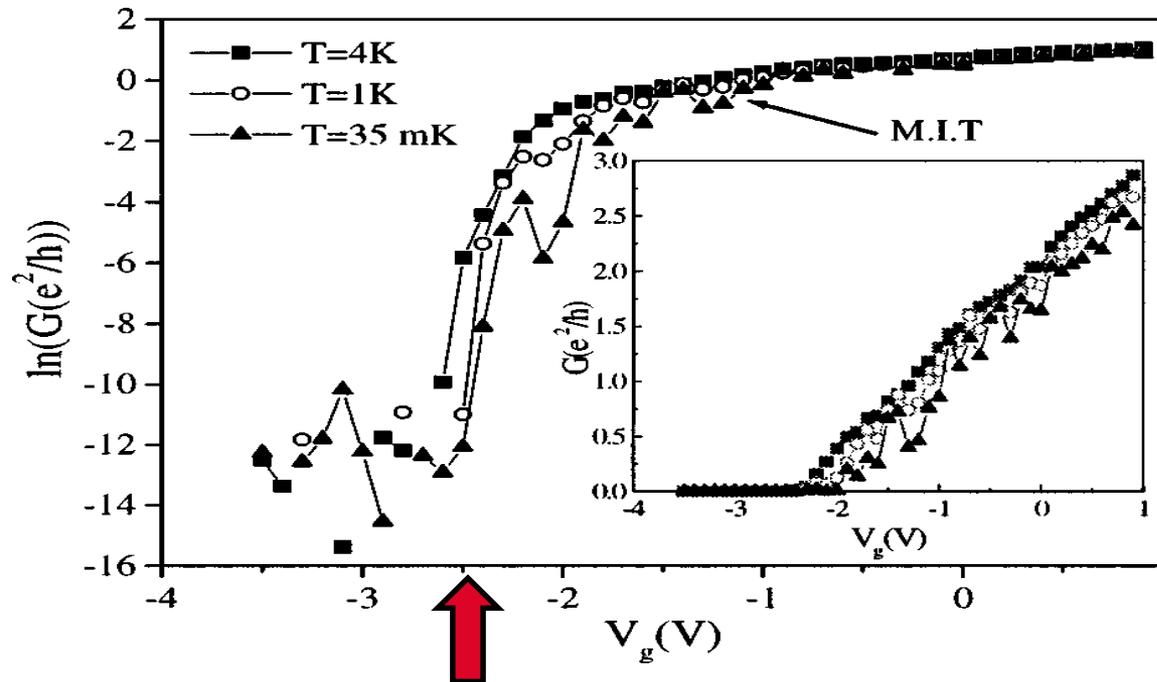
## Quantum coherent elastic transport in the field effect transistor device configuration at very low temperature

We study the transport through gated GaAs:Si wires of  $0.5 \mu\text{m}$  length in the insulating regime and observe transport via tunneling at very low temperature. We describe the mean positive magnetoconductance and the mesoscopic fluctuations of the conductance ~versus energy or magnetic field! purely within one-electron interference model.

FIG. 1. SEM picture of the GaAs:Si submicronic MESFET. The 0.5- $\mu\text{m}$ -thick aluminum Schottky gate is visible on the bottom. **The gate** does not cover the whole constriction width, but **covers entirely the conducting channel if one considers the depletion width**. The GaAs is doped at  $10^{23} \text{ Si m}^{-3}$ ) 300-nm-thick layer is etched to form four large contact pads to the active region under the gate. AuxGe<sub>12</sub>xNi Ohmic contacts are visible on the right and the left. The volume of the active region is estimated to be  $0.2 \times 0.2 \times 0.5 \mu\text{m}^3$  (taking into account depletion layers for  $V_{gate} = 0 \text{ V}$ , about 120 nm).

# GATE MODULATED CARRIER DENSITY

Anderson Insulator  $\longleftrightarrow$  Conductor

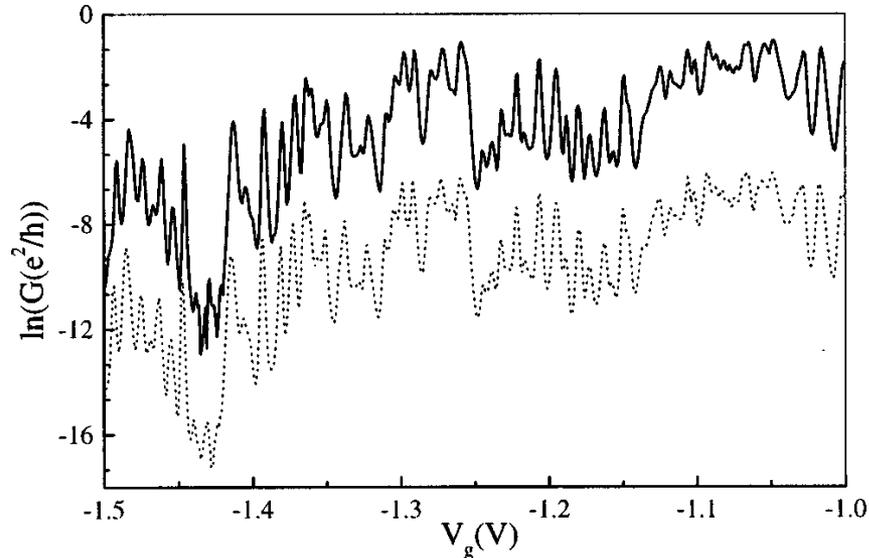


$\ln G(V \text{ gate})$  at three temperatures in a large gate voltage range  
(details of the conductance pattern are not seen for this gate voltage sampling)  
Inset: the same curve in a linear scale. Note the linearity at voltages above the transition.



Edge of the impurity band = -2,5 V  
(Complete depletion of the disordered nanowire)

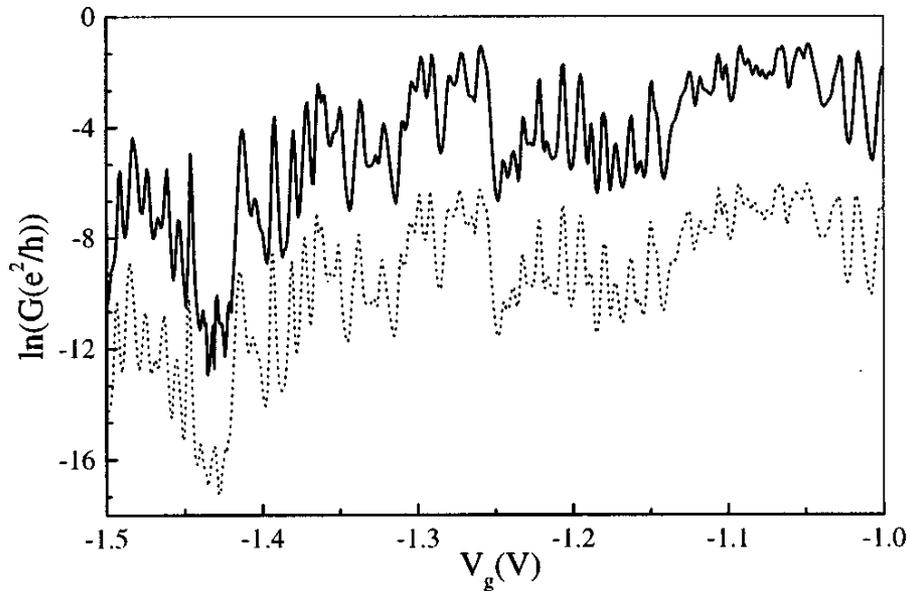
# REPRODUCIBLE CONDUCTANCE FLUCTUATIONS INDUCED BY A VARIATION OF THE GATE VOLTAGE IN THE INSULATING REGIME



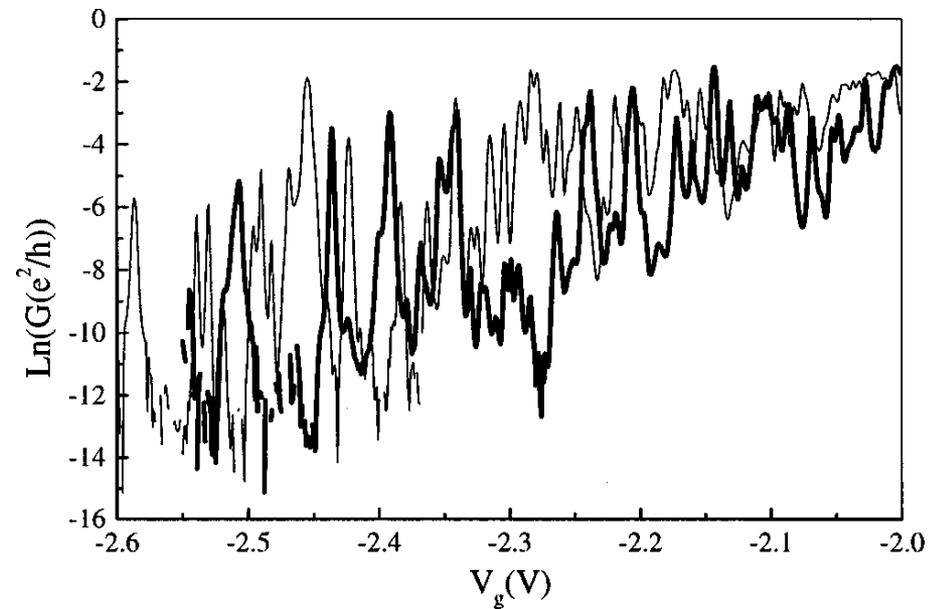
**$\ln G(V \text{ gate})$**  at  $T = 100 \text{ mK}$  in the  **$0.5\text{-}\mu\text{m}$ -long** sample for two successive experiments without thermal cycling, showing the excellent reproducibility of the conductance pattern (curves are shifted for clarity).

# CONDUCTANCE FLUCTUATIONS INDUCED BY VARYING THE GATE VOLTAGE

**Bulk** of the impurity band



**Edge** of the impurity band



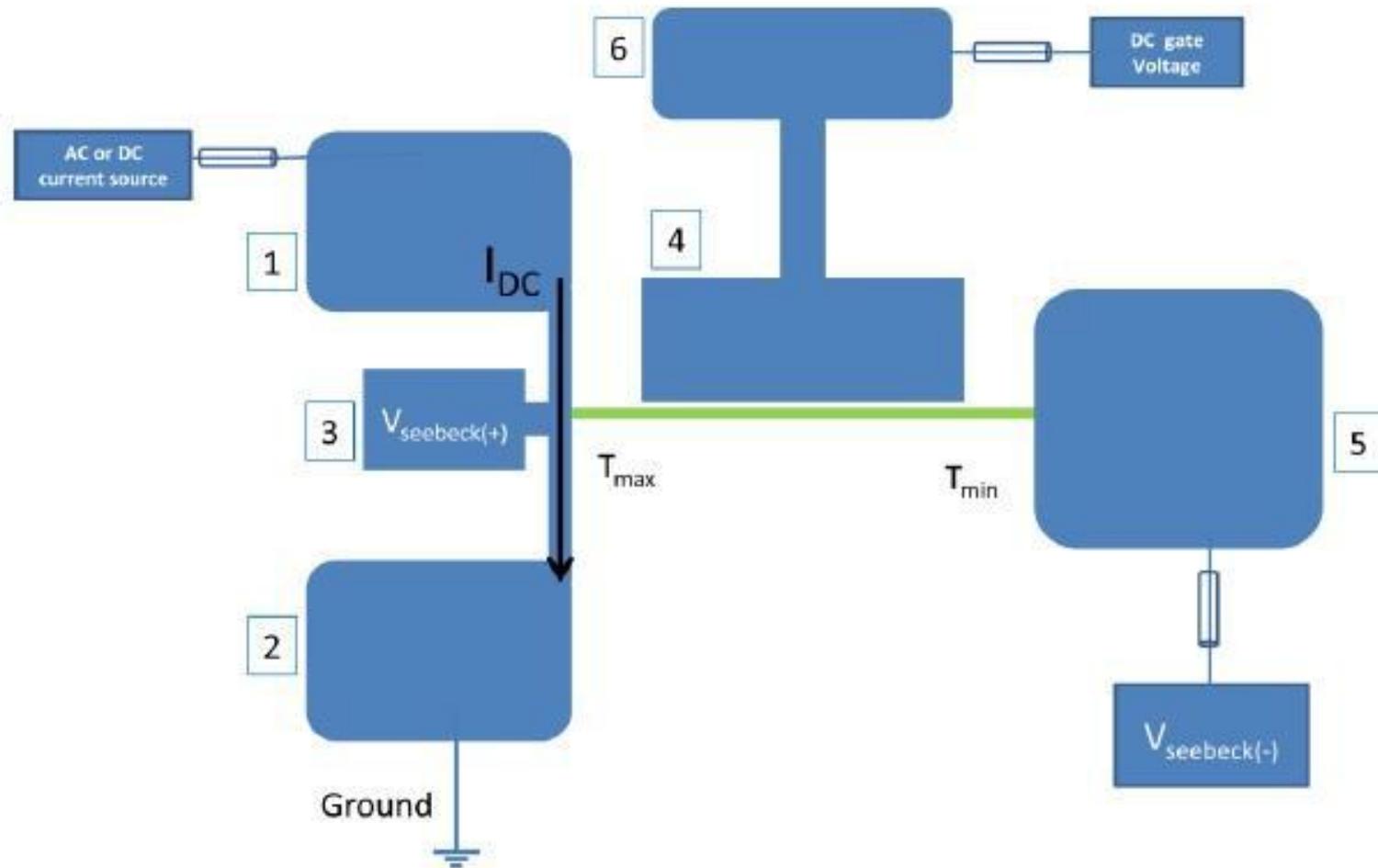
**Mott Formula :**

$$S \approx \frac{\partial \ln(G)}{\partial V_g}$$

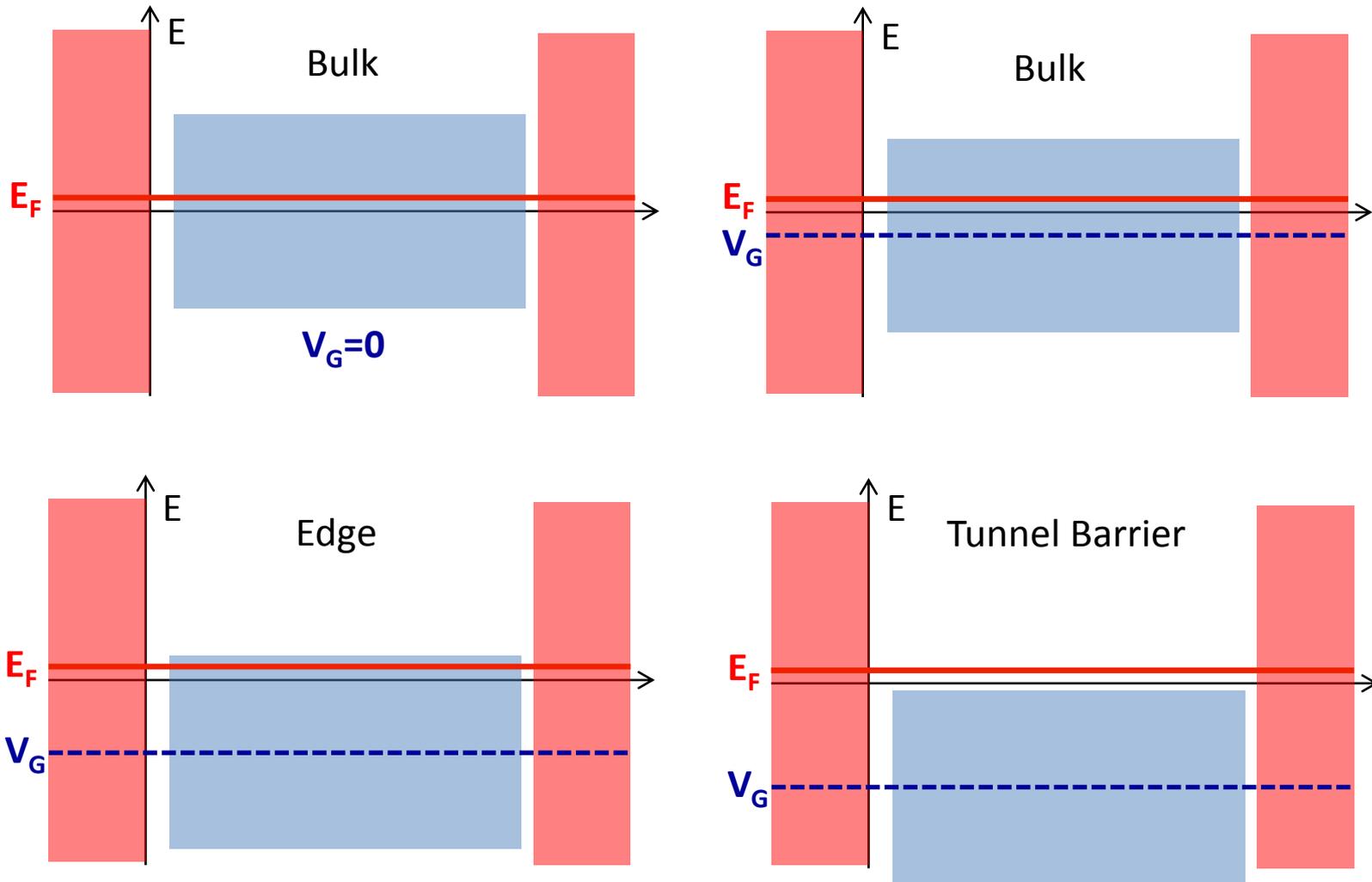


**Larger thermopower at the band edges**

# PRINCIPLE OF A MEASURE OF THE THERMOPOWER (O. BOURGEOIS)



# EFFECT OF GATE VOLTAGE ON THE IMPURITY BAND



What matters is the relative position of  $E_F$  inside the impurity band