

# Size dependance of the photo-induced phase transition in $\text{Ti}_3\text{O}_5$ nanocrystals

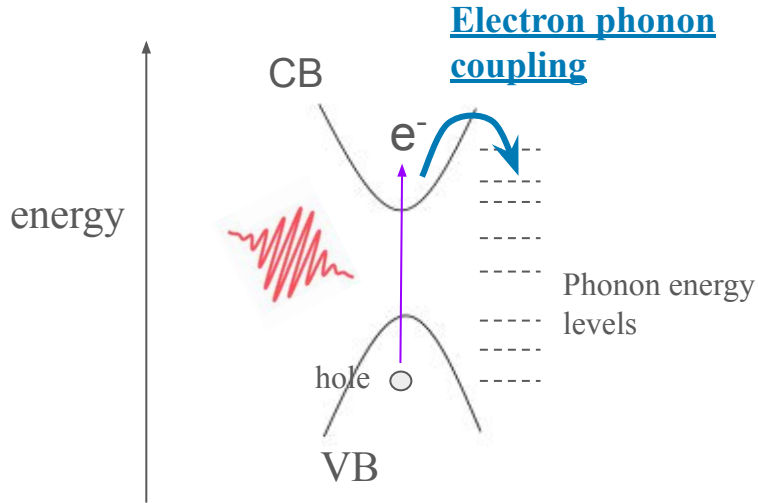
Ritwika Mandal

Jean Rouxel Institute of Materials, Nantes



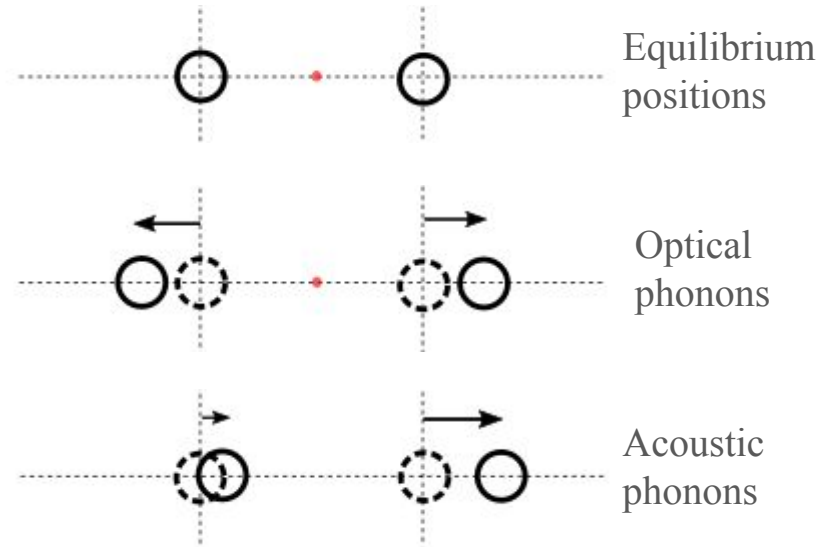
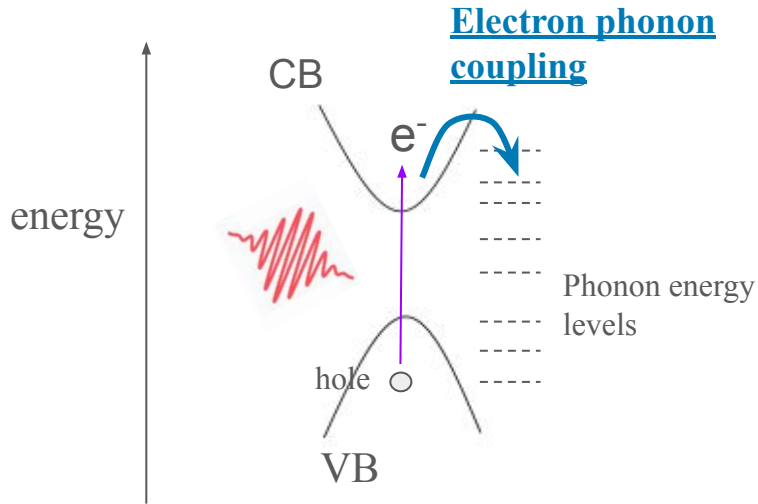
# Photoexcitation

- Photon absorption induces *electronic excitation*
- The electron relaxes by imparting *energy to the lattice system*; disruption of equilibrium position of atoms
- The vibration of atoms can be around their equilibrium position or resulting in overall shift of the equilibrium position



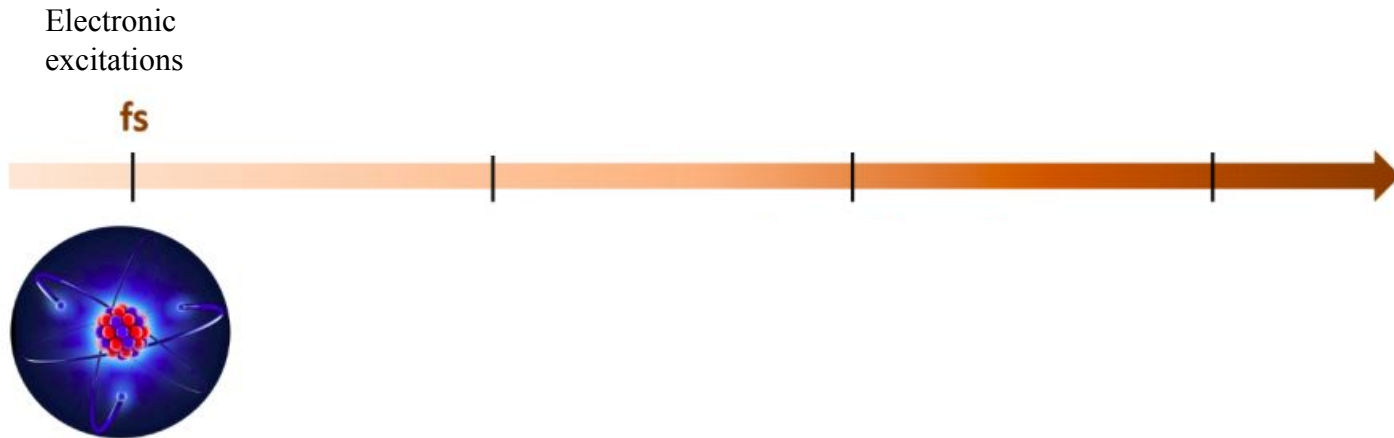
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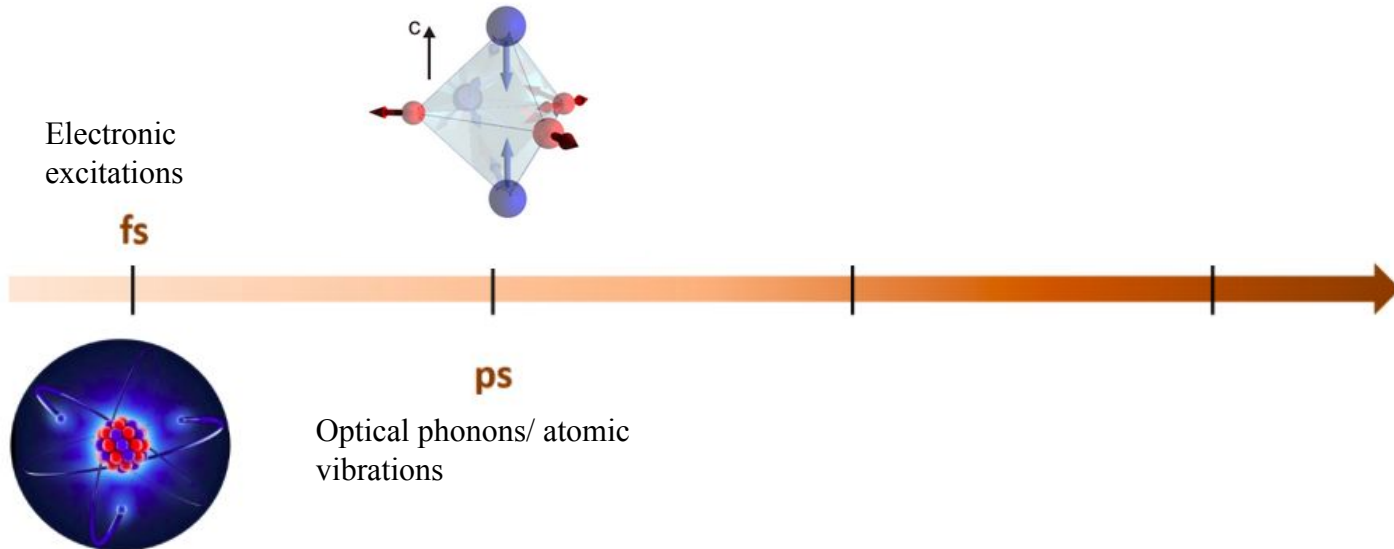
# Multiscale dynamics

- Different subsystems unfold at different length and timescales
  - Electronic excitation immediately upon photoexcitation
  - Different phonon modes due to electron-phonon coupling
  - Thermal equilibrium governed by heat diffusion
  - Recovery of the system to its ground state (reversible changes)
- No master equation valid over all time scales



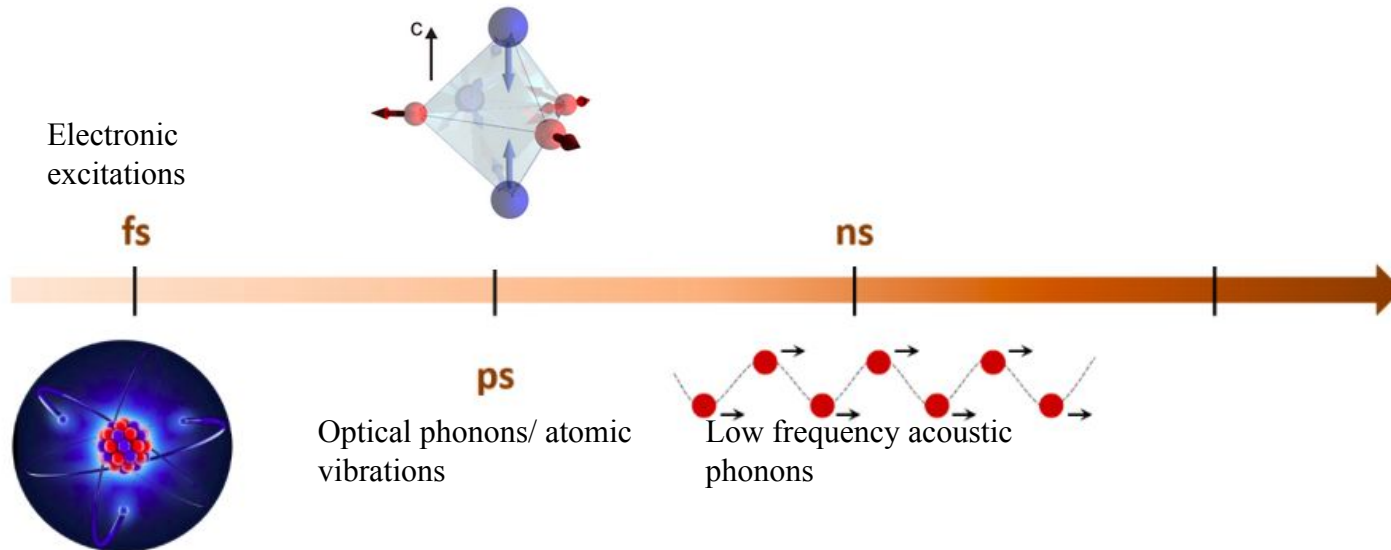
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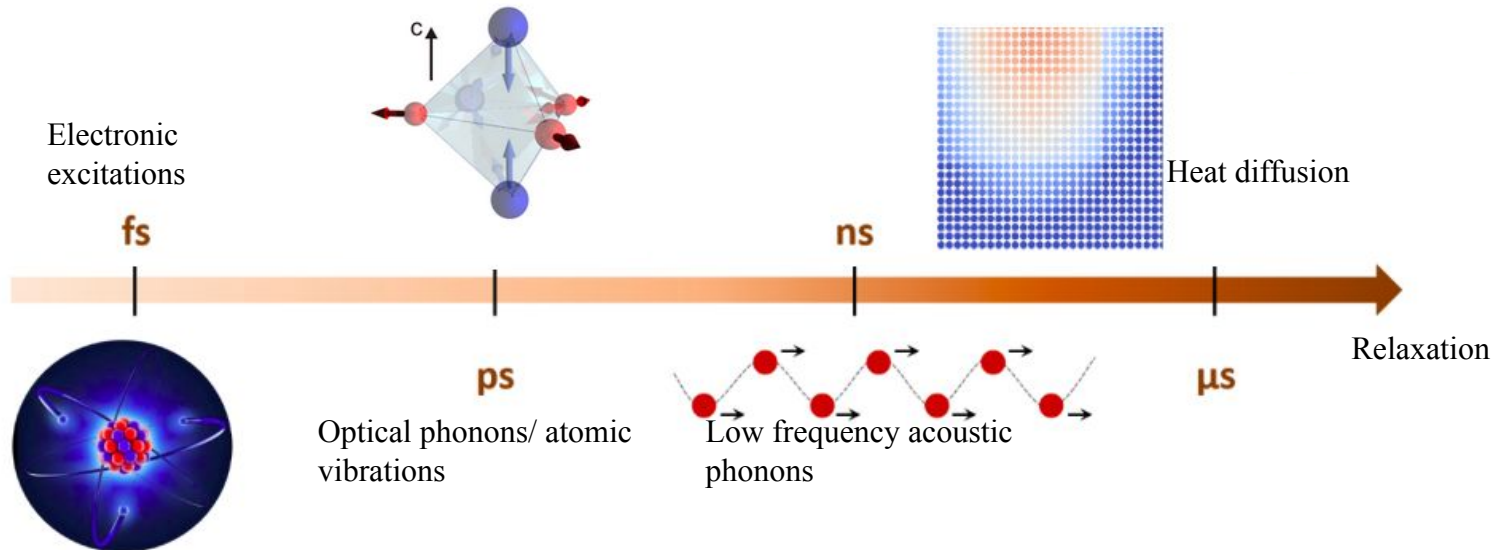
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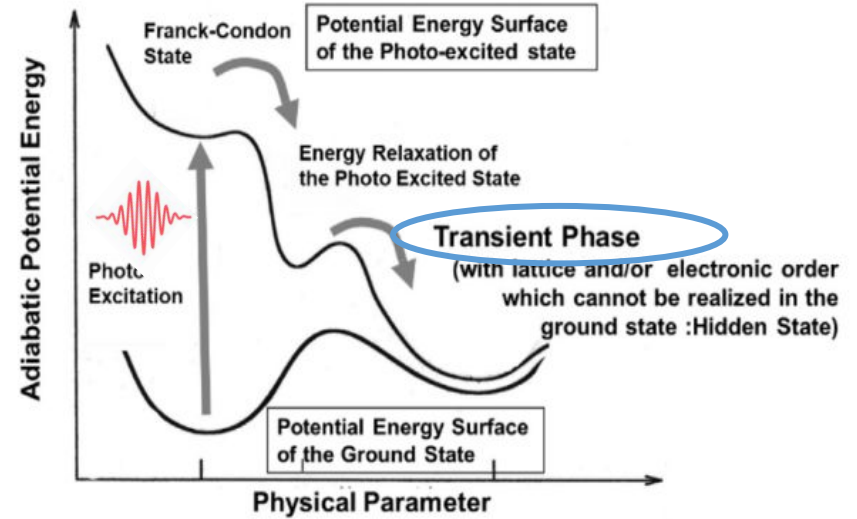
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# Phase transition upon photoexcitation

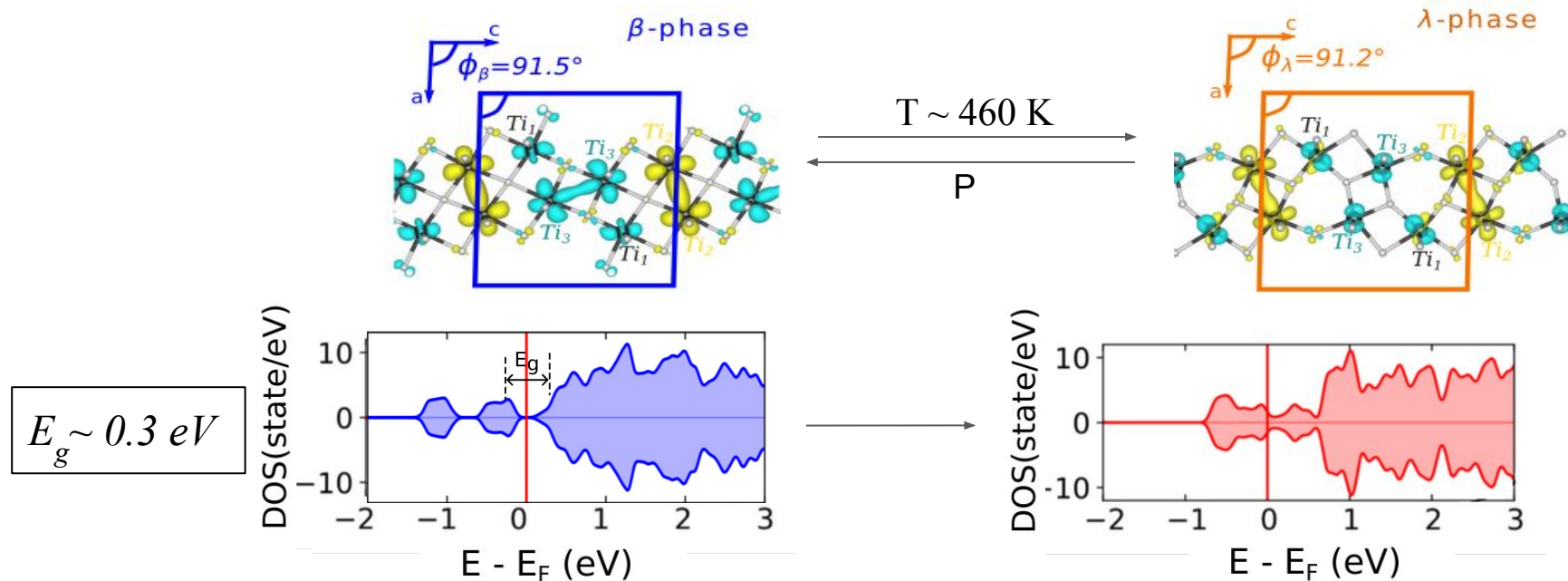
- The photo-excitation drives the system into a *new energy landscape*
- It can drive the system transiently or permanently toward a *new macroscopic state*
- This photo-induced macroscopic state can have a *new lattice structure* and/or *electronic order*



Nasu, K. (2004). *Photoinduced phase transitions*. World Scientific.

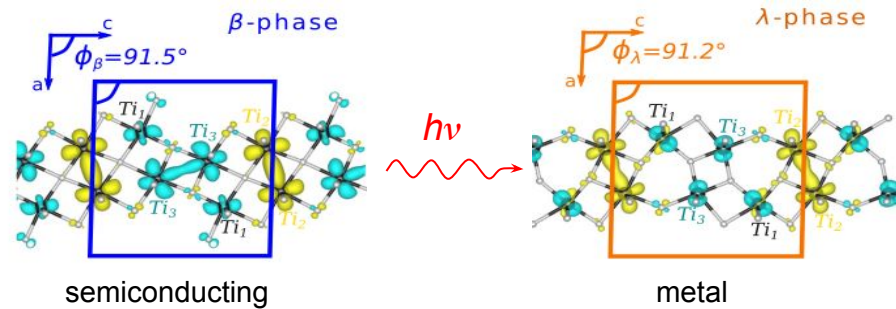
# Semiconductor to metal phase transition in $\text{Ti}_3\text{O}_5$

- Semiconducting to metal transition at  $T \sim 460$  K
- Transition is isostructural with an increase in volume of +6.4%



# Semiconductor to metal phase transition in $\text{Ti}_3\text{O}_5$

We investigate the semiconductor to metal phase transition in  $\text{Ti}_3\text{O}_5$  nanocrystals.

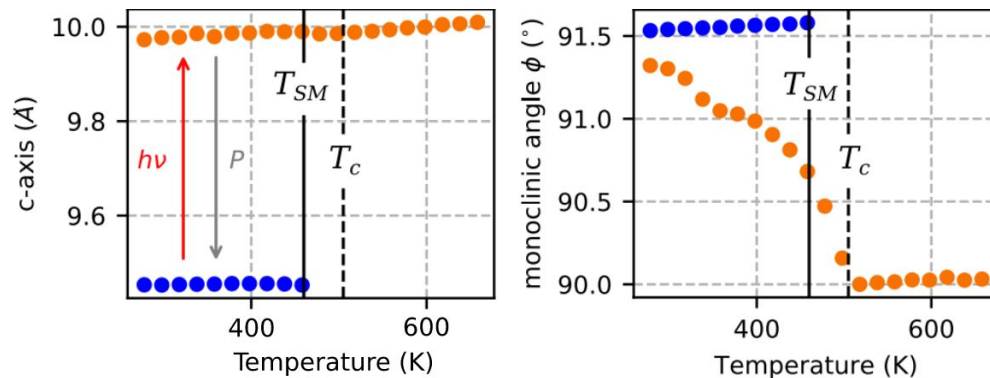
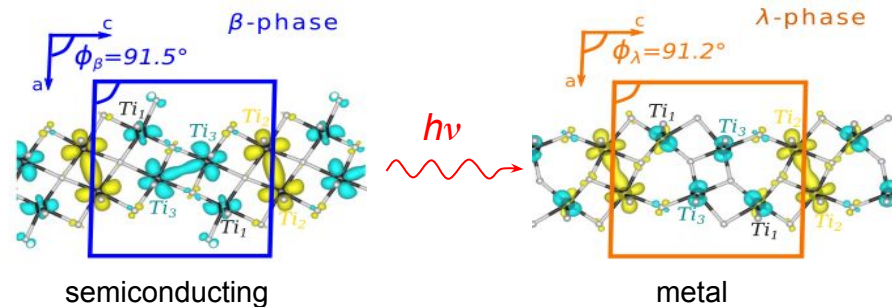


# Semiconductor to metal phase transition in $\text{Ti}_3\text{O}_5$

We investigate the semiconductor to metal phase transition in  $\text{Ti}_3\text{O}_5$  nanocrystals.

For *nano-sized crystallites*, the metastable  $\lambda$ -phase is stable at room temperature, making the system *bistable* in a broad temperature range.

**Great interest for technological applications**  
(eg. heat storage,  
solar to steam generation)



Yang, B., et al. Flatband  $\lambda$ - $\text{Ti}_3\text{O}_5$  towards extraordinary solar steam generation. *Nature* **622**, 499–506 (2023).

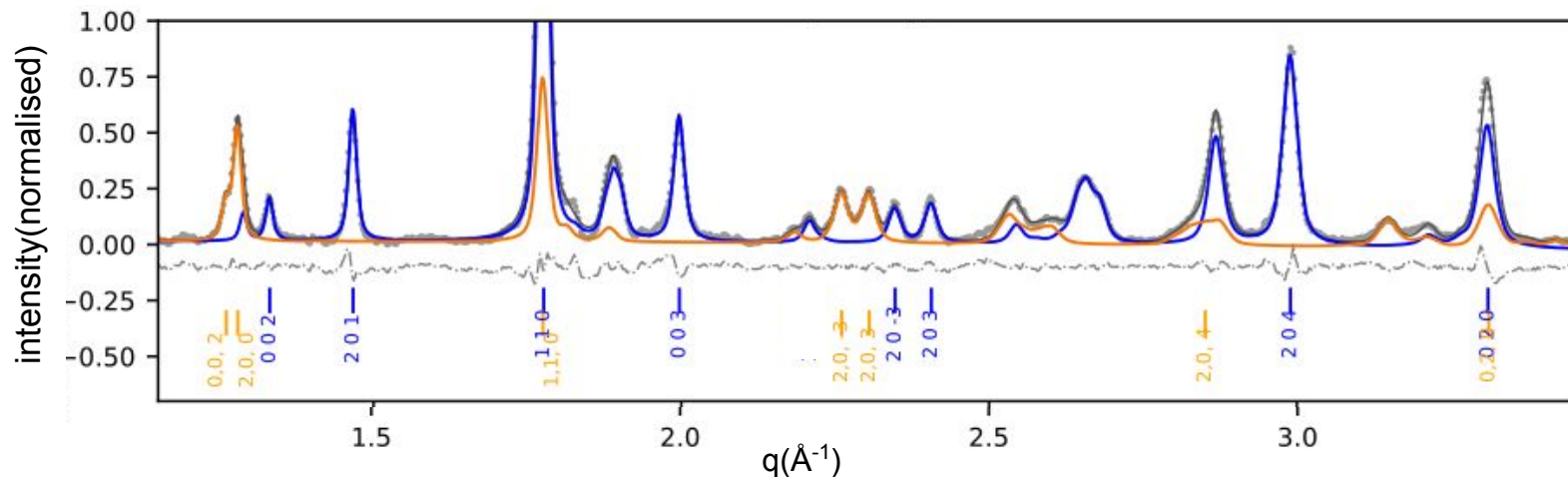
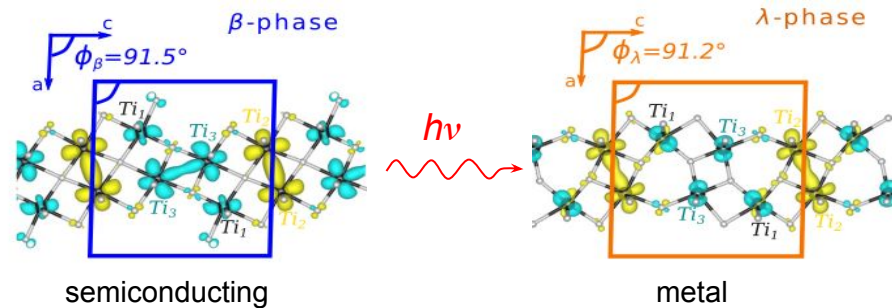
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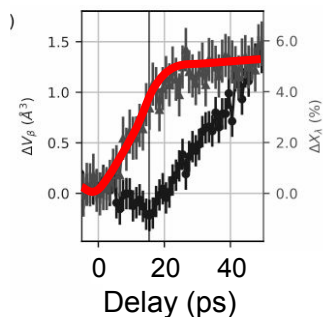
# Photo-induced phase transition in $\text{Ti}_3\text{O}_5$ : State of the art

TR XRD measurements were performed on bistable  $\text{Ti}_3\text{O}_5$  nanocrystal pellets with crystallite size 100 nm.

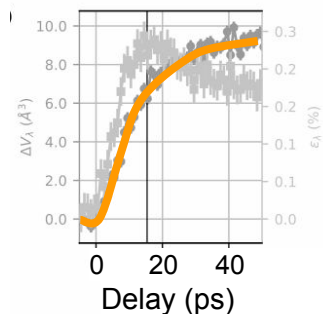
Dynamics showed *phase front* starting at the surface propagates in the bulk with *sound velocity*.

## Strain driven transformation

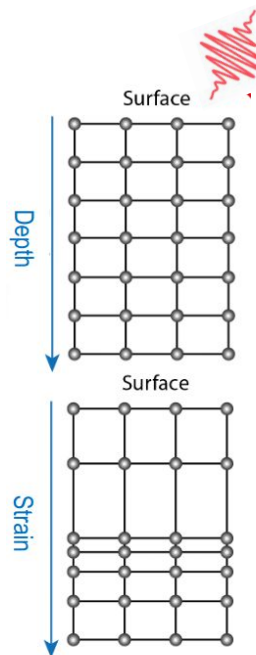
$\lambda$  phase fraction



Unit cell volume



SwissFEL time scale  
(resolution 0.5ps)



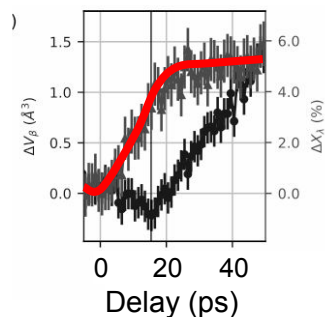
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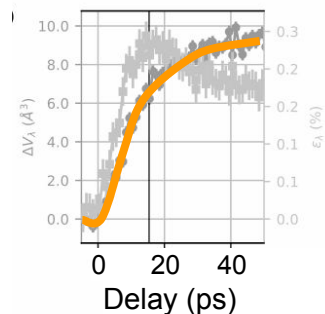
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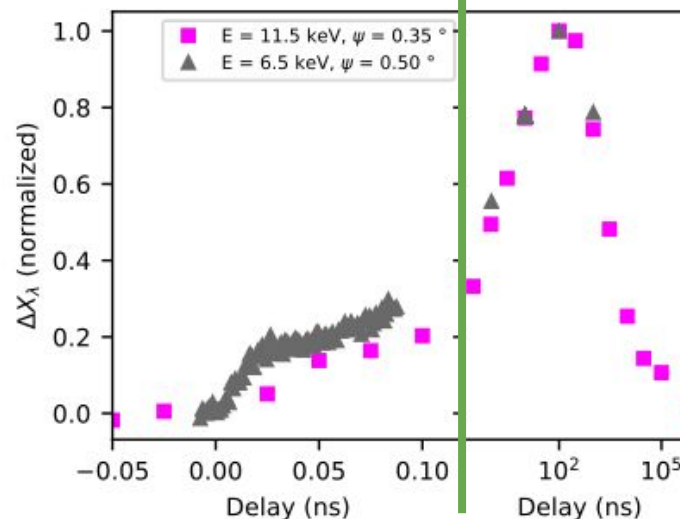
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## Strainwave driven transformation

Thermal transformation/  
Heat diffusion



ESRF time scale  
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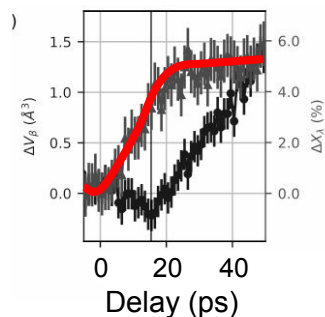
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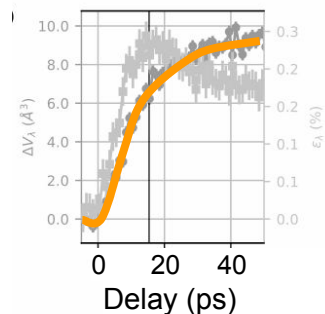
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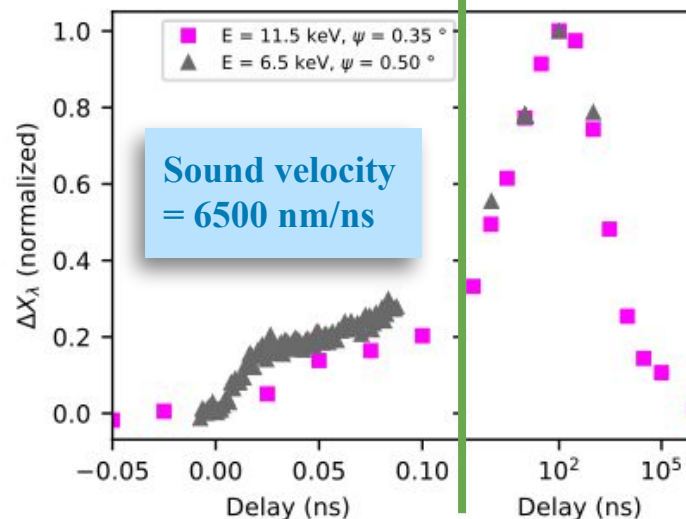


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## Strainwave driven transformation



## Thermal transformation/ Heat diffusion

ESRF time scale  
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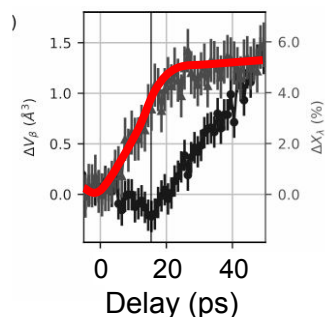
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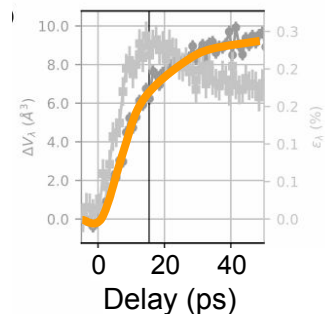
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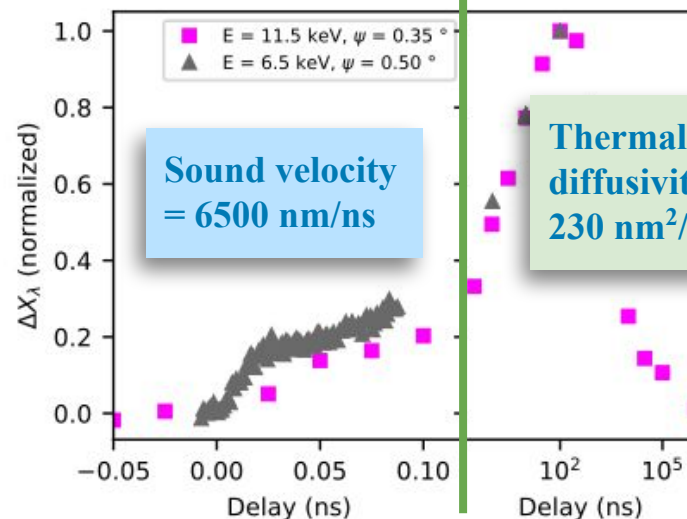


Unit cell volume



SwissFEL time scale  
(resolution 0.5ps)

## Strainwave driven transformation



## Thermal transformation/ Heat diffusion

Sound velocity  
= 6500 nm/ns

Thermal diffusivity =  
230  $\text{nm}^2/\text{ns}$

ESRF time scale  
(resolution  
100ps)

# Open questions

Previous observations:

- 30% crystallites transformed within the first layer
- **optical anisotropy** of the randomly oriented crystallites in the pellet
- transition is favoured when **pump pulse is along ab plane**

*A. Asahara, et.al, Phys. Rev. B 90, 014303/1-7 (2014).*

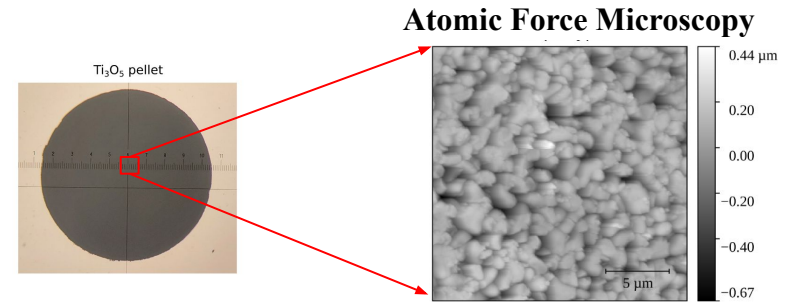
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Previous Hypothesis:

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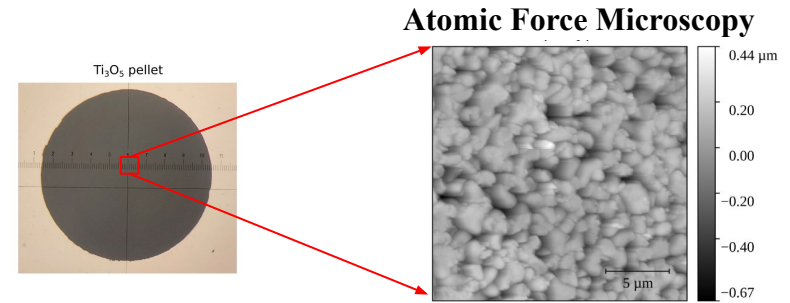
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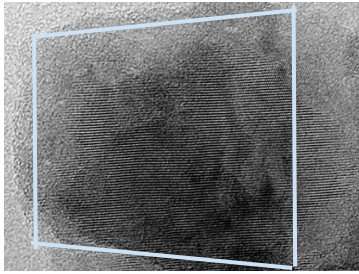
What is the *effect of morphology on the efficiency and timescales* of photoinduced phase transitions in  $\text{Ti}_3\text{O}_5$  nanocrystals?



# Open questions

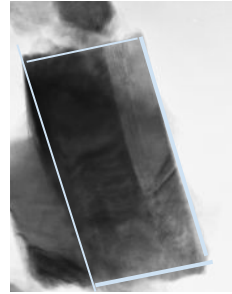
$\lambda$  and  $\beta$   $\text{Ti}_3\text{O}_5$  with different crystallite size have been synthesized by our collaborators in Tokyo University (S.-I. Ohkoshi, Marie Yoshikiyo and Hiroko Tokoro)

*flake-type*



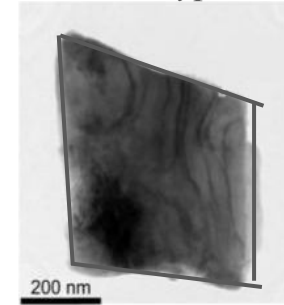
Dimension of crystallites:  $< 100 \text{ nm}$  <sup>[1]</sup>

*stripe-type*



$200 \text{ nm} \times 100 \text{ nm}$  <sup>[2]</sup>

*block-type*



$500 \text{ nm}$  <sup>[3]</sup>

The three morphologies have similar transition temperature but different transition pressure

[1] Ohkoshi, Shin-ichi, et al. "Synthesis of a metal oxide with a room-temperature photoreversible phase transition." *Nature chemistry* 2.7 (2010): 539.

[2] Tokoro, Hiroko, et al. "External stimulation-controllable heat-storage ceramics." *Nature communications* 6.1 (2015): 1-8.

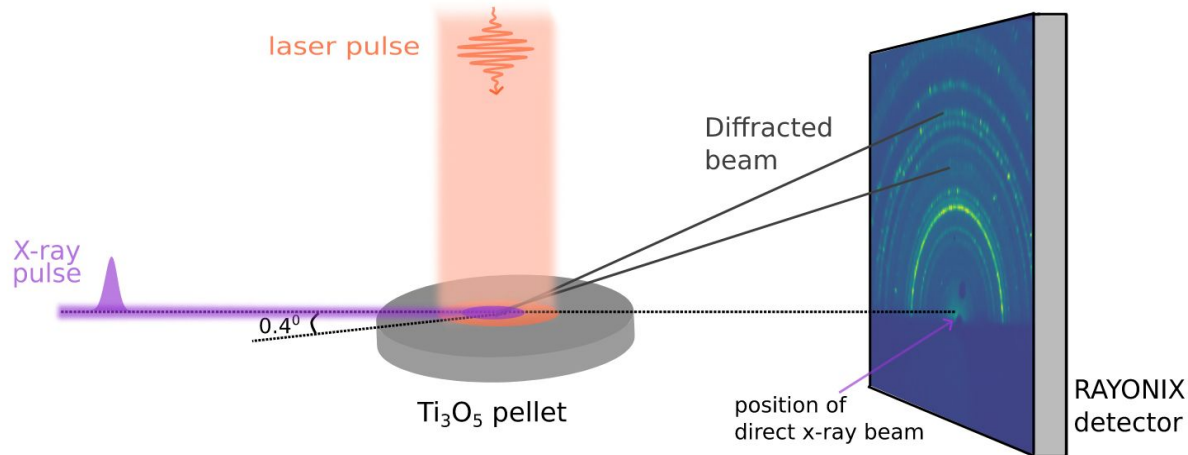
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# Time resolved X-ray diffraction

- **Ultrashort** laser pulse (pump)  $\sim 1$  ps incident normal
- **Ultrashort** X-ray pulse (probe)  $\sim 100$  ps
- Synchronized in time
- Diffraction at near grazing angle to probe only the laser-excited surface.

Laser penetration depth  $\sim 70$  nm

X-ray penetration depth  $\sim 450$  nm at  $0.4^\circ$  incidence angle

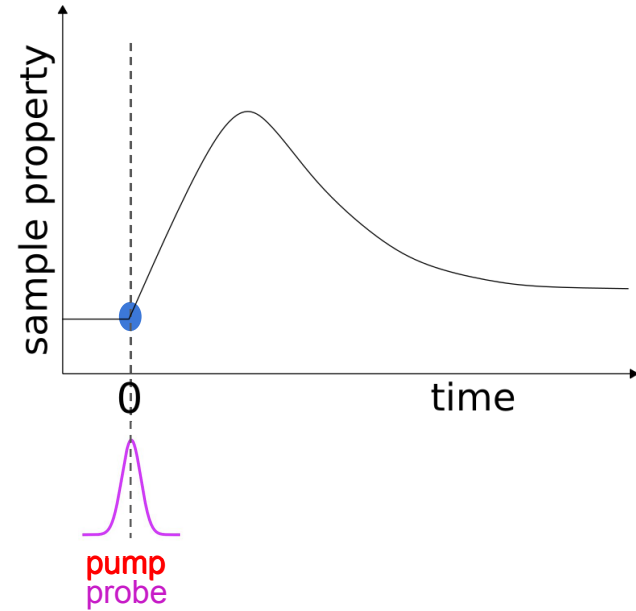
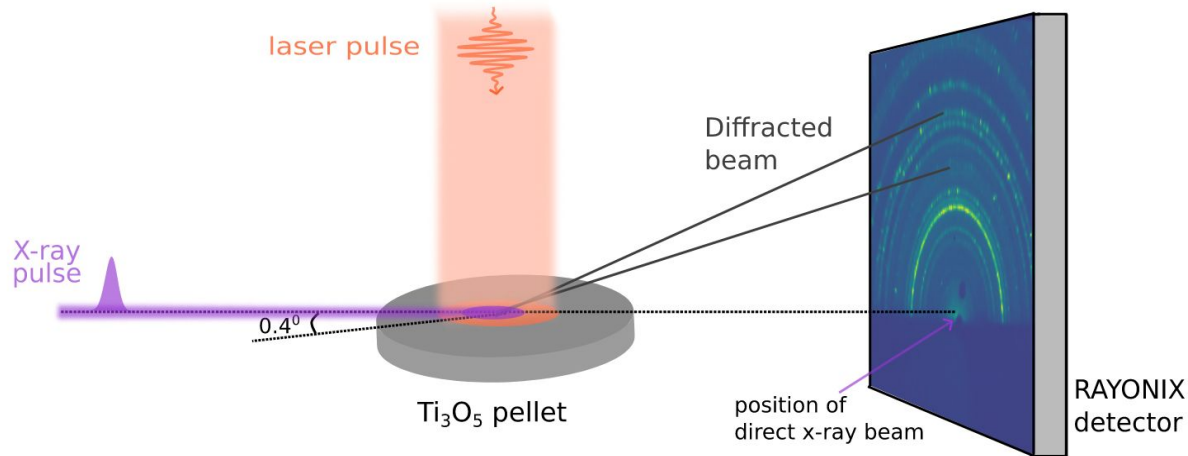


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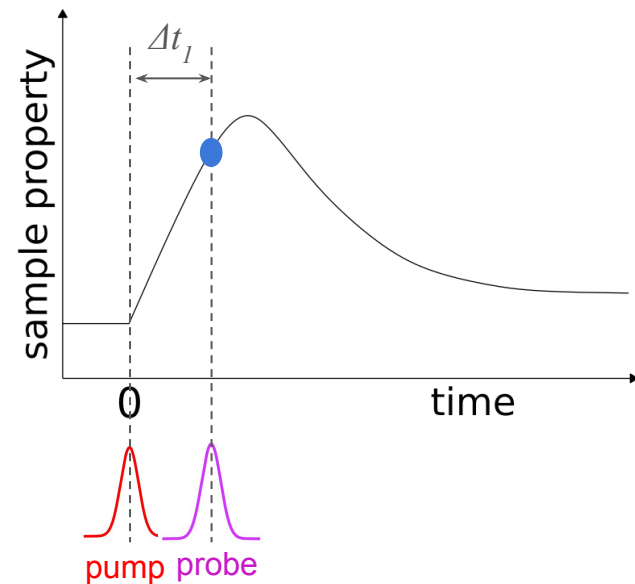
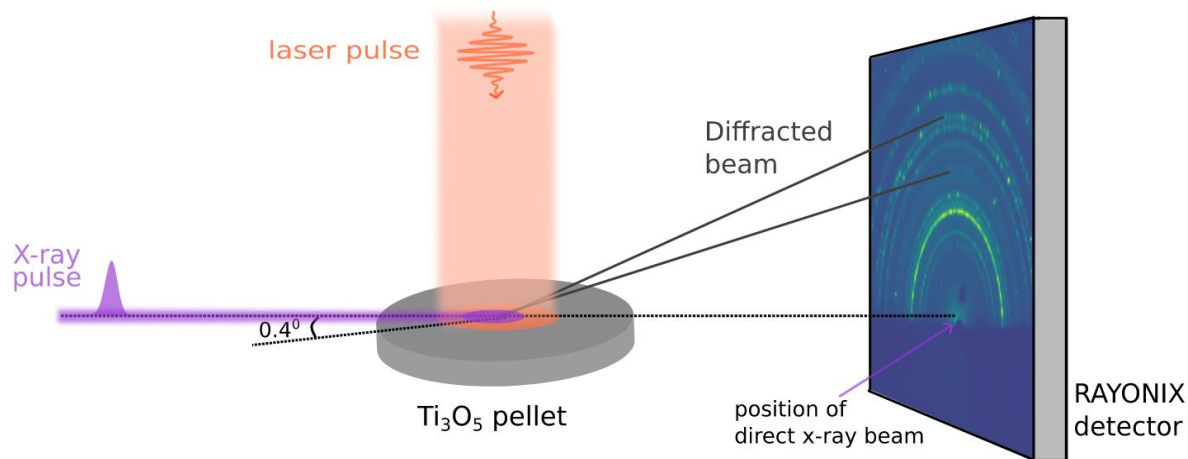


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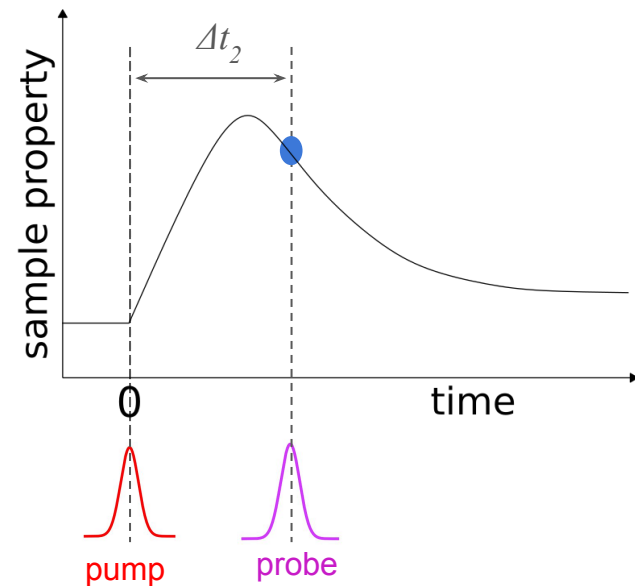
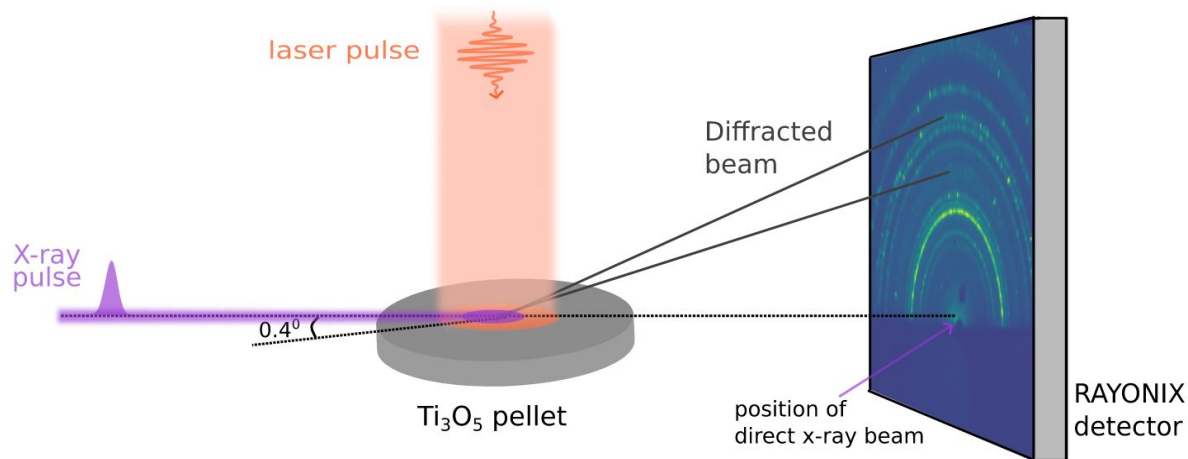


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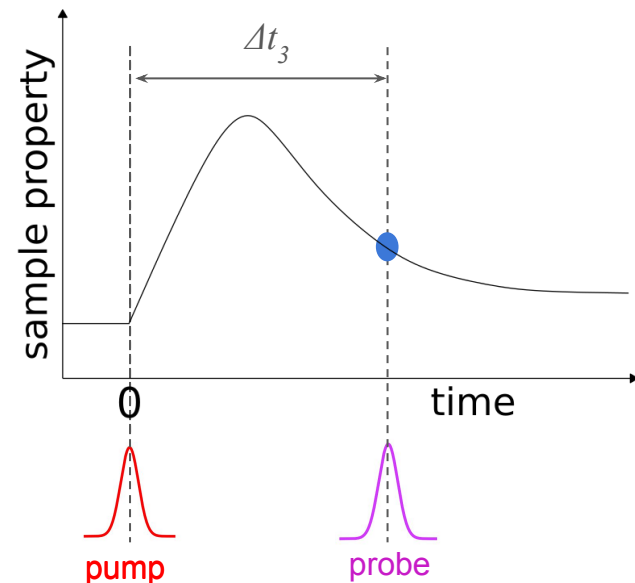
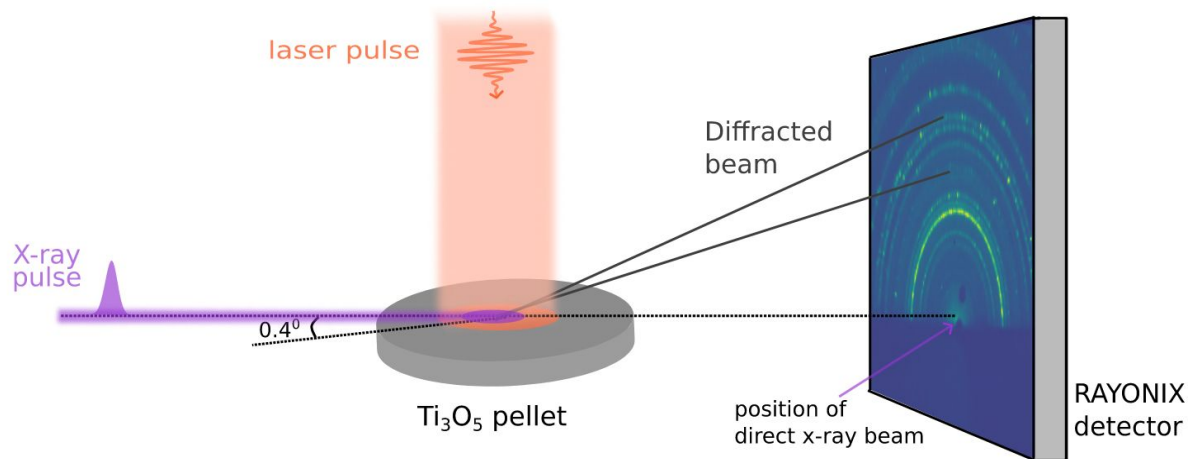


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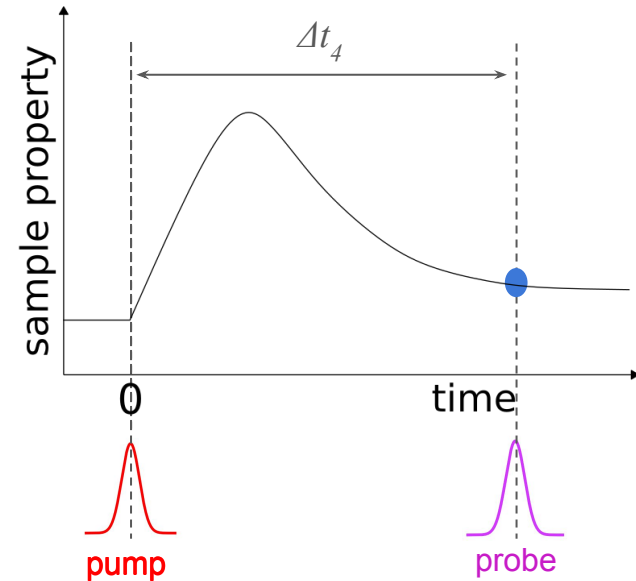
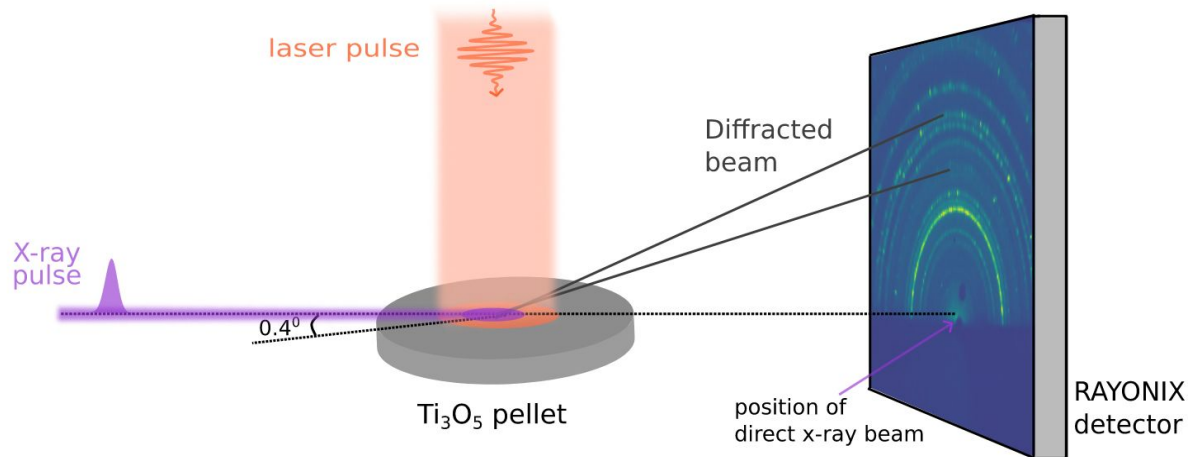


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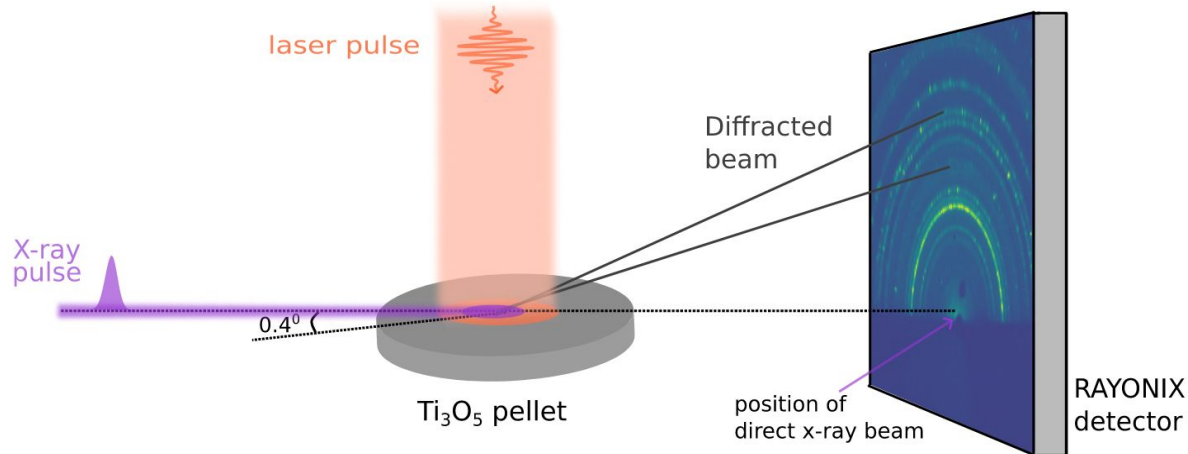


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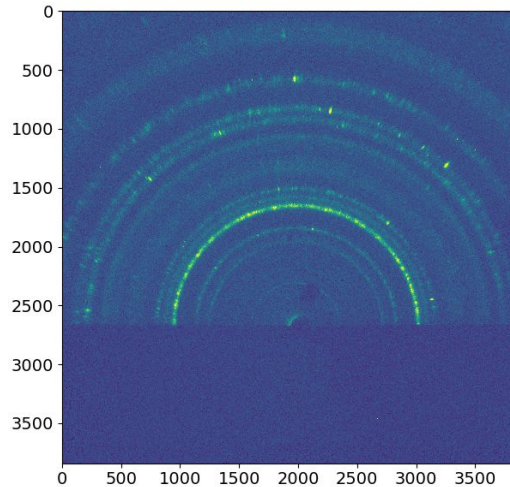
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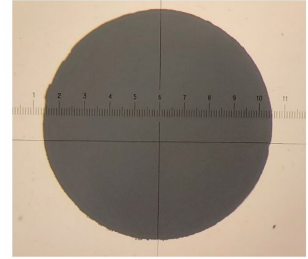
**ESRF (European Synchrotron Radiation Facility)**

# Time resolved X-ray diffraction

- A set of time delays with interleaved off/negative delay (reference pattern)
- The sequence of time delays (below eg. 30 delays) is repeated several times (below eg. 4 times) to increase the statistics and track experimental drifts.



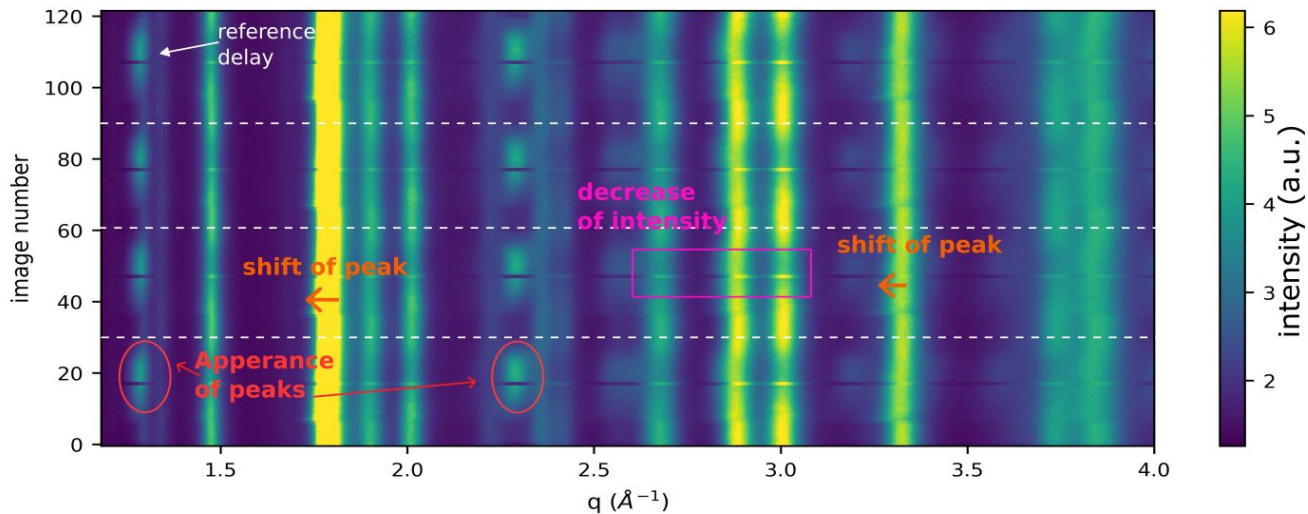
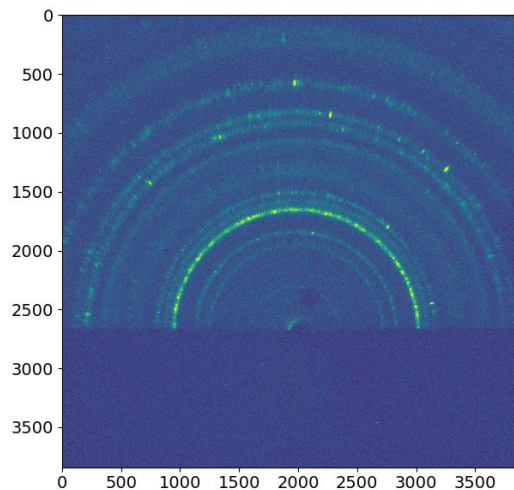
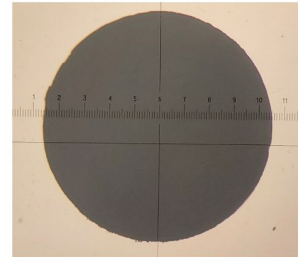
Ti<sub>3</sub>O<sub>5</sub> pellet



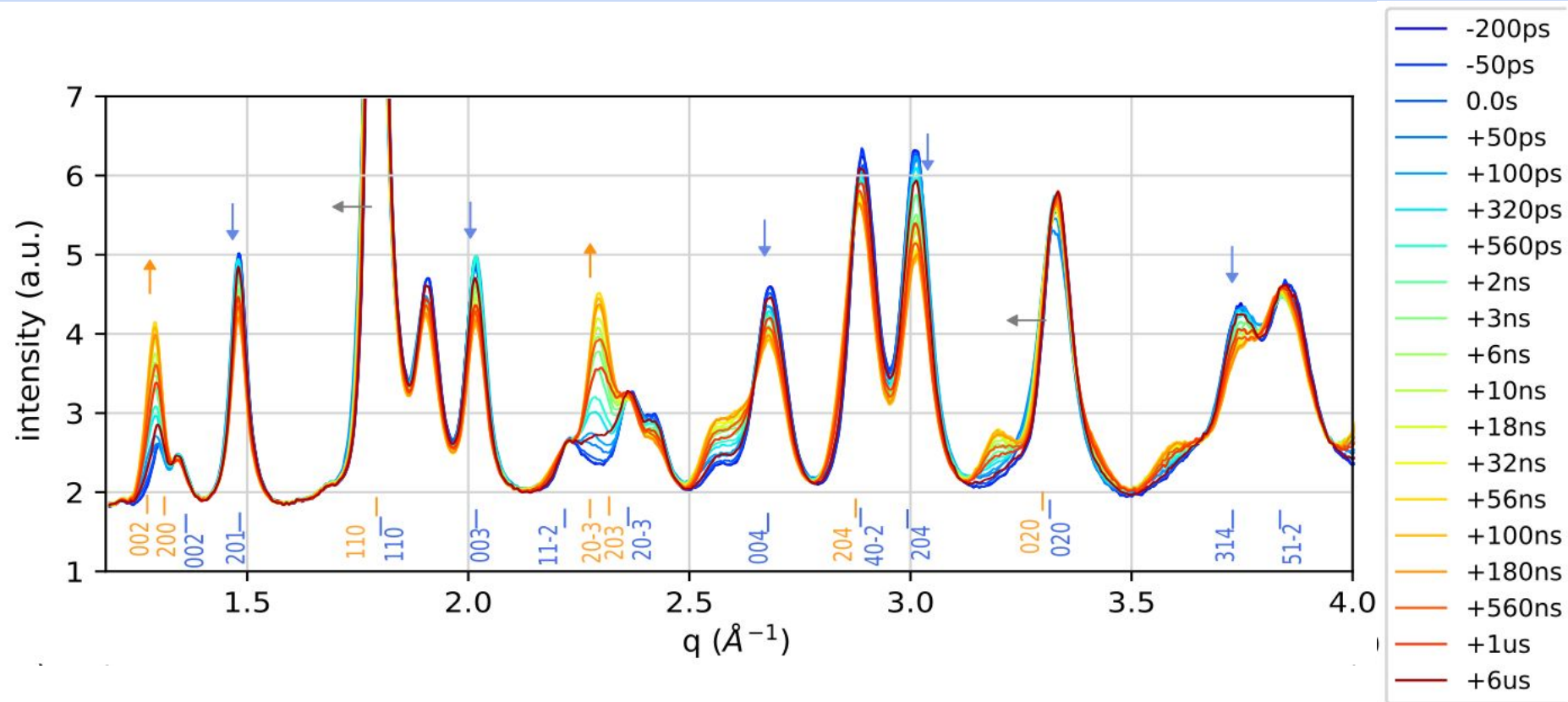
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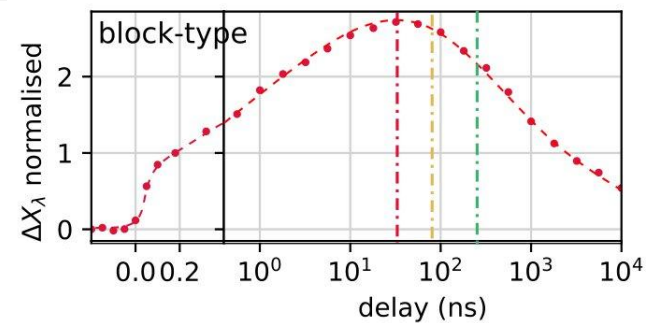
# Diffraction patterns at different delays



# Observations from the comparison plots:

*Whole dynamics:*

Rietveld refinement allowed us to retrieve temporal evolution of the  $\lambda$  phase fraction.



# Observations from the comparison plots:

## Characterisation of phase transition dynamics with various crystallite sizes

Other parameters are kept same:

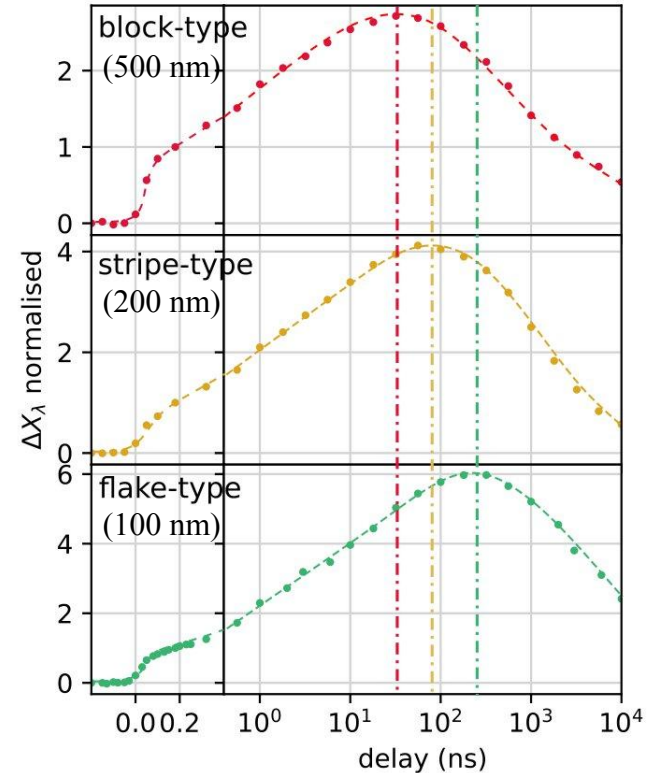
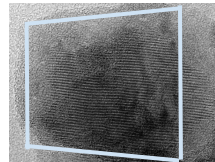
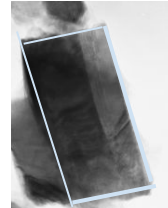
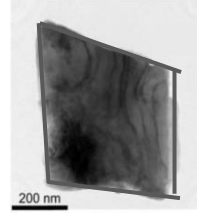
- laser fluence =  $0.5 \text{ mJ/mm}^2$
- Incidence angle of x-ray beam =  $0.4^\circ$
- Laser wavelength =  $800 \text{ nm}$

Peak of the  $\Delta X_\lambda$  occurs at different delays for:

Flake-type:  $200 \text{ ns}$

Stripe-type:  $65 \text{ ns}$

Block-type:  $38 \text{ ns}$



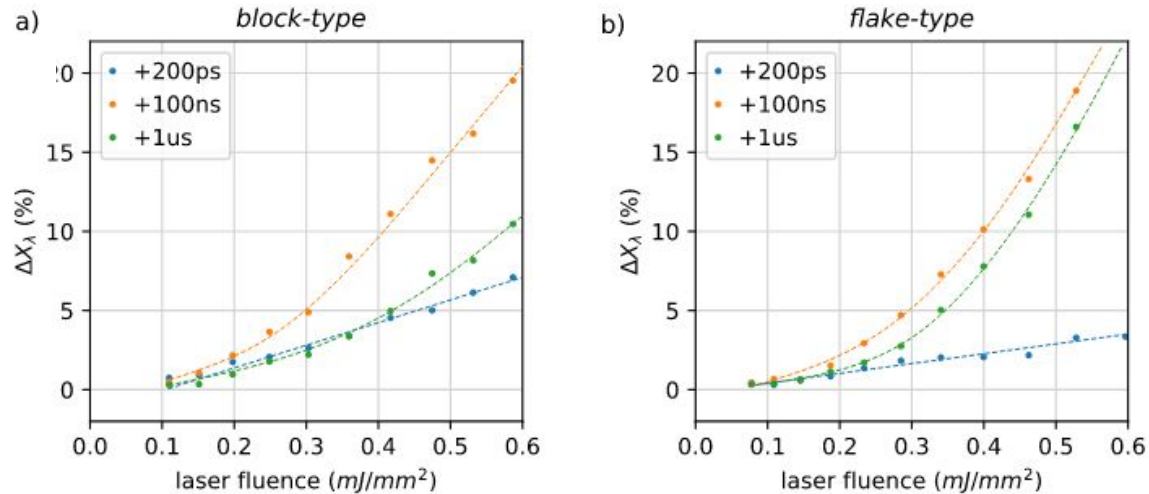
Heat diffusion peak of phase transformation *occurs earlier for the bigger crystallites*

# Results and observation from the comparison plots:

## *Influence of incident laser fluence on the phase transition dynamics*

Linear dependence on the laser fluence at 200 ps (transformed by strainwave )

Non-linear dependence with fluence at 100 ns (transformed by heat diffusion)

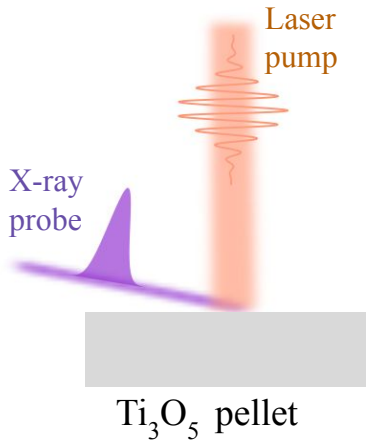


Different fluence dependencies confirm the *different mechanism at play on 200 ps and 100 ns time scales*

# Model simulations

- Finite difference method
- Heat flux is calculated at each node and each time step
- Three different heat exchange coefficients - intragrain, intergrain and with the surrounding
- At each time step, the temperature of each node is calculated and based on it the phase of node is checked

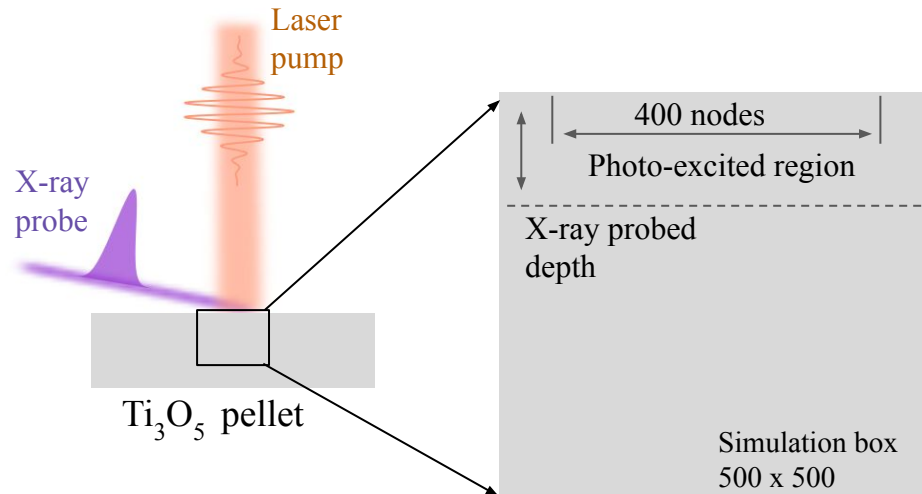
*In collaboration with L Stoleriu & C Enachescu, University of Iasi*



# Model simulations

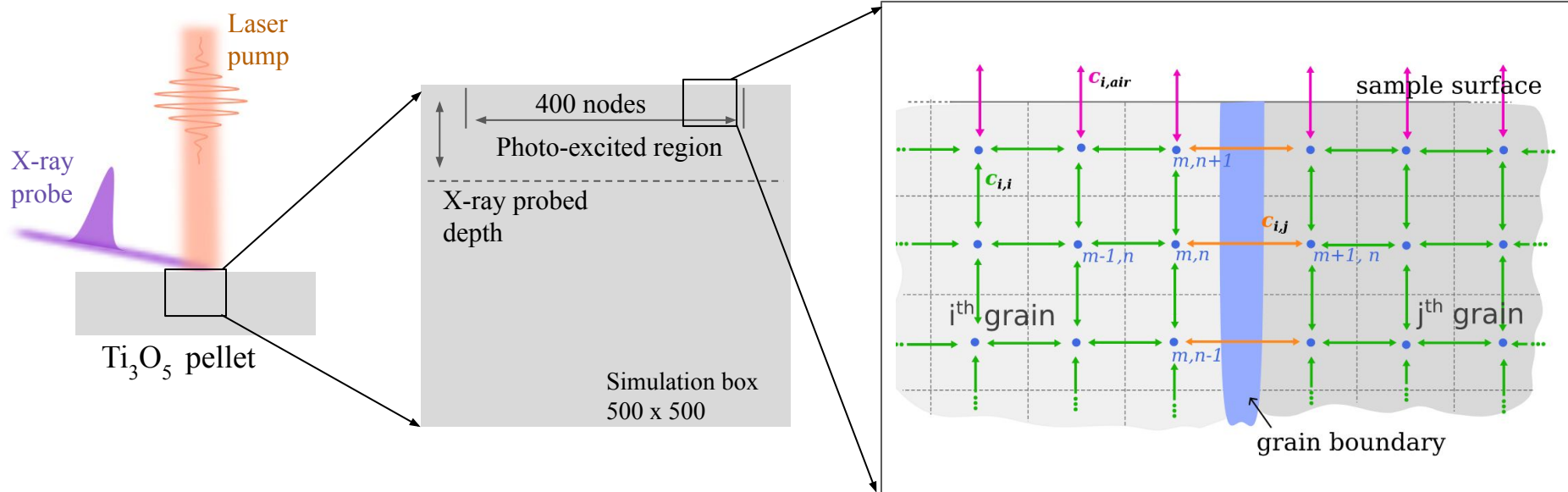
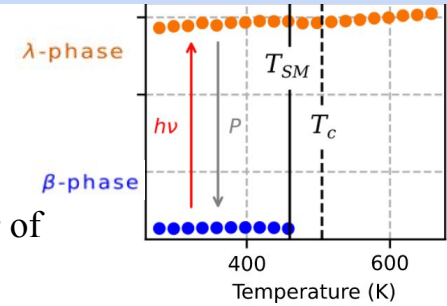
- Finite difference method
- Heat flux is calculated at each node and each time step
- Three different heat exchange coefficients - intragrain, intergrain and with the surrounding
- At each time step, the temperature of each node is calculated and based on it the phase of node is checked

*In collaboration with L Stoleriu & C Enachescu, University of Iasi*



# Model simulations

- Finite difference method
- Heat flux is calculated at each node and each time step
- **Three different heat exchange coefficients** - intragrain, intergrain and with the surrounding
- At each time step, the **temperature** of each node is calculated and based on it the **phase** of node is checked



# Open questions

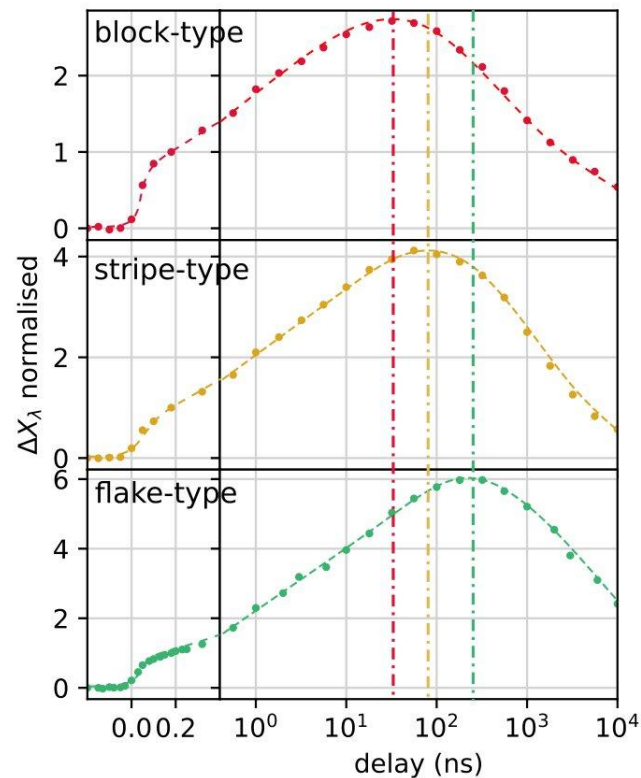
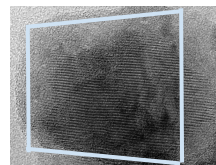
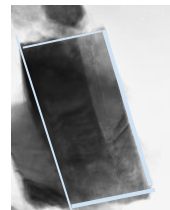
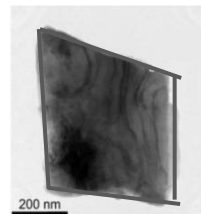
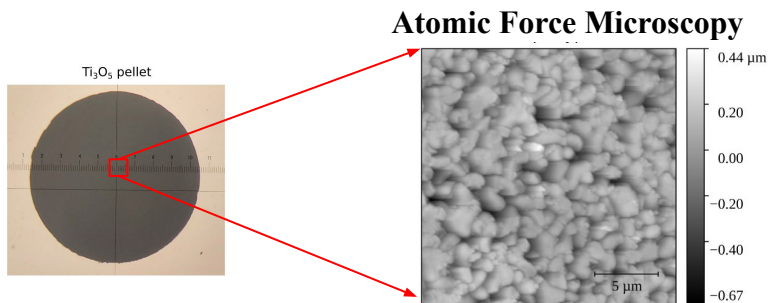
Previous observations:

- Maximum of 30% crystallites transformed within the first layer
- **optical anisotropy** of the randomly oriented crystallites in the pellet
- transition is favoured when **pump pulse is along *ab* plane**

*A. Asahara, et.al, Phys. Rev. B 90, 014303/1-7 (2014).*

*Saiki T, et.al, Phys. Rev. B 105, 075134*

*C. Mariette et al., Nat Comm. 2021*

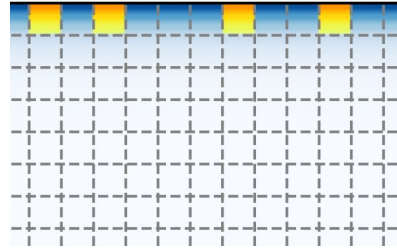


# Excitation of the whole grain within the first layer

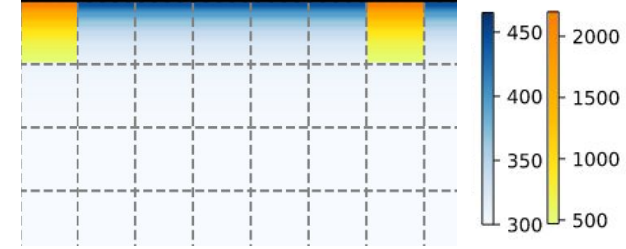
**Initial state:**  $\lambda$ -phase domains that are whole crystallites on the photo-excited surface

$\sim \frac{1}{3}$  rd of the crystallites on the surface are in  $\lambda$  phase

Grainsize: 10x10



Grainsize: 20x20

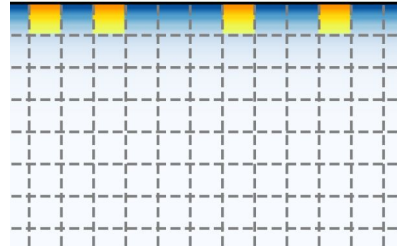


# Excitation of the whole grain within the first layer

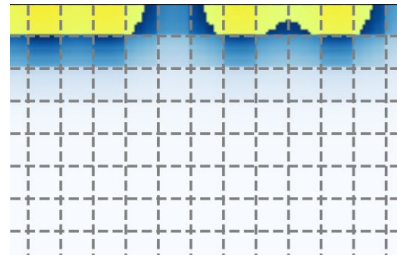
**Initial state:**  $\lambda$ -phase domains that are whole crystallites on the photo-excited surface

$\sim \frac{1}{3}$  rd of the crystallites on the surface are in  $\lambda$  phase

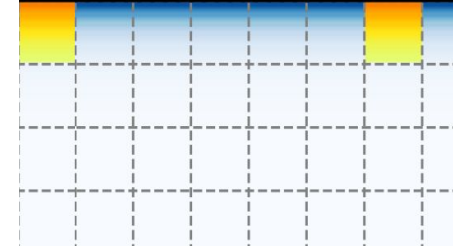
Grainsize: 10x10



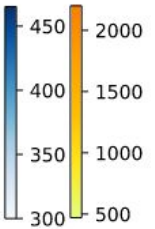
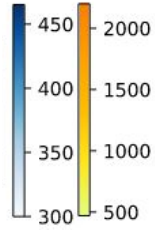
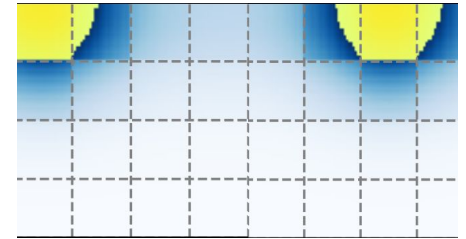
$t = 4e4$  s.u. ↓



Grainsize: 20x20



$t = 1e5$  s.u. ↓

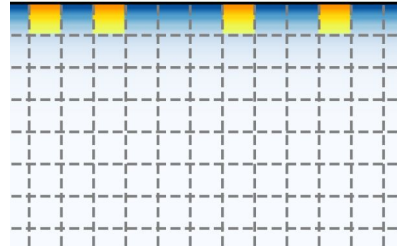


# Excitation of the whole grain within the first layer

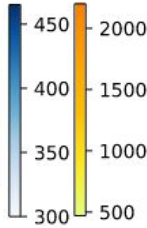
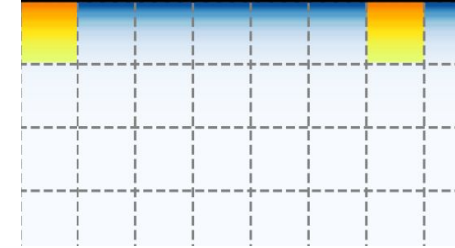
**Initial state:**  $\lambda$ -phase domains that are whole crystallites on the photo-excited surface

$\sim \frac{1}{3}$  rd of the crystallites on the surface are in  $\lambda$  phase

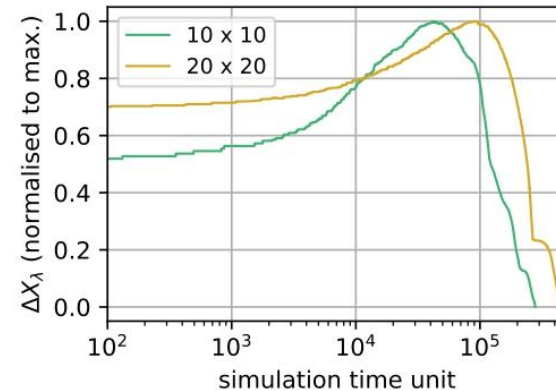
Grainsize: 10x10



Grainsize: 20x20



- Difference in the peak position for the two systems; in opposite trend to the experimental results (Irrespective of the inter- and intra-grain coefficients)



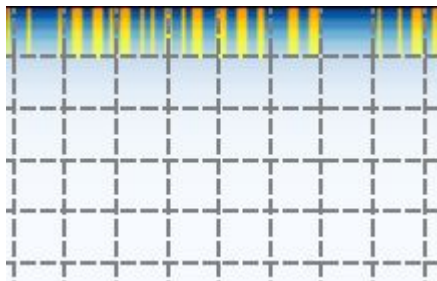
*Whole grain transformation* cannot explain the size dependence in the ns dynamics.

# Phase separation on surface and extends in depth in the bigger grain

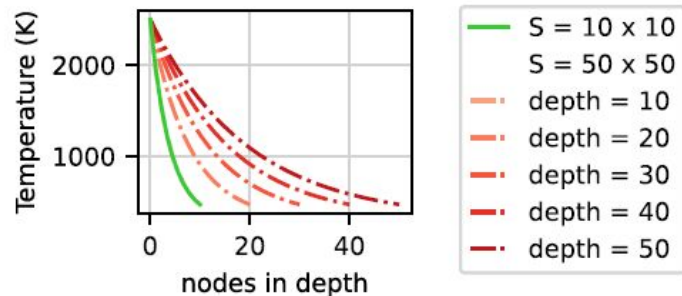
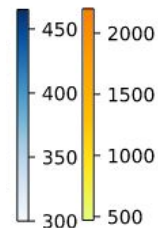
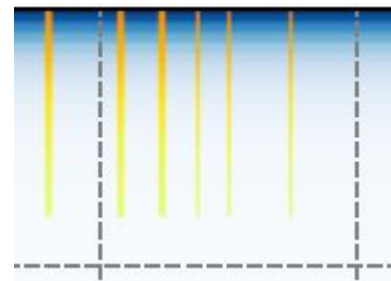
**Initial state:**  $\lambda$ -phase domains that show

1. phase separation within a crystallite
2. Extending in depth in the bigger crystallites

Grainsize: 10x10



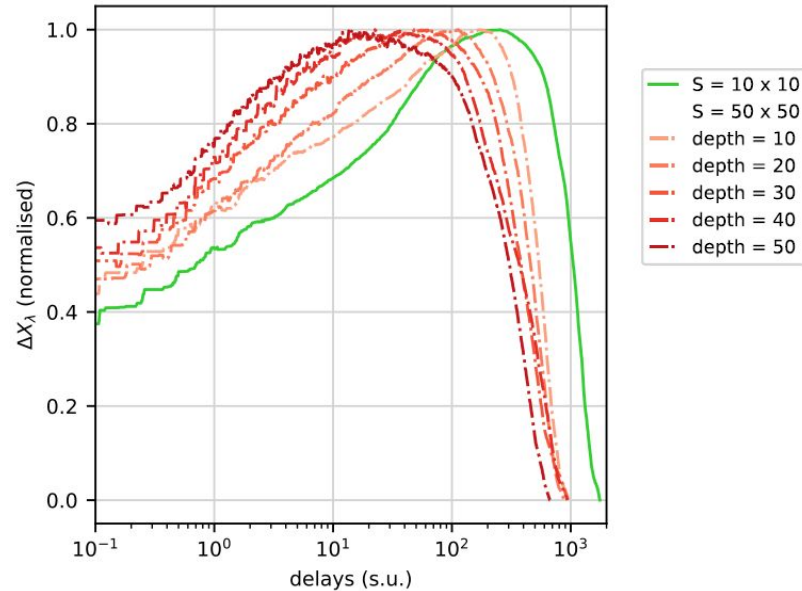
Grainsize: 50x50



# Phase separation on surface and extends in depth in the bigger grain

- + Difference in the peak position for the two systems (agreement with the experimental observations)
- + Relative amplitude difference between the two sizes
- + The trends are reproducible irrespective of the choice of intra and intergrain coefficients

## Simulation

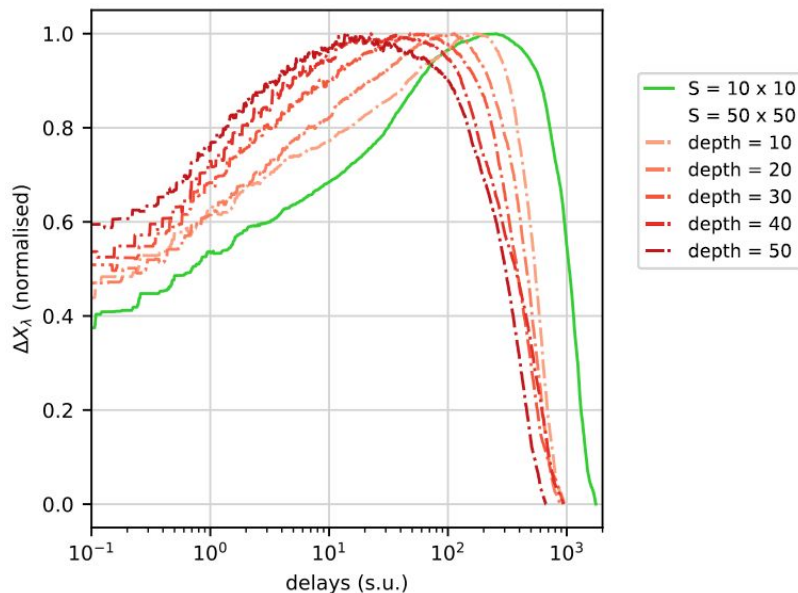


Domains expanding beyond laser penetration allows us to *reproduce both the time shift and relative amplitude* observed experimentally

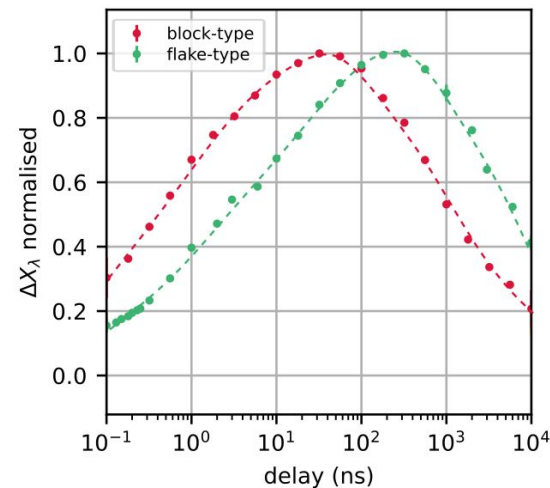
# Phase separation on surface and extends in depth in the bigger grain

- + Difference in the peak position for the two systems (agreement with the experimental observations)
- + Relative amplitude difference between the two sizes
- + The trends are reproducible irrespective of the choice of intra and intergrain coefficients

## Simulation



## Experiment

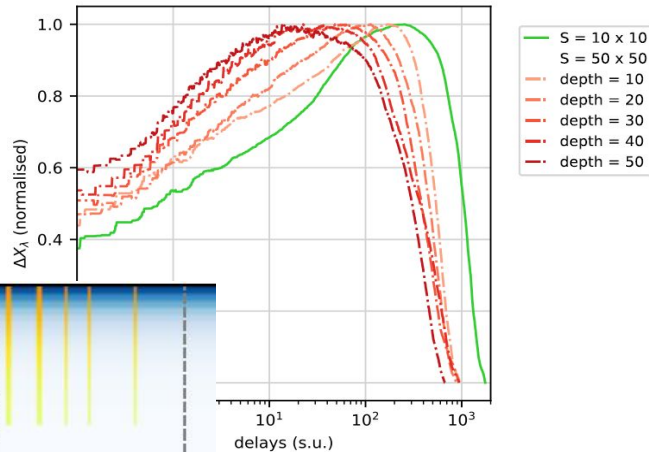
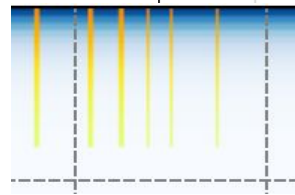
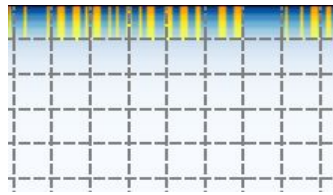


Domains expanding beyond laser penetration allows us to *reproduce both the time shift and relative amplitude* observed experimentally

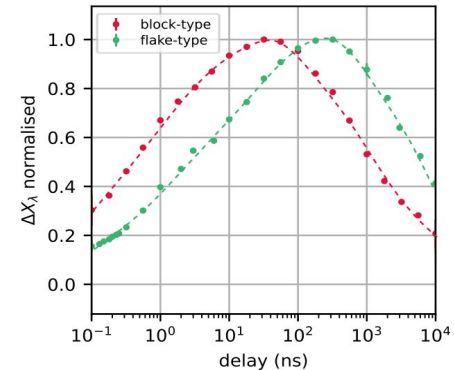
# Conclusion

- The phase transition due to heat diffusion reaches a maximum peak at the ns timescales.
- This peak position depends on the grain size of the pellets.
- The transition due to heat diffusion reaches maximum at an early delay in the bigger crystallites compared to the smaller crystallites.
- This counterintuitive peak shift and the relative amplitude between the grain sizes have been reproduced successfully by the model, with phase separated domains extending beyond the laser penetration depth

## Simulation

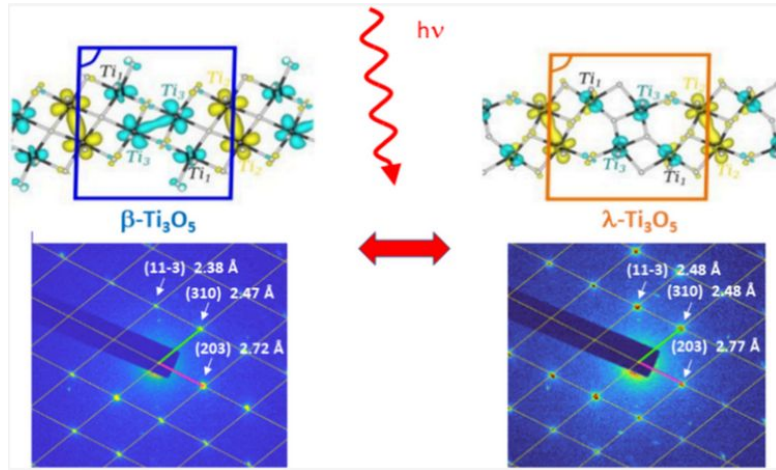


## Experiment



# Future prospects

## Time resolved electron diffraction on individual nanocrystals



The Journal of Physical Chemistry C

C: PHYSICAL PROPERTIES OF MATERIALS AND INTERFACES | August 7, 2024

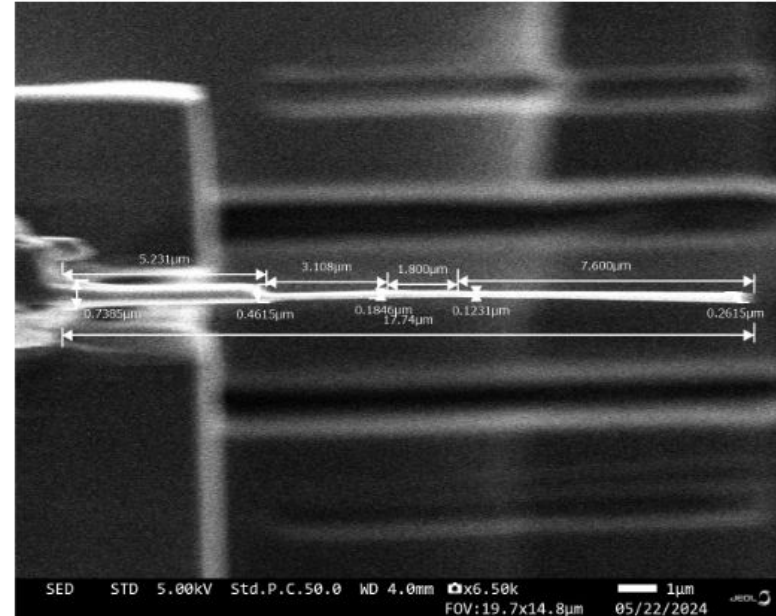
## Phase Transformations of Individual $\text{Ti}_3\text{O}_5$ Nanocrystals Studied by *In Situ* Electron Microscopy

Yaowei Hu, Hilaire Mba, Matthieu Picher, Hiroko Tokoro\*, Shin-ichi Ohkoshi, Céline Mariette, Ritwika Mandal, Maryam Alashoor, Philippe Rabiller\*, Maciej Lorenc, and Florian Banhart\*

# Future prospects

Lamella shaped single crystals extracted from the bulk crystals using FIB technique.

Study strain propagation in a single object along different crystallographic axes





**(Rennes, France)**

Maciej LORENC  
Bruno LEPINE  
Elzbieta TRZOP  
Herve CAILLEAU



**(ID09 beamline, Grenoble,  
France)**

Céline MARIETTE  
Matteo LEVANTINO  
Marco CAMMARATA  
Serhane ZERDANE



**Alexandru Ioan Cuza  
University of Iasi**

**(Iasi, Romania)**  
Laurentiu STOLERIU  
Christian ENACHESCU



THE UNIVERSITY OF TOKYO

**(Japan)**

Shin-ichi OHKOSHI



筑波大学

University of Tsukuba

**(Japan)**

Hiroko TOKORO  
Marie YOSHIKIYO



INSTITUT DES MATÉRIAUX  
DE NANTES JEAN ROUXEL

**(Nantes, France)**

Etienne JANOD  
Laurent CARIO

*Thank you for your attention*

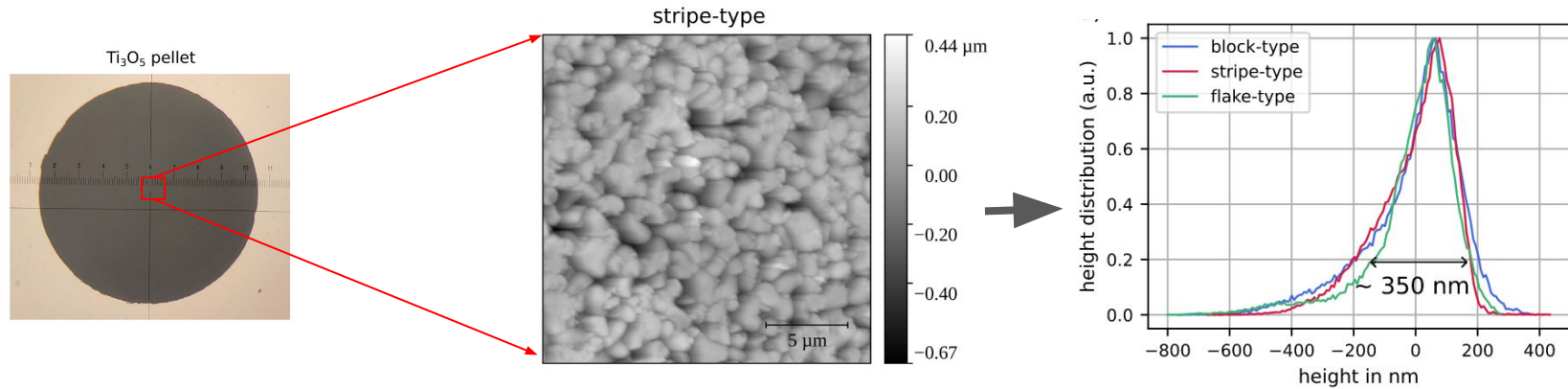


# Appendix

# Spatial laser and X-ray overlap in $\text{Ti}_3\text{O}_5$ pellets

- The three polycrystalline powder was pressed to form pellets of 8 mm in diameter
- AFM study shows the pellets have a surface roughness of 350 nm

## Atomic Force Microscopy



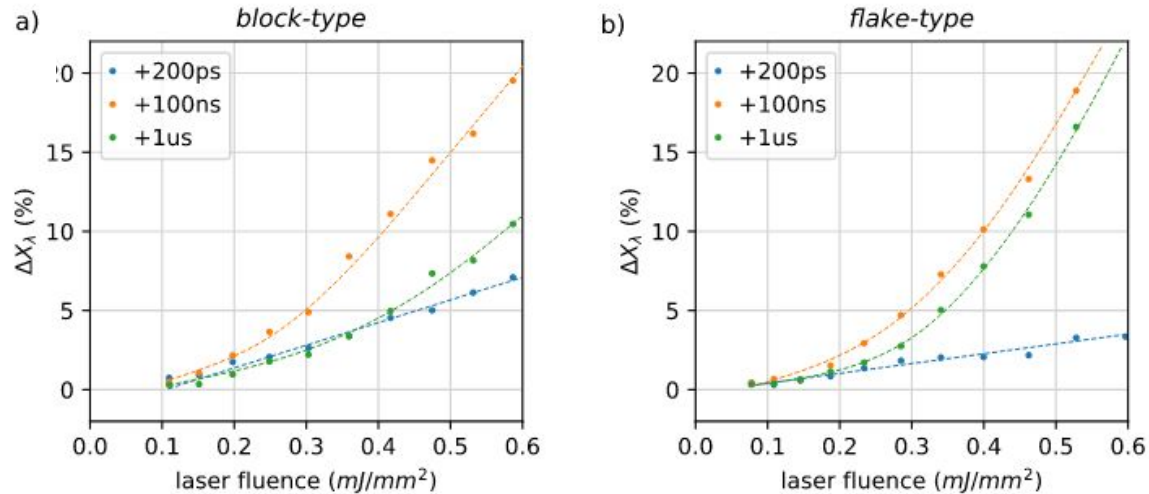
- Laser penetration depth  $\sim$  **70 nm** (for 800 nm wavelength)  
 $\sim$  **140 nm** (for 1550 nm wavelength)
- X-ray penetration depth  $\sim$  450 nm at  $0.4^\circ$  incidence angle  
Effective penetration depth  $\sim$  **800 nm**

# Results and observation from the comparison plots:

## *Influence of incident laser fluence on the phase transition dynamics*

Linear dependence on the laser fluence at 200 ps (transformed by strainwave )

Non-linear dependence with fluence at 100 ns (transformed by heat diffusion)



Different fluence dependencies confirm the *different mechanism at play on 200 ps and 100 ns time scales*

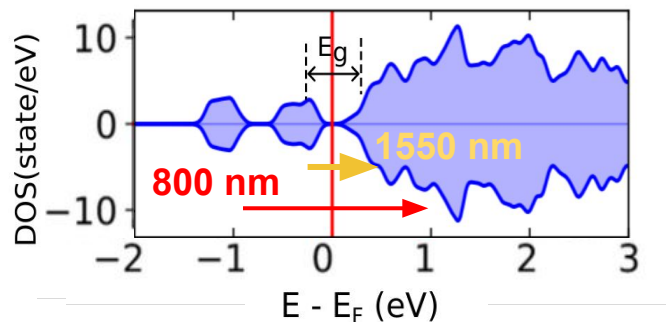
# Results and observation from the comparison plots:

## *Influence of incident laser pump wavelength on the phase transition dynamics*

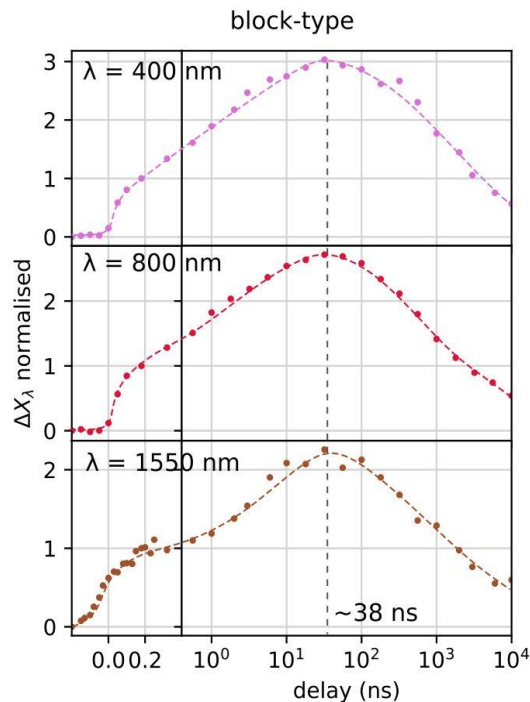
Other parameters are kept same:

- laser fluence = 0.5 mJ/mm<sup>2</sup>
- Incidence angle of x-ray beam = 0.4°
- Laser penetration depth  
~ 70 nm (for 800 nm wavelength)  
~ 140 nm (for 1550 nm wavelength)

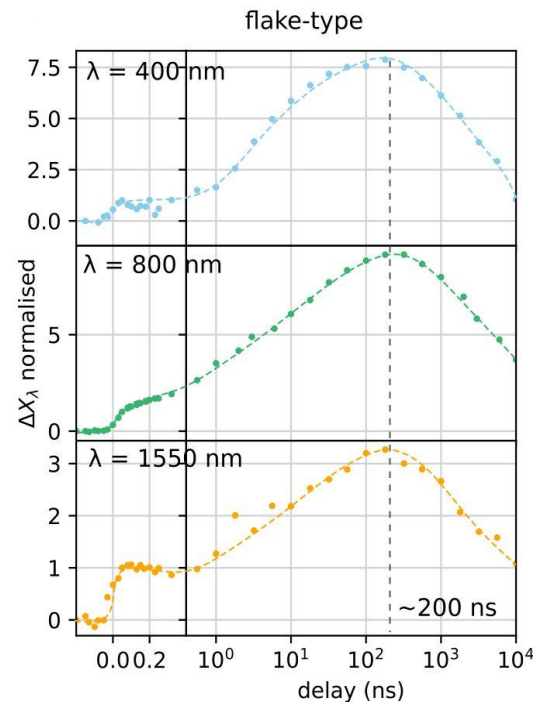
Peak of the  $\Delta X_\lambda$  occurs at the same delay irrespective of the photon energy



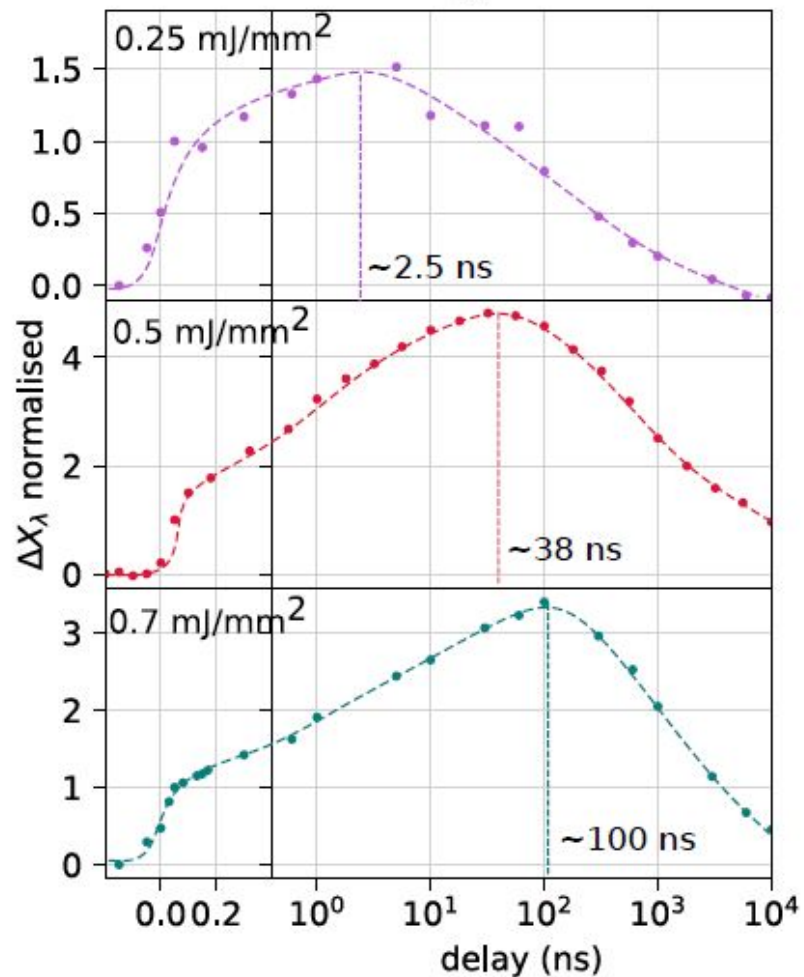
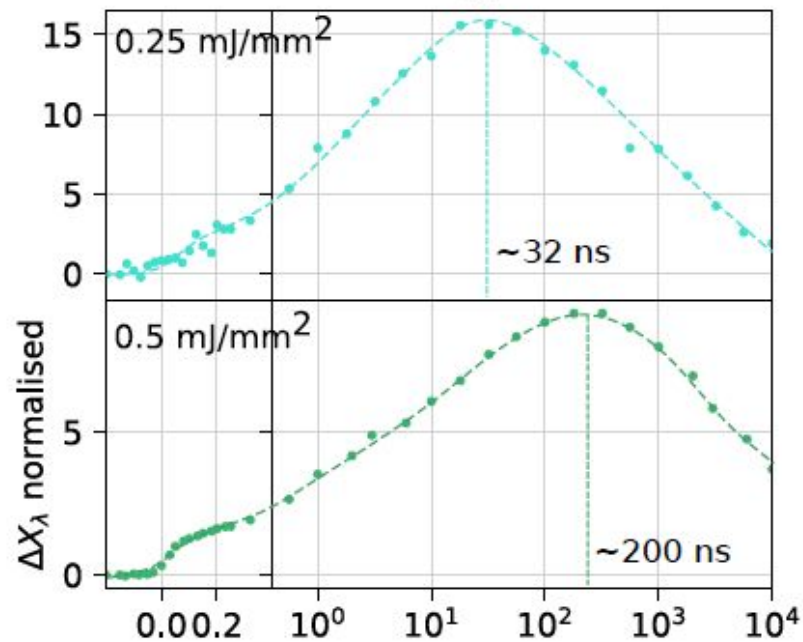
### 500 nm crystallites

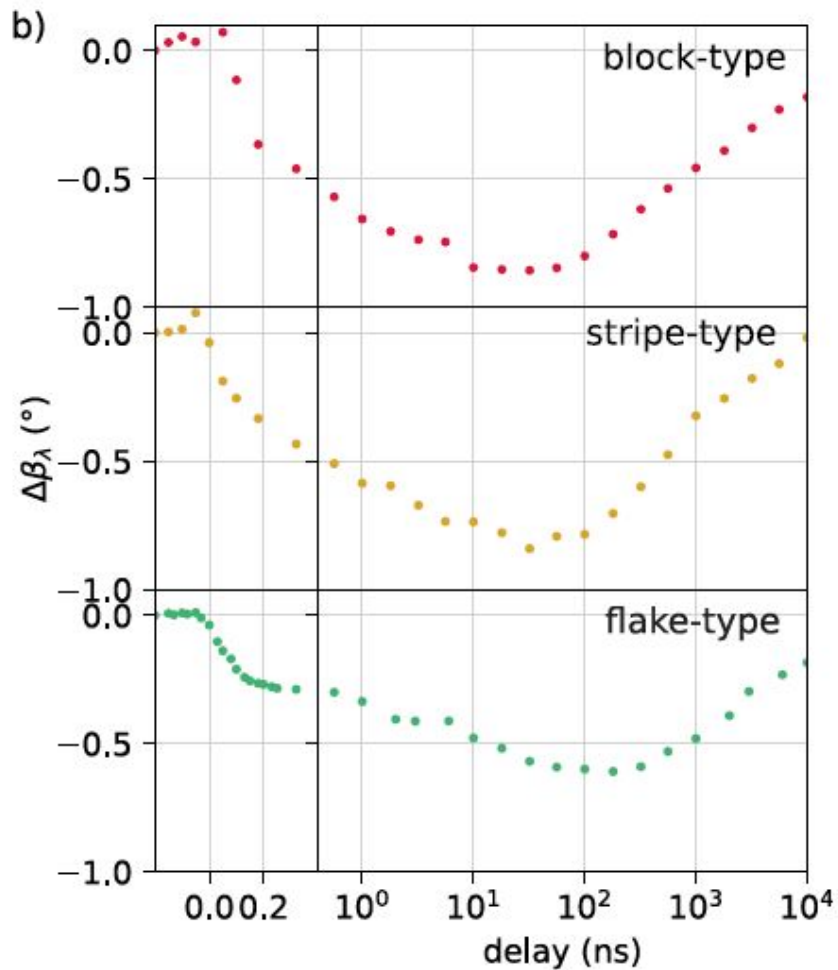
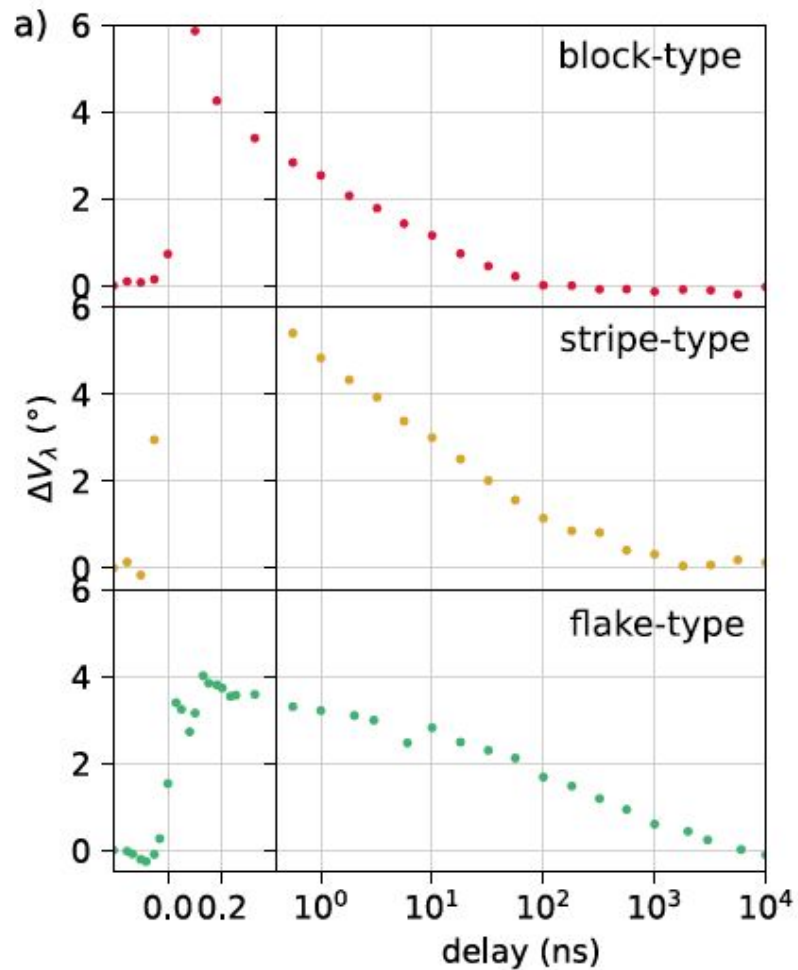


### 100 nm crystallites



The position in time of the heat diffusion peak does not depend on pump wavelength and pump penetration.

*block-type**flake-type*

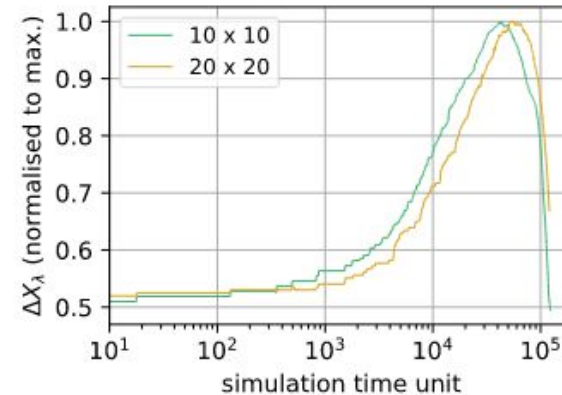
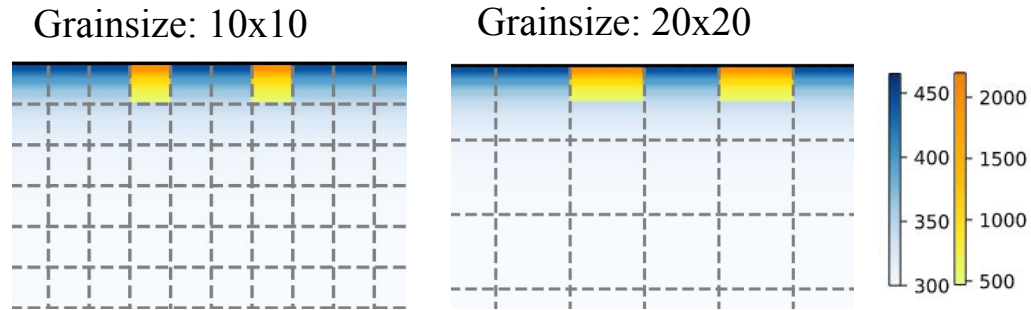




# Domains on photoexcited surface limited in depth by laser penetration depth

**Initial state:**  $\lambda$ -phase domains that are

1. Over the whole photoexcited crystallite
  2. Limited in depth by laser penetration
- Difference in the peak position for the two systems; in opposite trend to the experimental results (Irrespective of the inter- and intra-grain coefficients)



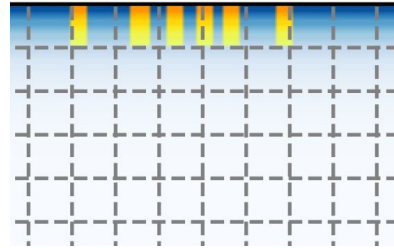
Domains which are photoexcited on the surface but limited in depth by the laser penetration depth cannot explain the size dependence in the ns dynamics.

# Phase separation on surface and limited in depth by laser penetration

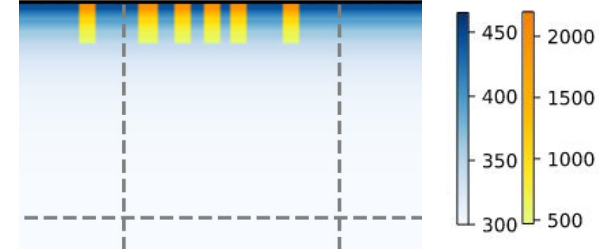
**Initial state:**  $\lambda$ -phase domains that show

1. phase separation within a crystallite
2. Limited in depth by laser penetration

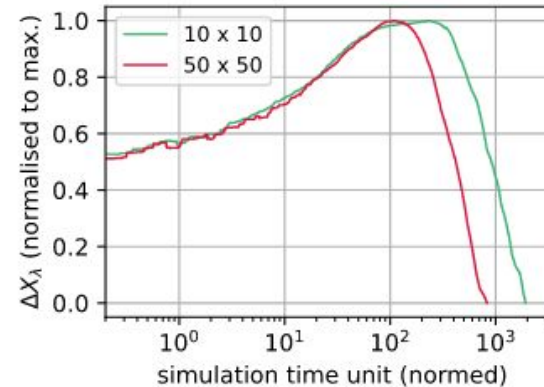
Grainsize: 10x10



Grainsize: 50x50



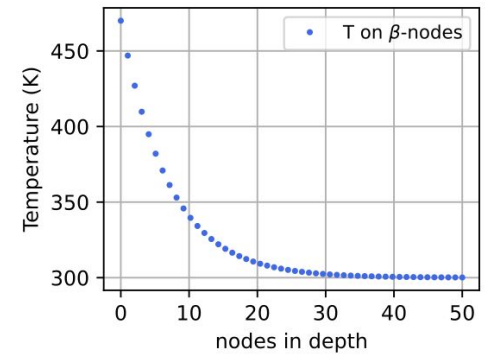
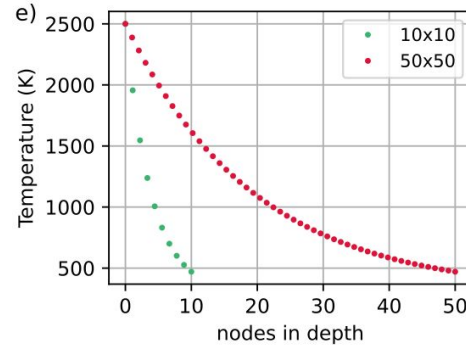
- + Difference in the peak position for the two systems (agreement with the experimental observations)
- During the rising time, no difference in the relative amplitude



*Phase separation within crystallites and along the surface* allows us to reproduce the time shift observed experimentally

# Discussion on the model hypothesis and parameters

- *Exponentially decreasing temperature* from a surface temperature of 2500 K
- The temperature was estimated from the incident laser power and reflectivity of the pellet
- The thermal exchange coefficients were estimated from the thermal conductivity values of  $\lambda$  and  $\beta$  phases of  $Ti_3O_5$  and air.



coefficient	simulation value	value from thermal conductivities ( $WK^{-1}$ )
$C_{i,i}^{\lambda\lambda}$	1e-2	2e-9
$C_{i,i}^{\beta\beta}$	2e-2	4e-9
$C_{i,i}^{\beta\lambda}$	1.35e-2	2.7e-9
$C_{i,j}^{\lambda\lambda}$	1e-3	2e-10
$C_{i,bulk}^{\beta}$	1e-5	4.2e-14
$C_{i,air}^{\beta}$	1e-8	2.5e-15