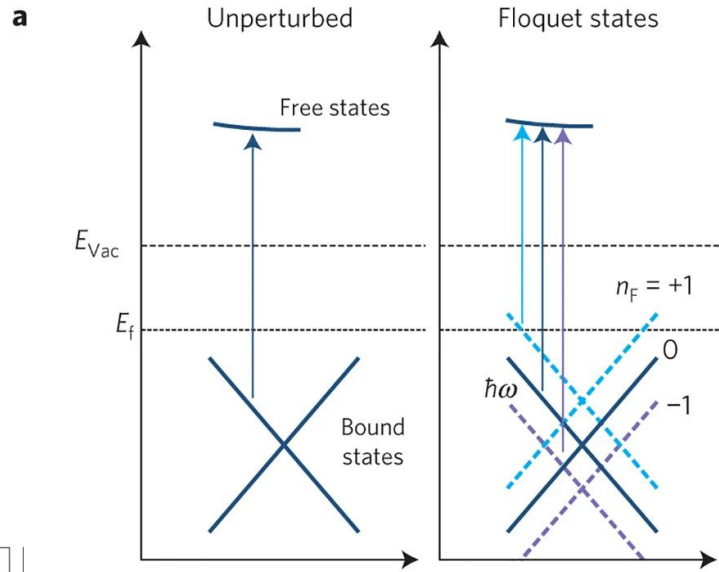


# TRANSIENT TOPOLOGICAL STATE IN A POLAR CRYSTAL

F. Chassot, A. Pulkkinen, G. Kremer, J.H. Dil,  
J. Krempaský, J. Minár, G. Springholz and C. Monney

# Strong-light matter interaction: Floquet physics

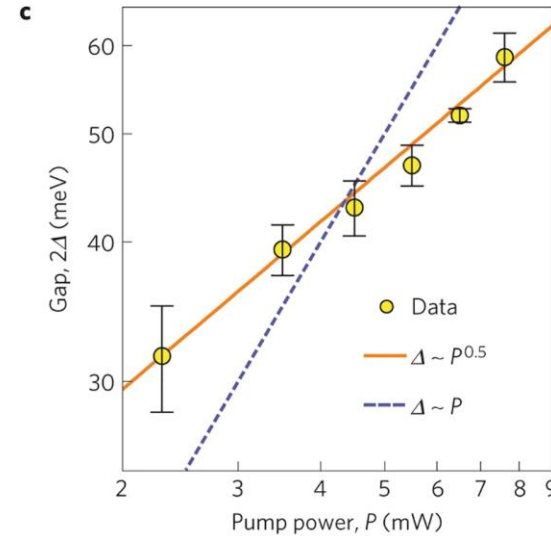
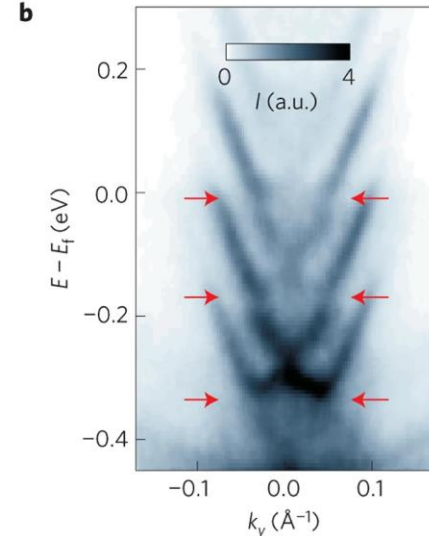
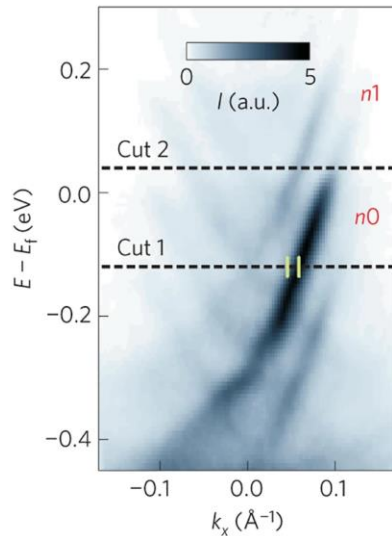
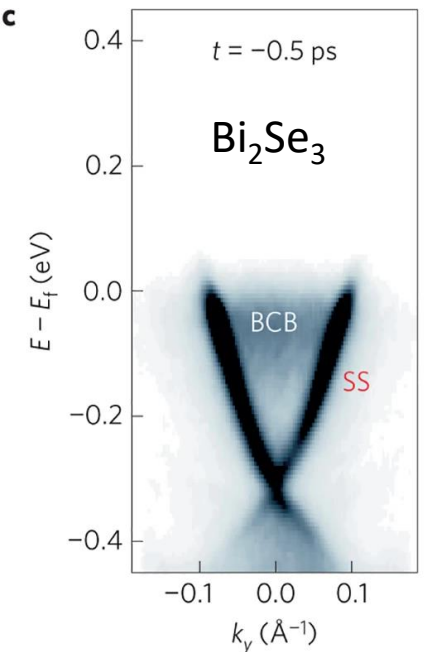
The electric field of an intense ultrashort pulse of light «dresses» the electronic structure of the solid.



The «periodically driven solid» displays a new electronic structure.

The interaction (hybridization) between the initial and new bands can lead to band gaps.

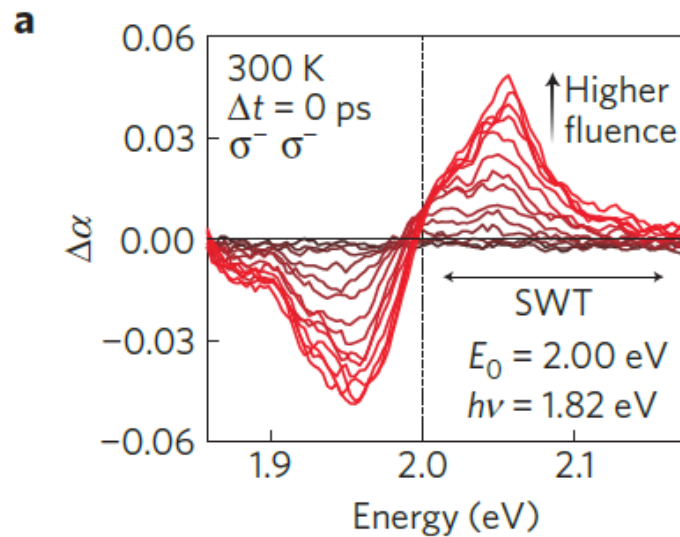
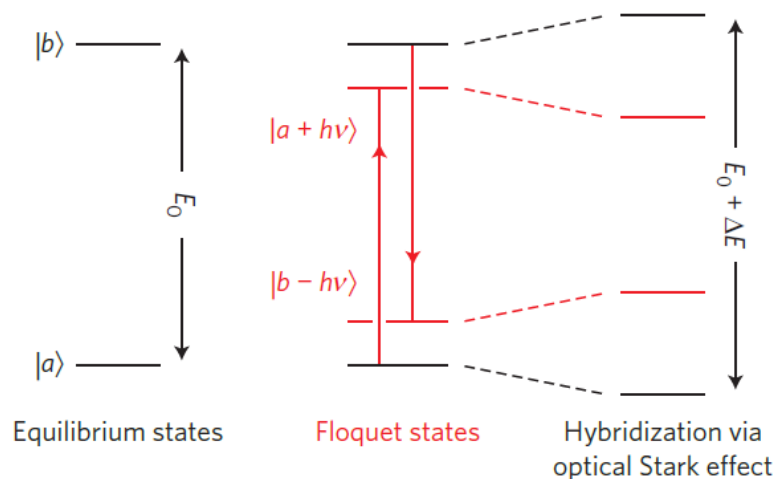
F. Mahmood *et al.*, Nature Physics 12, 306 (2016)



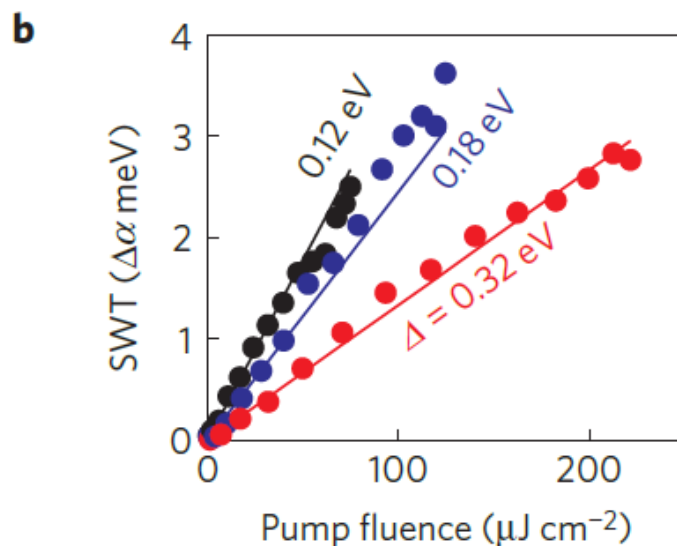
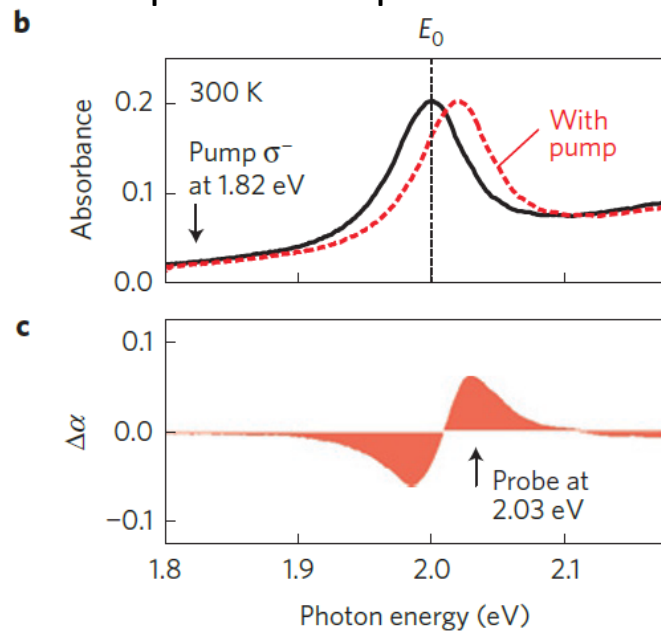
Effect  $\propto \sqrt{\text{fluence}}$

# Strong-light matter interaction: Floquet states $\rightarrow$ optical Stark effect

Broadband optical absorption on monolayer WSe<sub>2</sub>



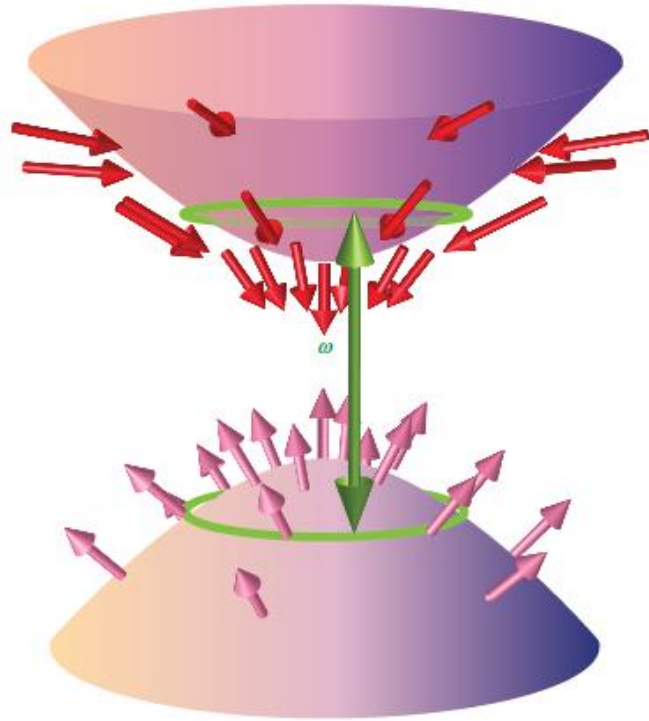
Broadband optical absorption on monolayer WSe<sub>2</sub>



Effect  $\propto$  fluence

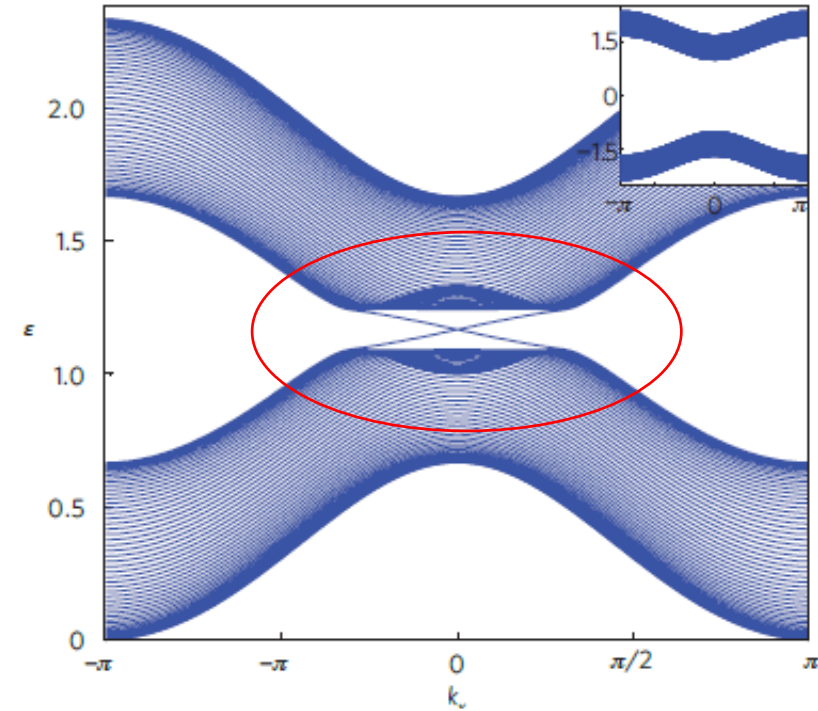
# Proposition for a topological Floquet insulator

Is it possible to generate a topological insulator starting from a trivial semiconductor by Floquet engineering?



Idea: resonant excitation between valence and conduction bands to reshuffle their character.

Quasi-energy spectrum in the Floquet model

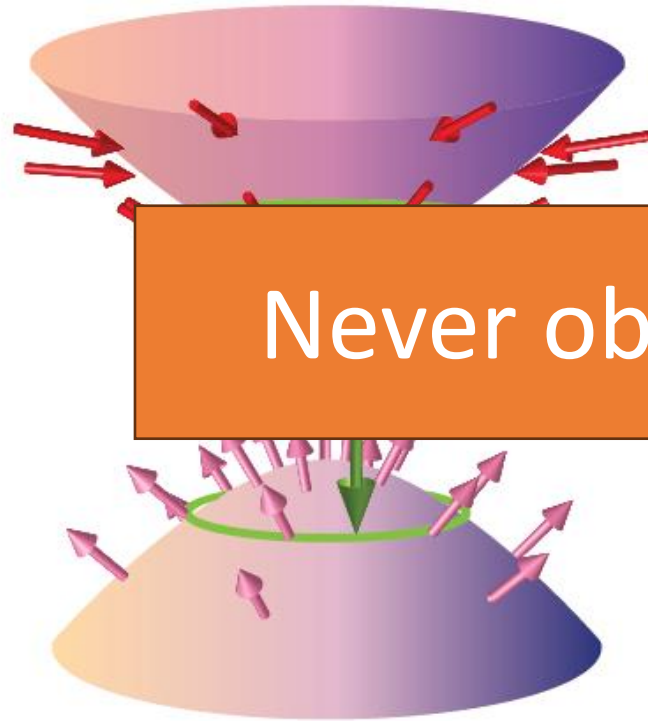


Original dispersions

**New: two linearly dispersing chiral edge modes**

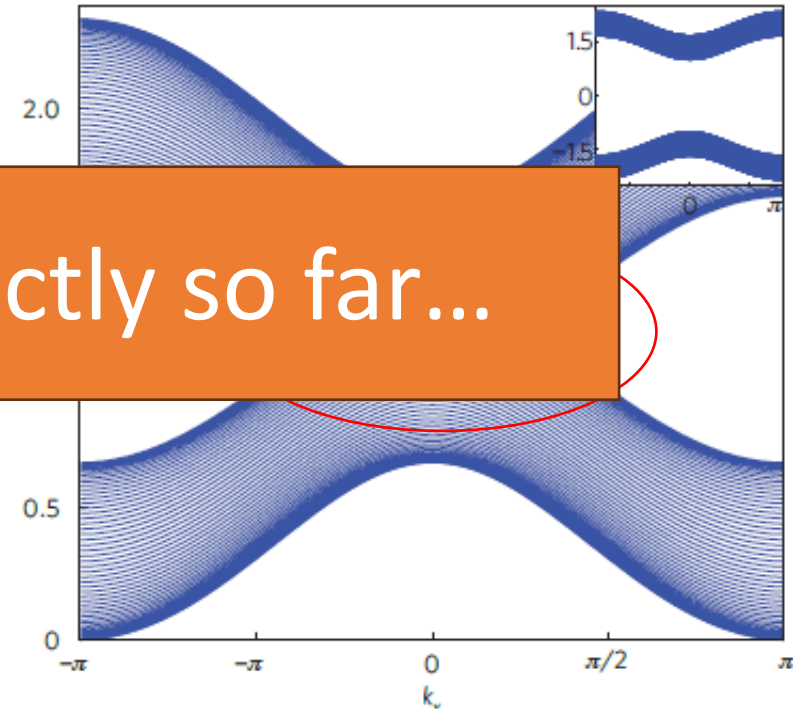
# Proposition for a topological Floquet insulator

Is it possible to generate a topological insulator starting from a trivial semiconductor by Floquet engineering?



Never observed directly so far...

Quasi-energy spectrum in the Floquet model



Original  
dispersions

Idea: resonant excitation between valence and conduction bands to reshuffle their character.

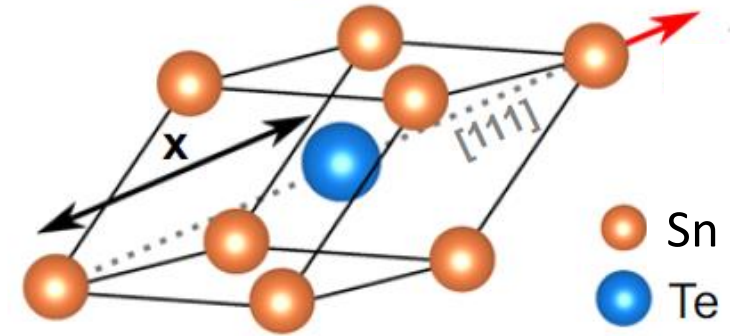
New: two linearly dispersing chiral edge modes

# ferroelectric semiconductor SnTe

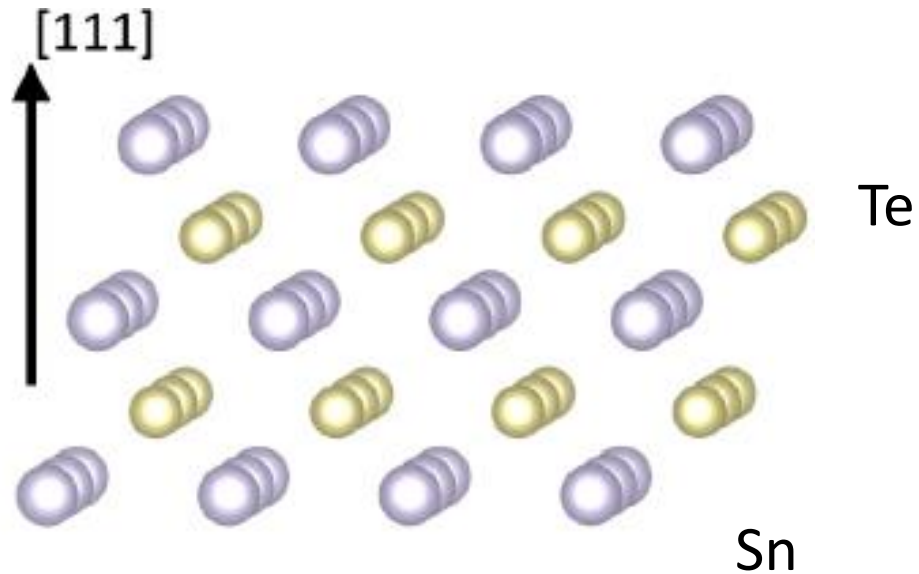
SnTe is a semiconducting and ferroelectric material.

Below about 100 K, SnTe adopts a rhombohedral structure.

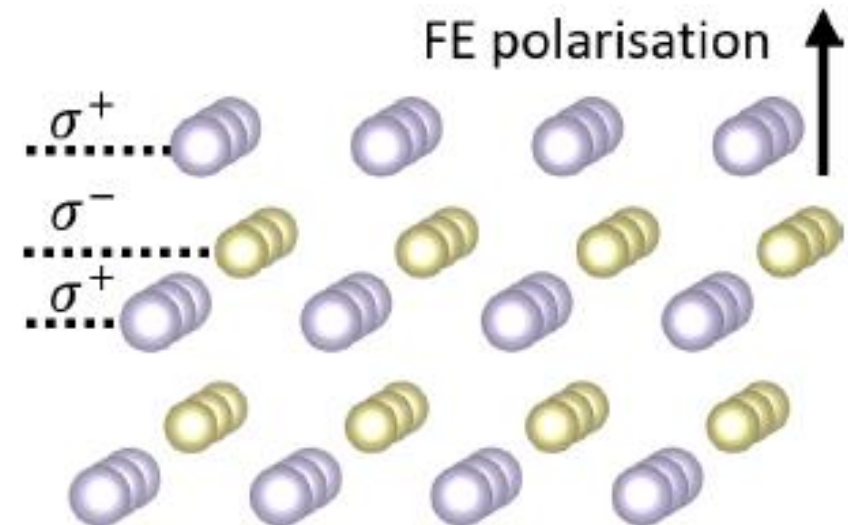
The lattice distortion occurs along the  $[111]$  direction.



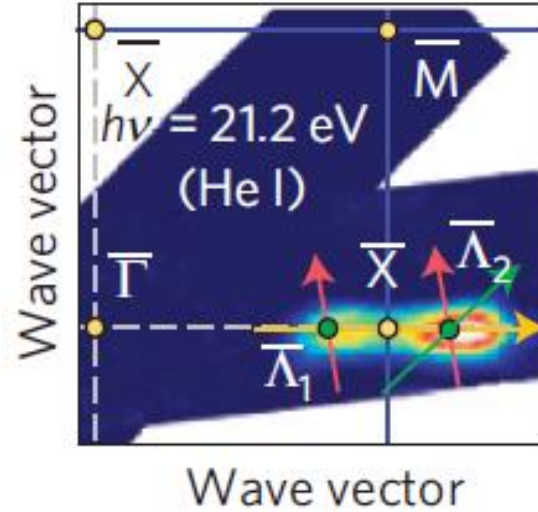
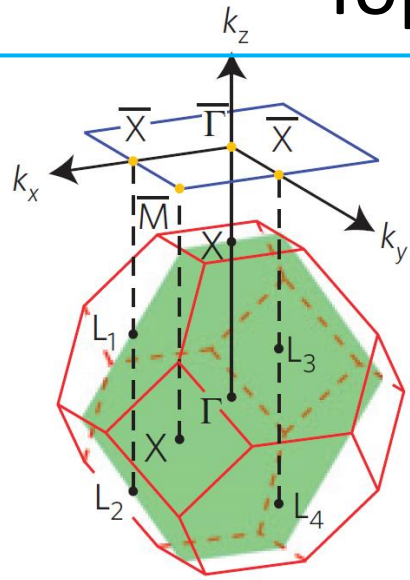
Cubic paraelectric phase



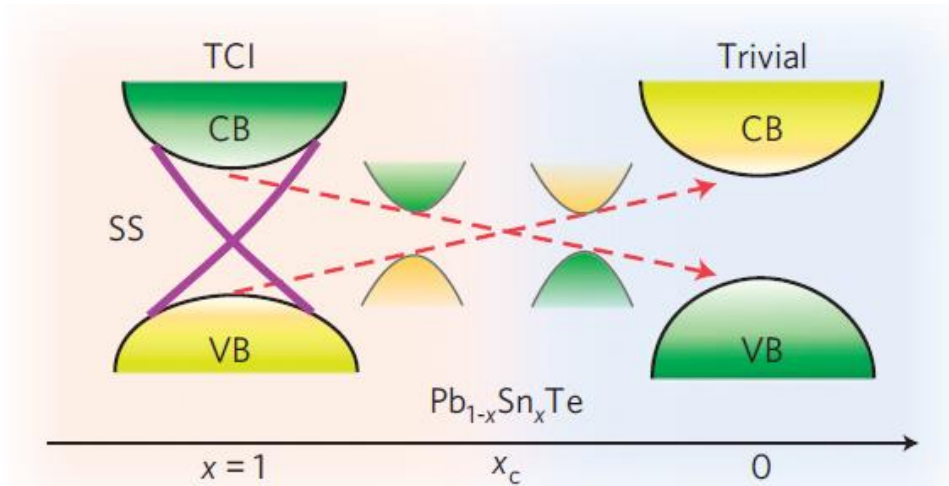
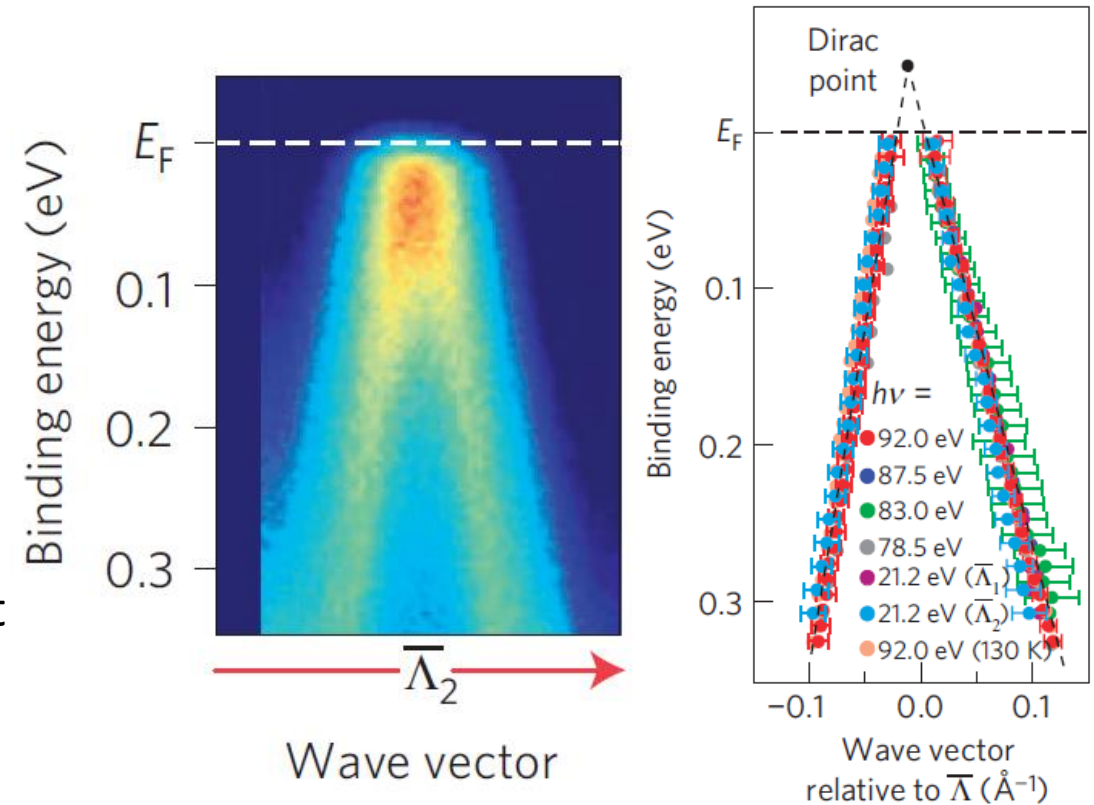
Rhombohedral ferroelectric phase



# Topological crystalline insulator phase in SnTe

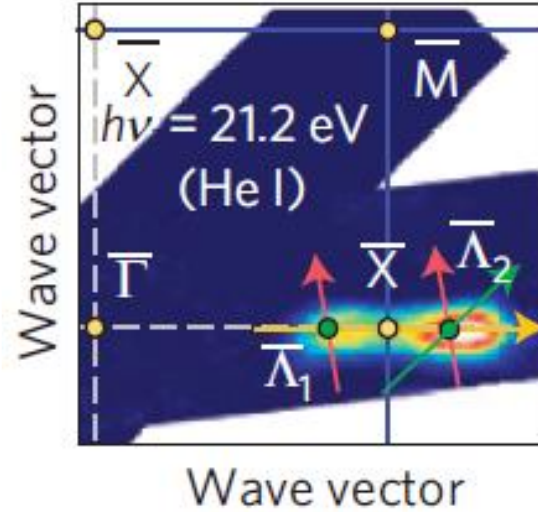
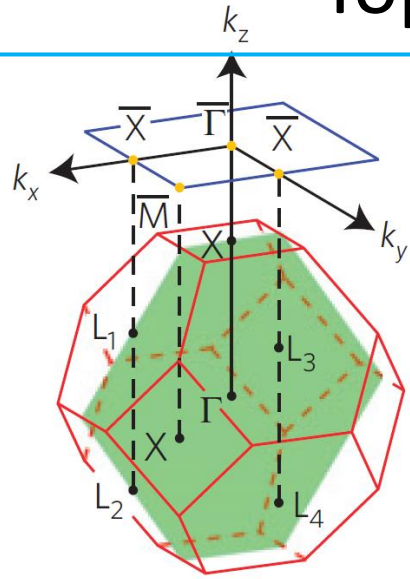


Static ARPES obtained for the **(001) surface**, around the X point

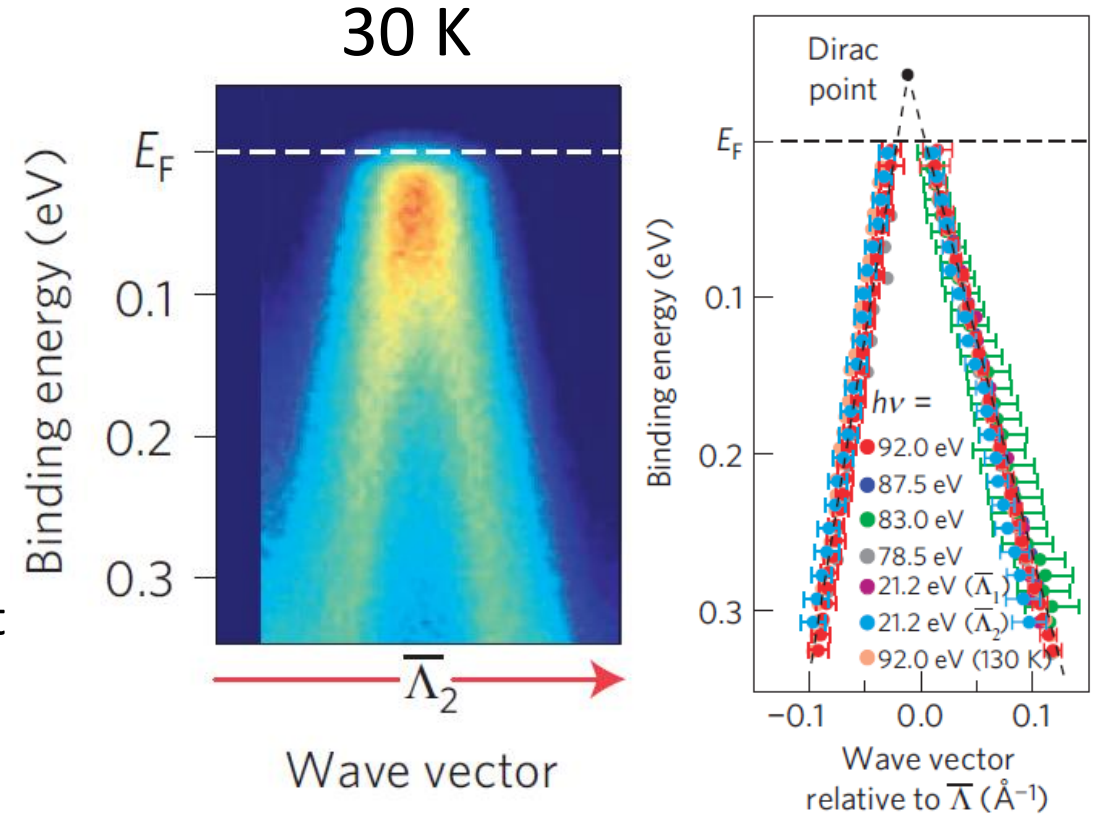


Topological phase transition upon Pb  $\leftrightarrow$  Sn substitution

# Topological crystalline insulator phase in SnTe

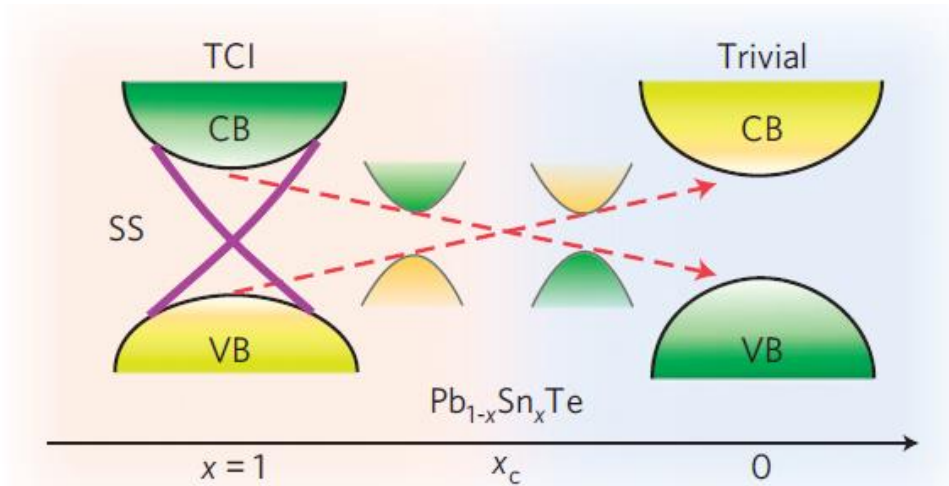


Static ARPES obtained for the **(001) surface**, around the X point



(110) and (100) mirror planes protect the TCI states.  
*SnTe was said to be measured in the paraelectric phase...*

➤ **however, the ferroelectric distortion breaks (110) mirror plane symmetry**



Topological phase transition upon Pb  $\leftrightarrow$  Sn substitution

# Rashba effect

Inversion symmetry

$$E(k, \uparrow) = E(-k, \uparrow)$$

~~Inversion symmetry~~

~~$$E(k, \uparrow) = E(-k, \uparrow)$$~~

Time-reversal symmetry

$$E(k, \uparrow) = E(-k, \downarrow)$$

Time-reversal symmetry

$$E(k, \uparrow) = E(-k, \downarrow)$$

Spin degenerate

$$E(k, \uparrow) = E(k, \downarrow)$$

Spin split

$$E(k, \uparrow) \neq E(k, \downarrow)$$

For a 2D  
electron gas:  
Rashba  
Hamiltonian

$$H_{eff} = H_0 + H_{SO}$$

$$H_0 = -\frac{\hbar^2}{2m} \vec{\nabla}^2$$

$$H_{SO} = \frac{\hbar}{4m^2c^2} (\vec{\nabla}V \times \vec{p}) \cdot \vec{s}$$

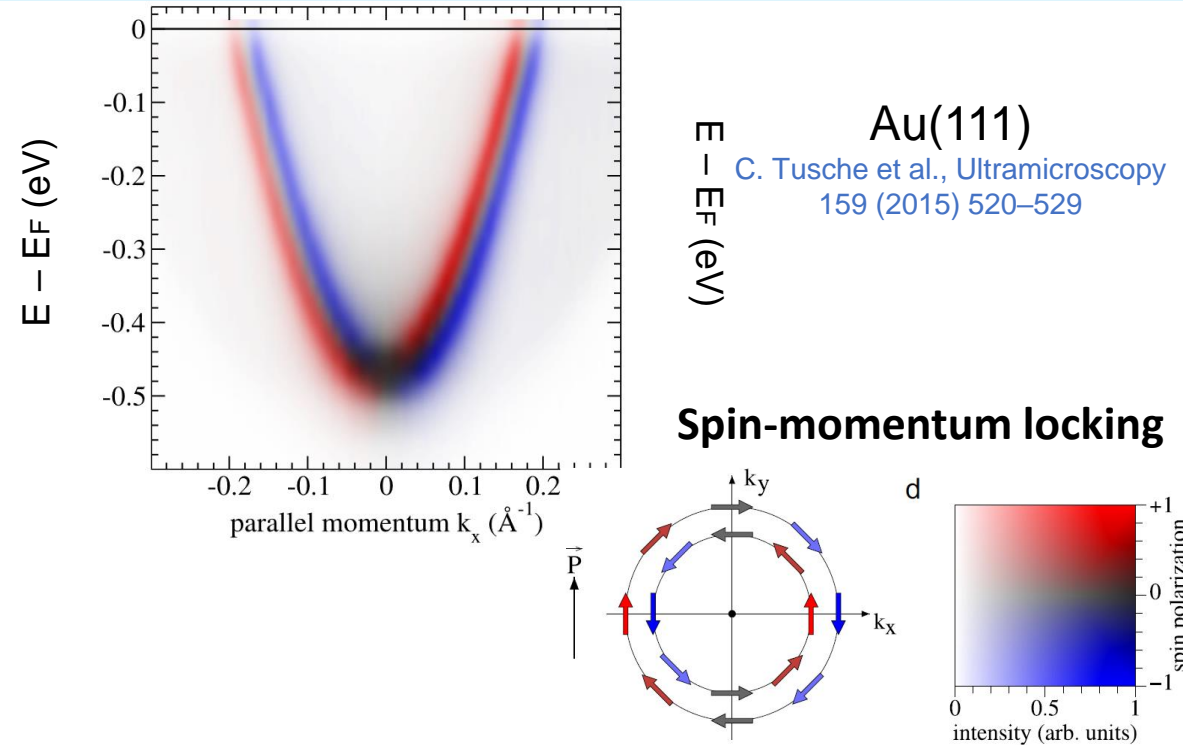
**k dependent splitting**

$$E_{\pm}(k) = \frac{\hbar^2 k^2}{2m} \pm \alpha_R k$$

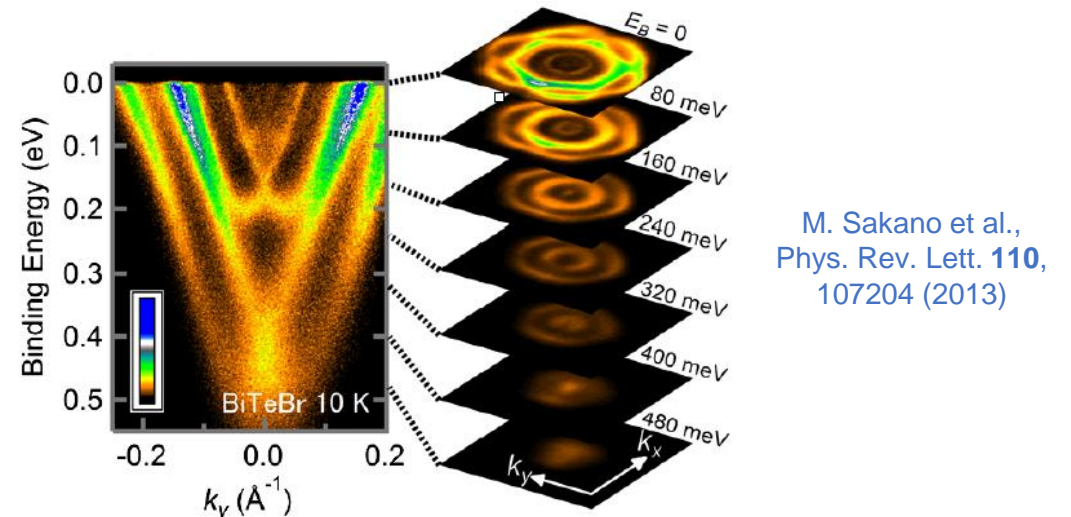
- Spin-orbit strength
- Surface gradient potential

# Rashba systems measured by ARPES

(i) **Surface states of metals:** inversion symmetry is broken due to the existence of the surface (As(111), Au(111), Cu(111) ...)

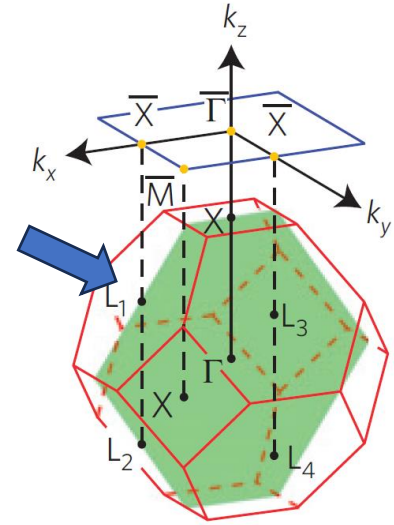


(ii) **Bulk states:** non-centrosymmetric semiconductors with a polar structure and a large spin-orbit interaction (BiTeBr, BiTeI, BiTeCl)

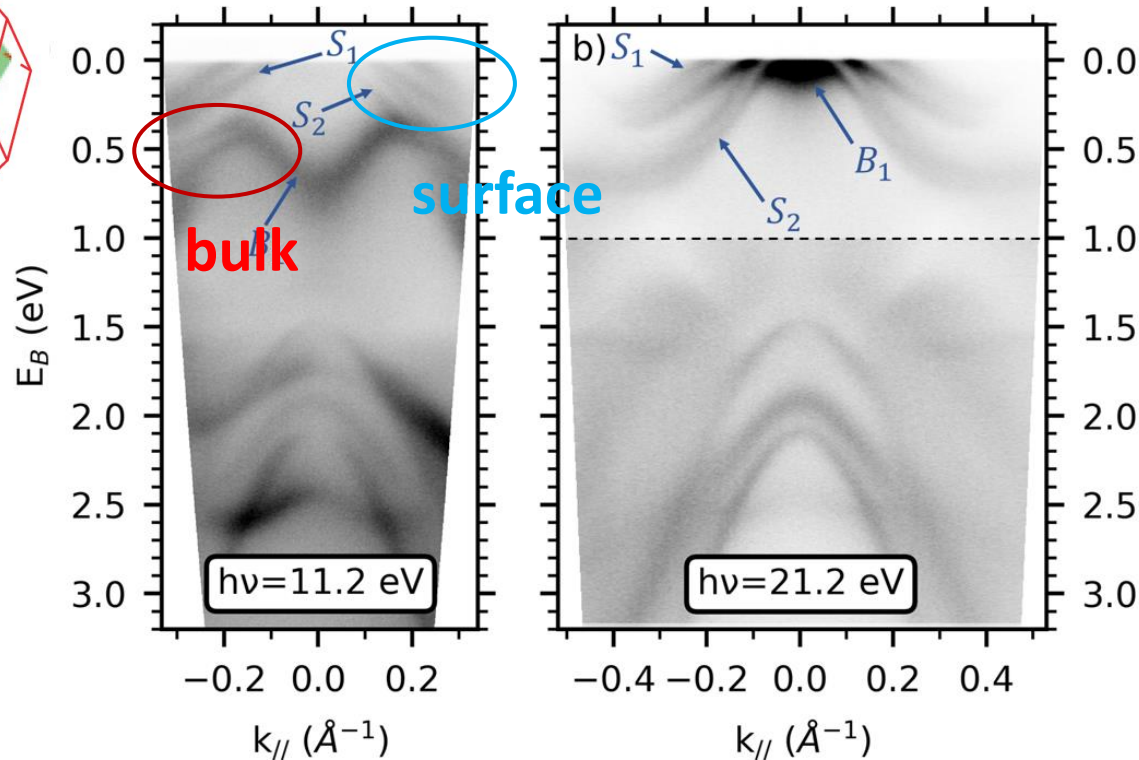


# Ferroelectric phase transition in SnTe: ARPES for the (111) surface

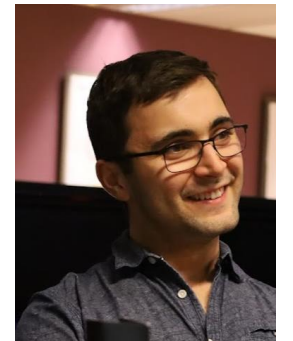
Goal: identify the Rashba splitting in bulk states of SnTe and follow its splitting vs temperature.



30 K



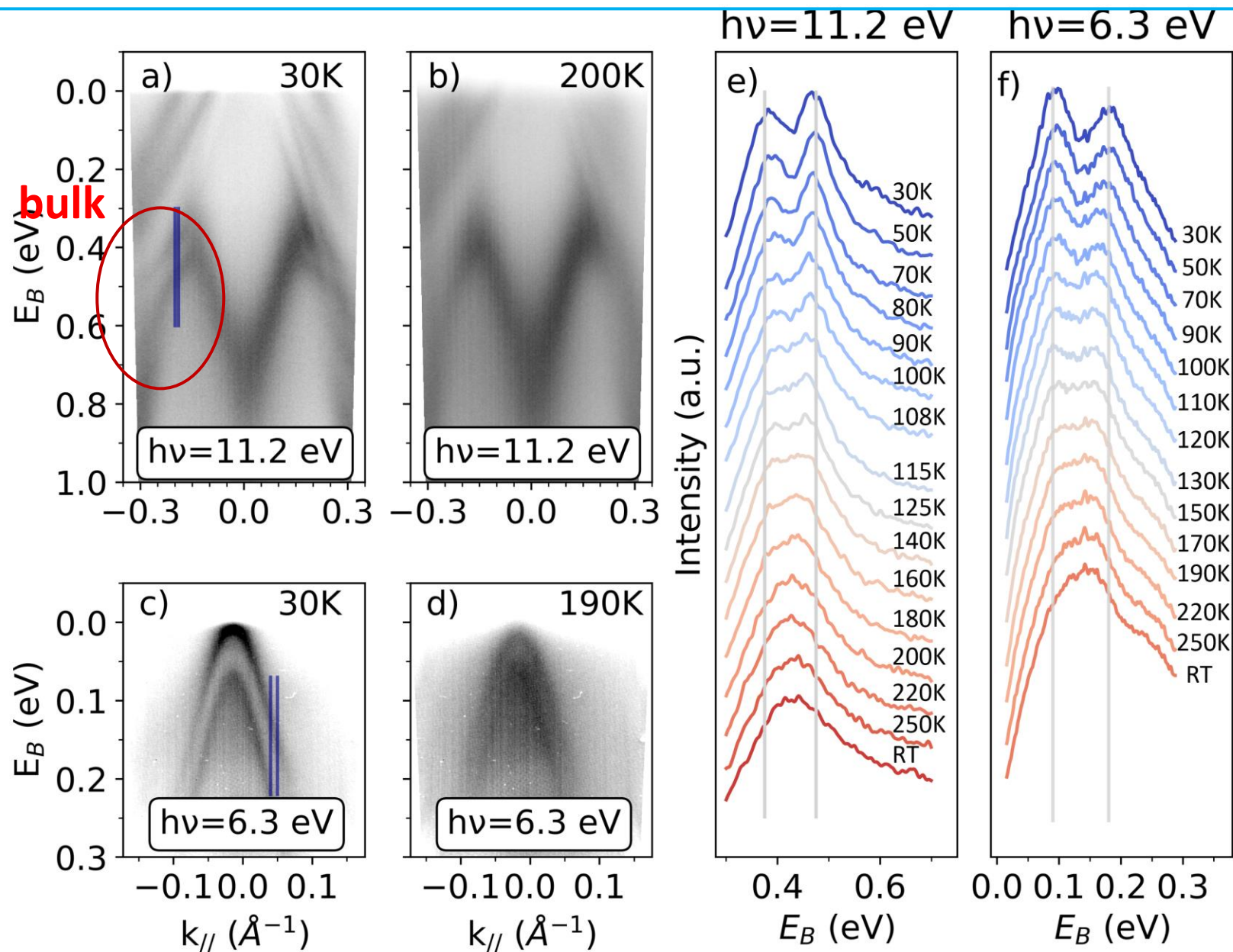
Measurement at 30 K, in the ferroelectric phase.



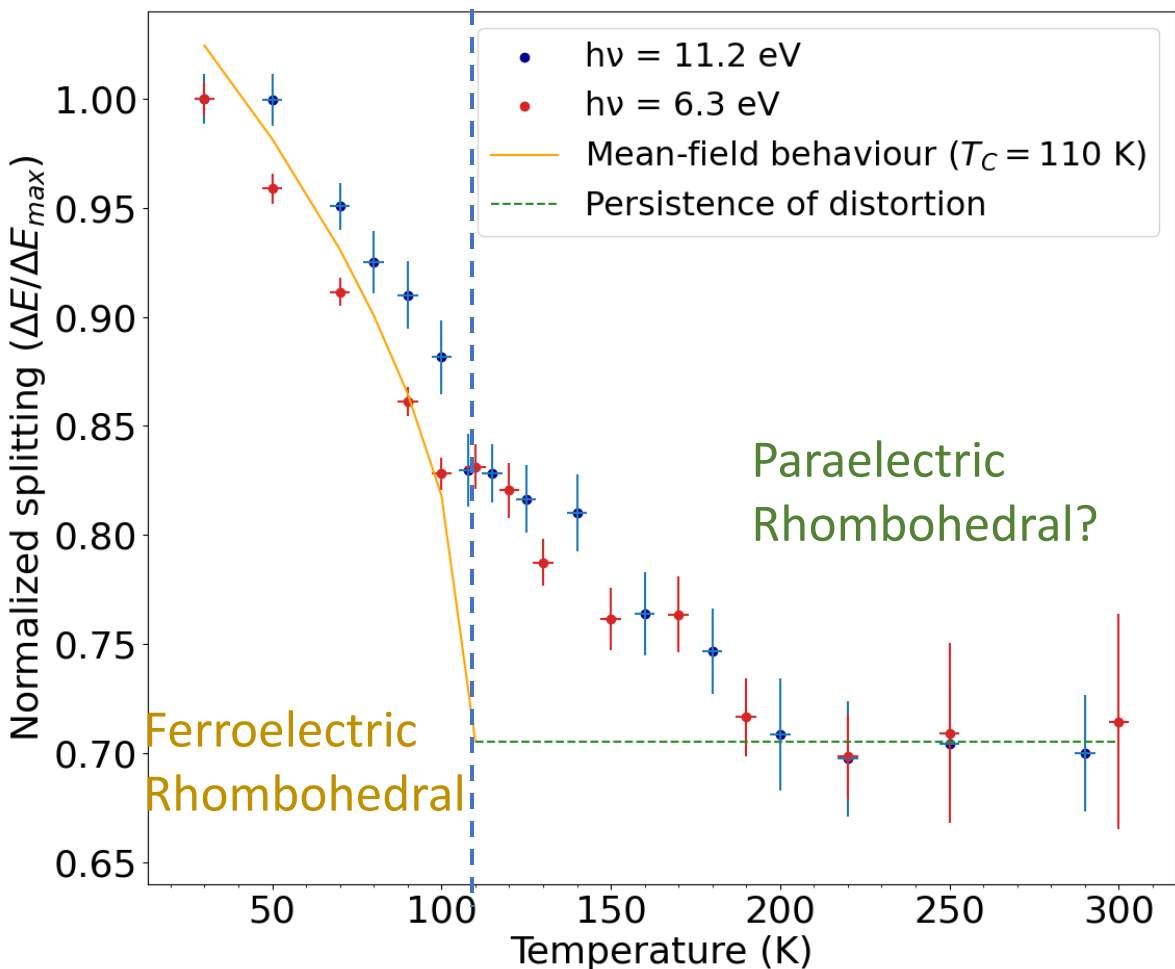
along  $\bar{\Gamma}\bar{K}$

F. Chassot *et al.*, Nano Letters 24, 82 (2024)

# Ferroelectric phase transition in SnTe: ARPES for the (111) surface



# Persistence of ferroelectric distortion at room temperature in SnTe

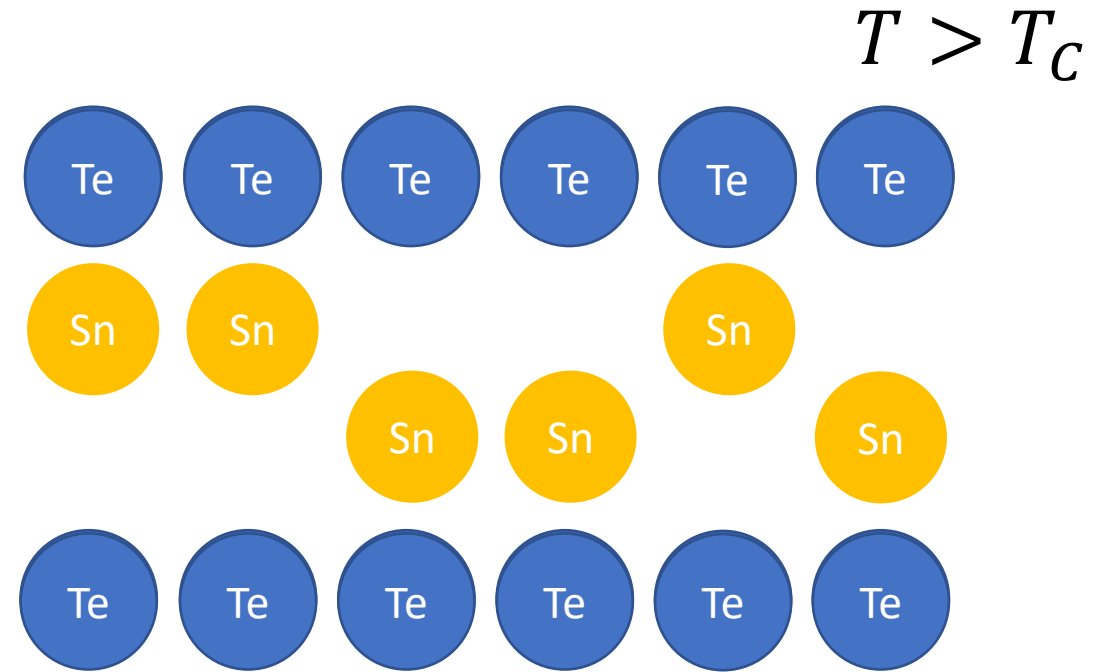


- We observe a mean-field like suppression of the Rashba splitting down to  $T_c \sim 110$  K
- Above  $T_c$  splitting largely persists!

➤ Persistence of rhombohedral distortion at RT

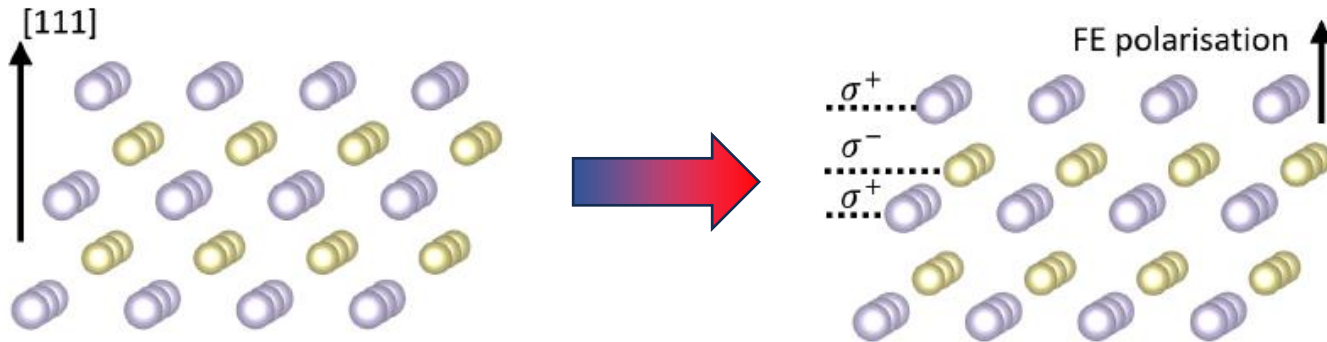
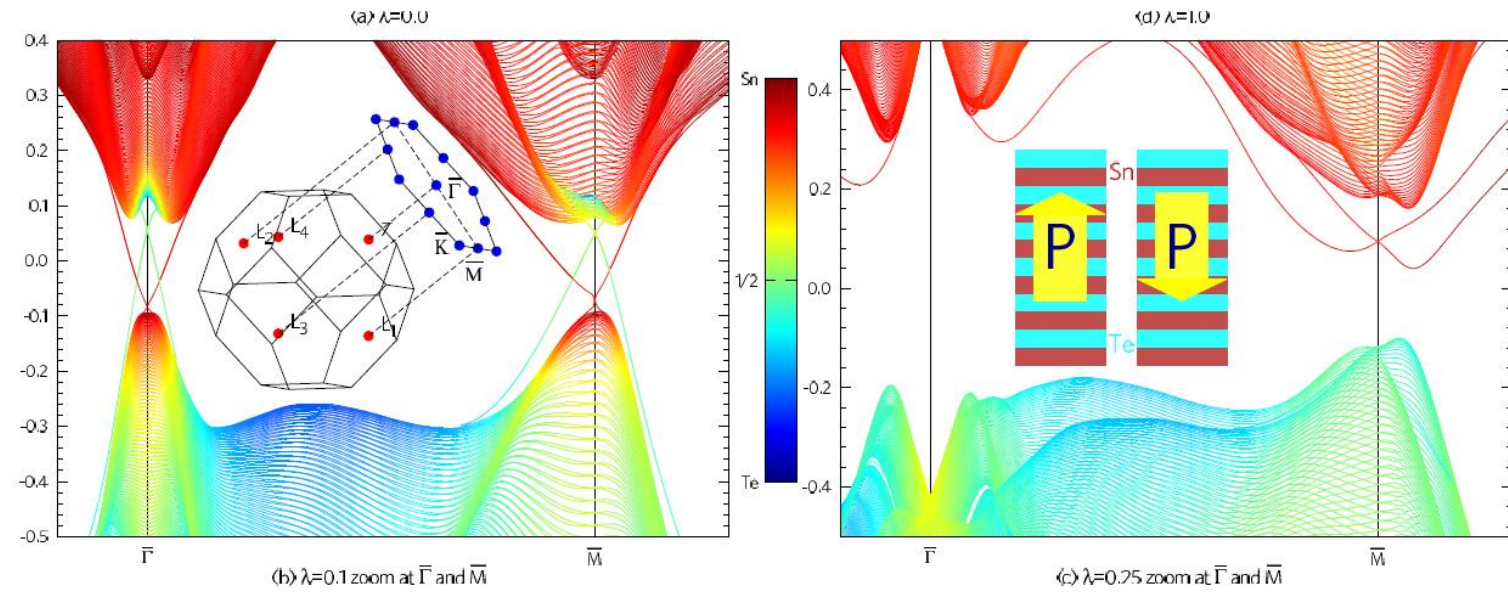
# Order-disorder contribution to the phase transition in SnTe

- SnTe : 2<sup>nd</sup> order displacive transition below  $T_c$
- distorted clusters/unit cells above  $T_c$

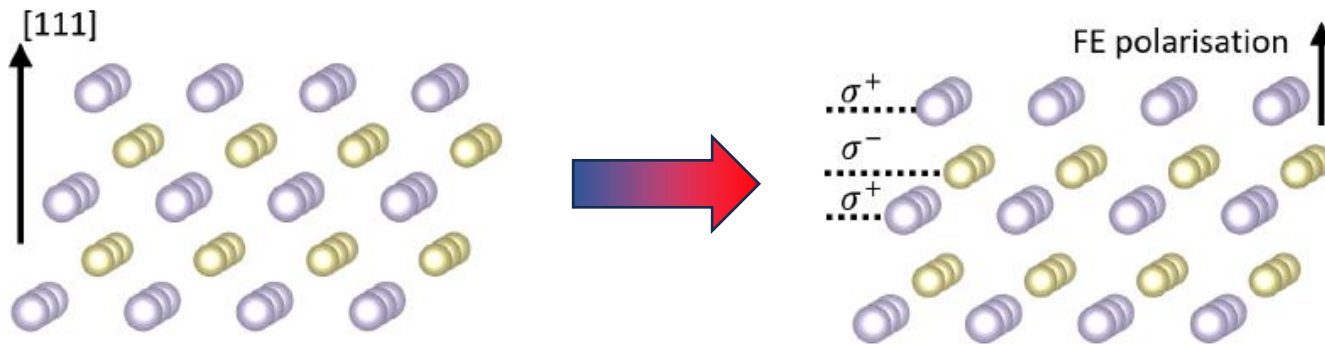
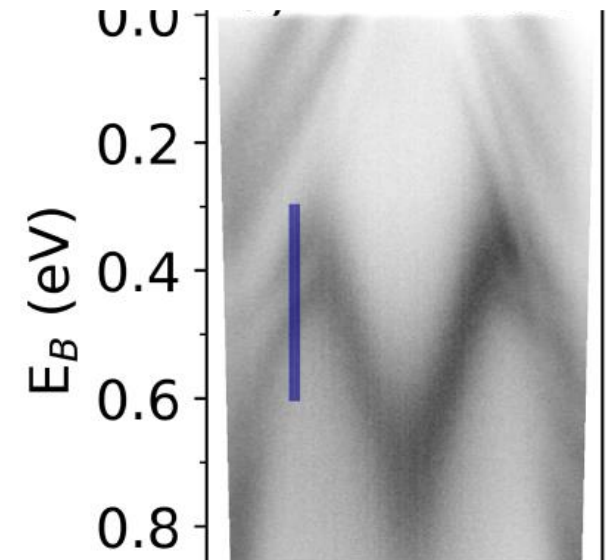
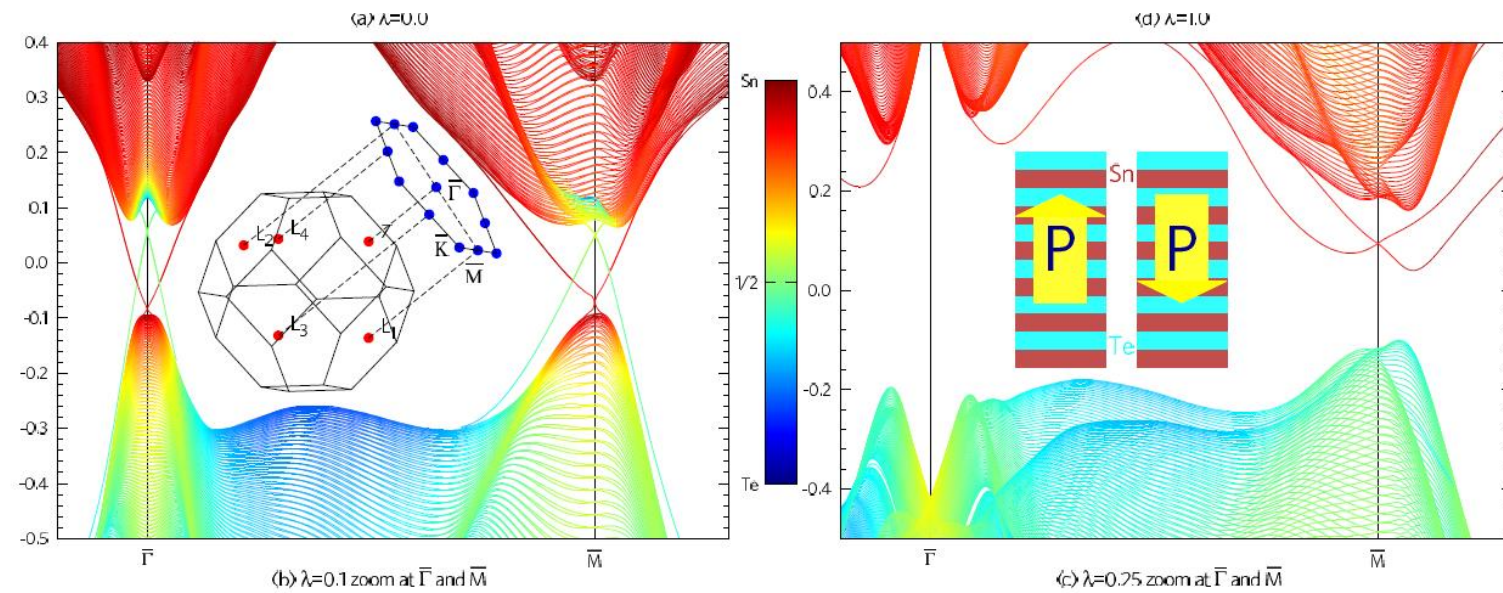


- No macroscopic ferroelectricity
- Consequence for topology?

# Implication for the topological states?



# Implication for the topological states?



Below  $T_c$ : ferroelectric rhombohedral

➤ No topological surface state expected

# Acknowledgements

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@ Uni. Fribourg

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**Frederic Chassot**



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Gunther Springholz

**Uni. West Bohemia (theory)**

Aki Pulkkinen

Jan Minár



**Paul Scherrer  
Institute**

Juraj Krempaský



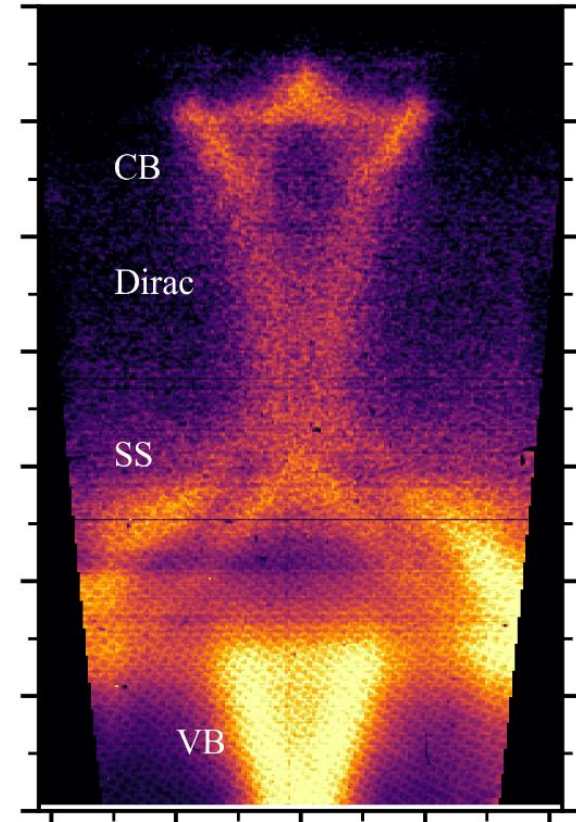
**EPFL / PSI**

Hugo Dil  
Michele Puppin



# Conclusions

- We characterize a ferroelectric to paraelectric phase transition in SnTe at about 110 K. The distortion precludes any topological surface state at  $\bar{\Gamma}$  on the (111) surface.
- We are able to photoinduce a topological state in the ferroelectric phase. It occurs because of the optical Stark effect.
- We have therefore created a Floquet topological insulator.



*Thank you for your attention!*